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1Clinical and Microbiologic Characteristics of invasive *Streptococcus pyogenes* Infections in
2North and South India

3

4*Running title:* Invasive *S. pyogenes* infections in India

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1Abstract

2The lack of epidemiologic data of invasive *Streptococcus pyogenes* infections in many
3developing countries is concerning as *S. pyogenes* infections are commonly endemic in these
4areas. Here we present the results of the first prospective surveillance study of invasive
5*Streptococcus pyogenes* infections in India.

6Fifty four patients with invasive *S. pyogenes* infections were prospectively enrolled at two study
7sites, one in the north and one in the south of India. Sterile site isolates were collected and
8clinical information was documented using a standardized questionnaire. Available acute phase
9sera were tested for ability to inhibit superantigens produced by their own isolate using a cell-
10based neutralizing assay.

11The most common clinical presentations were bacteremia without focus (30%), pneumonia
12(28%) and cellulitis (17%). Only two cases of streptococcal toxic shock syndrome and no cases
13of necrotizing fasciitis were identified. Characterization of the isolates revealed great
14heterogeneity with 32 different *emm* subtypes and 29 different superantigen gene profiles being
15represented among the 49 sterile site isolates. Analyses of acute phase sera showed that only 20%
16of the cases in the north cohort had superantigen-neutralizing activity in their sera, whereas 50%
17of the cases from the south site had neutralizing activity.

18The results demonstrate that there are important differences in invasive *S. pyogenes* infections in
19India, both in clinical presentation and strain characteristics, as compared to invasive *S. pyogenes*
20infections in Western countries. The findings underscore the importance of epidemiologic studies
21on streptococcal infections in India and have direct implications for current vaccine
22developments.

23

1Introduction

2*Streptococcus pyogenes* is a significant human pathogen capable of causing a wide spectrum of
3diseases ranging from uncomplicated infections of the throat and skin to severe life-threatening
4diseases and post-streptococcal sequela. Streptococcal pharyngitis is one of the most common
5childhood diseases worldwide, accounting for several millions of cases each year. Inadequate
6treatment of *S. pyogenes* infections, predominantly throat infections, can result in the serious
7post-infectious sequela acute rheumatic fever that may lead to rheumatic heart disease. The
8burden of post-streptococcal sequela is great in developing countries but relatively rare in
9developed countries, although isolated outbreaks have been reported (5, 12). Furthermore, *S.*
10*pyogenes* cause invasive infections, including the two most severe invasive manifestations
11Streptococcal Toxic Shock Syndrome (STSS) and necrotizing fasciitis associated with high
12morbidity and mortality.

13 *S. pyogenes* invasive disease in North America and Europe has been an area of intense
14research since their reemergence in the late 1980s (1, 9). In contrast, these infections have not
15received much attention in developing countries. In an attempt to estimate the global burden of *S.*
16*pyogenes* infections, Carapetis et al. (5) reviewed available databases and estimated that more
17than 18 million people currently suffer from a serious *S. pyogenes* disease, about 2 million new
18cases occurring each year, with an annual mortality of more than hundred thousand. Added to this
19are 111 million cases of streptococcal pyoderma and 616 million new cases of *S. pyogenes*
20pharyngitis each year. It was noteworthy that the vast majority of cases were in resource-limited
21countries. This report especially highlighted the fact that epidemiologic data from less developed
22countries are scarce; thus, emphasizing the need for studies in these regions (5).

23

1 In India, the disease burden of streptococcal infections is considerable (26) and the
2 incidence of acute rheumatic fever and rheumatic heart disease range from 0.3–5.4 per 1000
3 children (24). *Streptococcus pyogenes* pharyngitis has a high prevalence in North India (14),
4 whereas pyoderma is more frequent in South India (4). In light of invasive infection, this is a
5 completely neglected field in India and the only data available in the literature is one
6 retrospective study of invasive β -hemolytic streptococcal infections (19). To advance our
7 understanding of *S. pyogenes* infections in India and to obtain epidemiologic data that could
8 direct the design of an effective vaccine, an European Commission funded project, ASSIST, was
9 launched in 2007. The project included surveillance of acute rheumatic fever/rheumatic heart
10 disease as well as invasive *S. pyogenes* infections at study sites in North and South India. Here
11 we present the results of the first prospective surveillance study of invasive *S. pyogenes*
12 infections conducted in India, which show important differences as related to surveillance data
13 from Western countries.

1 **Material and Methods**

2 *Setting and study population*

3 Surveillance of invasive *S. pyogenes* infections was established at the two ASSIST study sites,
4 Postgraduate Institute of Medical Education and Research (PGIMER), Chandigarh, in the north
5 of India and the Christian Medical College (CMC), Vellore in the south of India. All consenting
6 patients with invasive *S. pyogenes* infection (n=56) identified at the two sites during the study
7 period January 2007 and February 2010 were enrolled in the study. Invasive cases were defined
8 as isolation of *S. pyogenes* from normally sterile sites, and cases of STSS was defined according
9 to published definition criteria (29). Identification of cases was achieved by daily contact with the
10 microbiology laboratory serving the hospital. Isolates were collected (n=49) and clinical
11 information obtained from the patients' medical records was documented in a standardized
12 questionnaire designed to harmonize with data collected in the recently published StrepEuro
13 study of invasive *S. pyogenes* infections in Europe (15). Acute phase sera were obtained from 10
14 and 22 invasive cases from the north and south sites, respectively.

15 The study was ethically approved by Human Research Ethics committees at respective
16 hospital in India, as well as in Stockholm, Sweden. Written informed consent was obtained from
17 all enrolled patients.

18

19 *Strain characterization: emm sequencing and superantigen gene profile*

20 Clinical isolates were confirmed as *S. pyogenes* by use of conventional bacteriologic techniques.
21 Genomic DNA was isolated from strains grown overnight in Todd Hewitt medium plus yeast
22 extract (THY) using zirconia beads in combination with the DNeasy kit (Qiagen, Hilden,
23 Germany). Direct sequencing of the 5'-end of the *emm* gene was done as detailed
24 http://www.cdc.gov/ncidod/biotech/strep/M-ProteinGene_typing.htm, using the oligos *emm_fwd*

15'-TATTCGCTTAGAAAATTAA-3' and *emm_rev* 5'-GCAAGTTCTTCAGCTTGTTT-3'. The
2*emm* type was obtained as described at <http://www.cdc.gov/ncidod/biotech/strep/strepblast.htm>
3by BLAST comparison to *emm* sequences in the database.

4 Superantigen genes were detected by PCR using the primers listed in supplementary
5Table 1. As positive controls for respective gene, the sequenced strains MGAS315, MGAS6708,
6and MGAS8232 were used.

7

8*Proliferation and neutralization assays*

9Supernatants were prepared from overnight bacterial cultures in Todd Hewitt Broth supplemented
10with yeast extract (THY) and tested for mitogenic activity in proliferation assays using peripheral
11blood mononuclear cells (PBMC) from healthy donor as detailed (22).

12 Patient sera were tested for ability to inhibit superantigens produced by the patients' own
13isolates by use of a cell-based neutralizing assay described in detail in (10, 22, 30). In brief,
14PBMC from healthy donors were stimulated with superantigen-containing bacterial culture
15supernatants (SUP)(1:50 dilution) in the presence of 2.5% heat-inactivated patient serum (PS)
16supplemented with 2.5% fetal calf serum (FCS), or 5% FCS. After 72 hours [³H]thymidine
17uptake was determined. Phytohemagglutinin-L (1 µg/ml)(Sigma) was included as a control for
18non-specific toxicity and PBMC quality. All samples were assayed in triplicates, and the data
19presented as mean counts per minutes (CPM) of [³H]thymidine uptake±SD. Serum-neutralizing
20activity was calculated by the equation: $[1-(\text{cpm}_{\text{PS+SUP}} - \text{cpm}_{\text{PS+medium}})/(\text{cpm}_{\text{FCS+SUP}} -$
21 $\text{cpm}_{\text{FCS+medium}})] \times 100$. Significant neutralizing activity was defined as 50% inhibition of
22proliferative responses, as previously established (22). The analyses also included sera from 58
23healthy controls (median age 18 years; provided by PGIMER), which were tested for neutralizing

1activity against SUPs from two selected isolates (01-1400-01 and 01-1400-08), both from the
2north Indian study site as that was the region of the controls. Intravenous polyspecific
3immunoglobulin (IVIG; 2.5mg/ml)(Baxter) were tested for neutralizing activity against bacterial
4culture supernatants using medium supplemented with 5% FCS.

5

6*Statistical analyses*

7Data were analyzed using Prism software 5.01 (Graphpad). D'Agostino & Pearson omnibus
8normality test was used to assess Gaussian distribution. Student's *t* test or Mann-Whitney U test
9were used to compare the two patient cohorts, and the χ^2 test or Fisher's exact test to compare the
10distribution of categorical data.

1Results

2A total of 56 invasive cases were enrolled at the two Indian study sites, including 26 and 30
3patients from the north and south sites, respectively. Sterile sites isolates were obtained from
4blood (n=41,73%), pleural fluid (n=4), synovial fluid (n=3), tissue aspirates (n=3), peritoneal
5fluid (n=2), bile (n=1) and vitreous fluid (n=2). Upon further analyses, two isolates were
6reclassified as group G streptococcus and consequently, these two cases were excluded from the
7study. The median age of all invasive cases was 30 years old (range 1 day – 80 years), with 24%
8being ≤ 4 years old (Table 1 and Figure 1). The two cohorts differed significantly with respect to
9age as children and adolescents were more common among patients enrolled at the north site as
10compared to the south site (Table 1 and Figure 1).

11

12*Clinical characteristics of invasive cases*

13Forty six percent of the invasive cases reported underlying conditions, including cancer (13%),
14chronic liver disease (7.4%), malnourishment (7.4%), surgery (5.6%) and burns (5.6%). The most
15common clinical diagnoses were bacteremia with no identified focus (30%) and pneumonia
16(28%), followed by cellulitis (17%). Septic arthritis cases were only found in the south site
17patient cohort, whereas meningitis cases were represented exclusively in the north cohort (Table
181). No necrotizing fasciitis cases and only two cases of STSS were identified. The two STSS
19cases were both children who presented with cellulitis respectively meningitis and pneumonia.
20Nine patients were treated at the intensive care unit (16.7%). Fatal outcome was recorded in eight
21of the 54 invasive cases, including one of the STSS cases, accounting to a fatality rate of 14.8%.
22However, it should be noted that the study did not include a Day 28 follow-up and many patients
23declined hospitalization due to the associated cost; hence, the recorded fatalities are likely
24underestimated.

1

2Microbiologic findings

3From the 54 invasive *S. pyogenes* cases, 49 isolates were retrieved and further characterized with
4respect to *emm* type and toxin-gene profiling (Table 2). The *emm* sequencing revealed a great
5heterogeneity among the isolates with 32 different *emm* types being represented in the cohort.
6The most common subtypes were *emm*49.0 (n=4), *emm*74.0 (n=4), *emm*80.0 (n=4), *emm*12.0
7(n=3), and *emm*28.5 (n=3). The fatal cases were caused by varying subtypes, including the two
8*emm*1-2.2 isolates and one each of *emm*28.5, *emm*74.0, and *emm*80.0. The type distribution
9differed between isolates from the north and south sites with all isolates of *emm*12.0 (n=3),
10*emm*28.5 (n=3), and *emm*49.0 (n=4) subtypes identified in the south cohort, whereas *emm*74.0
11(n=3), *emm*80.0 (n=3) and *emm*1-2.2 (n=2) predominantly in the north isolate cohort.

12 Exotoxin-gene profiling of the 49 invasive isolates identified 29 distinct profiles with
13unique combinations of superantigen genes, evenly distributed amongst the 32 different *emm*-
14subtypes (Table 2). The 29 profiles contained between 1-9 superantigen genes per profile with the
15greatest variation in prophage-encoded genes. There were five profiles that harboured only one or
16two exotoxin genes. Profile No. 5 harboured only *smeZ* and was exclusively represented by
17*emm*28.0 strains. *speG* and *smeZ* were the most common superantigen genes being present in
1886% and 83% of the profiles, respectively.

19 Superantigen-containing culture supernatants from all isolates were potent triggers of
20proliferative responses and there was no statistical difference between the cohorts (Figure 2).
21There was no relation between degree of proliferative response and *emm* subtype, number of
22superantigen genes harboured or superantigen profile.

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1Superantigen neutralizing activity in acute phase sera

2 As lack of protective humoral immunity against superantigens has been shown to be a risk
3factor for invasive *S. pyogenes* infections in Europe and in Canada (2, 18, 22), it was of interest
4to assess protective antibody levels among Indian patients. Available acute phase sera were tested
5for ability to inhibit superantigens produced by the patient's own isolate using a cell-based
6neutralizing assay previously employed in epidemiologic studies (2, 18, 22, 30). Only 20% of the
7cases in the north cohort had superantigen-neutralizing activity in their sera (Figure 3A), whereas
850% of the cases from the south cohort showed neutralizing activity (Figure 3B). Equally low
9neutralizing activities were seen in the healthy controls with 26% and 40% showing neutralizing
10activity against the isolates 01-1400-01 and 01-1400-08, respectively (Figure 3C). IVIG was
11included as a source of neutralizing superantigen antibodies that completely inhibits
12superantigenic activity of invasive *S. pyogenes* isolates in Europe and in Canada (7, 13, 21).
13Similarly, superantigens produced by Indian invasive isolates were completely neutralized by
14IVIG (Figure 3).

1Discussion

2Here we present the results of the first prospective study of invasive *S. pyogenes* infections in
3India including two study sites, one in the north and one in the south. At both sites, the most
4common clinical presentations were bacteremia without focus, pneumonia, and cellulitis. Three
5meningitis cases were reported, all from the north site, consistent with children being more
6prevalent in this patient cohort as compared to the south site. In contrast, four septic arthritis
7cases were found in the south patient cohort, whereas none in the north. It was noteworthy that
8only two STSS cases and no necrotizing fasciitis were identified, which is considerably less than
9what has been reported from Western countries (8, 15). Equally low numbers were reported in a
10retrospective study of β -haemolytic streptococcal infections in India, in which 3 cases of STSS
11were found among 225 invasive cases and there was no mention of necrotizing fasciitis cases
12(19). In contrast, results from the 2003-2004 European surveillance covering 11 countries
13reported 13% and 8% of cases with STSS and necrotizing fasciitis, respectively (15), and similar
14figures have been observed in Canada (8, 11) and the US (23). Two recent prospective studies
15from developing countries, including one from New Caledonia reported 43% of necrotizing
16fasciitis but only low frequencies of STSS (3%) (16), and one from Fiji where 5% and 7% of
17STSS and necrotizing fasciitis, respectively, was noted (27).

18

19 The lack of necrotizing fasciitis cases was particularly unexpected considering that the
20south of India, as many other tropical settings, is known to have a high prevalence of
21streptococcal skin infections. It is recognized that different *S. pyogenes emm* types are associated
22with specific tissue tropism (3), and particular disease manifestations, such as the association
23between *emm1* and *emm3* with STSS and necrotizing fasciitis cases (reviewed in (9). *emm*

1subtyping of the Indian invasive isolates revealed a great heterogeneity with a total of 32 different
2subtypes being represented among the 49 isolates. Importantly, there were no *emm1* or *emm3*
3types among the invasive isolates, nor were there any *emm15* that was predominant in the study
4from New Caledonia and responsible for 19% of the necrotizing fasciitis cases (16). Thus, the
5*emm* distribution indicates a lack of types previously found to be associated with STSS and
6necrotizing fasciitis, which might explain the low number of cases with these severe
7manifestations in this material. However the small size of the patient material does not allow for
8robust conclusions and future studies are warranted to confirm these data.

9

10 The heterogeneity in *S. pyogenes emm* types is in agreement with other studies from
11developing countries and indigenous populations, which all report highly diverse *emm* types
12among throat, skin and invasive isolates (16, 25, 27). Not only are the isolates more heterogenic,
13but the *emm* types are also strikingly different from the Western population (17, 28). This has
14implications for the coverage of the 26-valent vaccine that has completed clinical phase I and II
15trials (20), inasmuch as only 22% of the Indian isolates in this study are accounted for in the
16vaccine as compared to 69% and 76% for isolates in Europe and the US, respectively (17, 23).
17However, it should be noted that a recent report of a 30-valent M-protein based vaccine showed
18that vaccine elicited bactericidal antibodies cross-reacted to some extent with non-vaccine
19serotypes, indicating that the efficacy may extend beyond the included serotypes (6).

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22 Similar heterogeneity was seen in the superantigen-gene profile with 29 distinct profiles
23identified. Despite different profiles all isolates were prominent triggers of T cell activation and
24the degree of response was not associated with either number of superantigen genes, presence of
25*smeZ* or with particular profiles or *emm* types. Lack of superantigen-neutralizing antibodies has

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1been identified as a significant risk factor for invasive *S. pyogenes* infections in Western
2countries (2, 18, 22, 30). We hypothesized that the antibody levels should be higher in India
3considering the endemic nature and thus likely greater exposure to streptococcal infections in this
4area. However, analyses of acute phase sera and sera from healthy controls revealed surprisingly
5low levels of superantigen-neutralizing activity in both patients and controls. Thus, despite an
6expected high exposure to streptococcal infections, the Indian cases were equally susceptible to
7streptococcal superantigens as the Western population. Here we only assessed neutralizing
8activity in a functional cell-based assay, but in future studies it will be of interest to investigate
9ELISA antibody-titers against superantigens as well as other streptococcal factors to address how
10neutralization relates to prior exposure. It has previously been shown that ELISA antibody titers
11to streptococcal superantigens frequently do not correlate with neutralizing activity (22), but the
12underlying rationale for this remains as of yet unknown.

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5

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7

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- 33

1 **Figure Legends**

2 **Figure 1.** Age distribution among invasive *S. pyogenes* cases enrolled at the north and south
3 study sites in India.

4 **Figure 2.** Mitogenic activity induced by overnight bacterial culture supernatants. Peripheral
5 blood mononuclear cells from healthy donors were stimulated with bacterial supernatants
6 prepared from invasive isolates collected at the north site (A) and south site (B), respectively.
7 Proliferative responses were determined after 72 hours of culture by measurement of [³H]-
8 thymidine uptake. The data are shown as mean counts per minute (CPM) ± SD of triplicate for
9 unstimulated cells (unst.), and cells stimulated with supernatants of all invasive isolates
10 (indicated by patient ID) or PHA as positive control.

11 **Figure 3.** Superantigen-neutralizing activity in acute phase patient sera and in healthy controls.
12 Peripheral blood mononuclear cells from healthy donors were stimulated with bacterial culture
13 supernatants in the presence or absence of patient sera, and proliferative responses were assessed.
14 The data are presented as percent inhibition of bacterial supernatant in the presence of patient's
15 serum (2.5%) or IVIG (2.5 mg/ml) as related to the response obtained in the presence of fetal calf
16 serum. Each patient was tested for neutralizing activity against its own isolate whereas healthy
17 control sera were analyzed using two selected isolates, as indicated. The dashed lines indicate
18 50% inhibition of mitogenic activity, which is considered significant neutralizing activity.
19 Samples from the north site are shown in (A) and from the south site in (B). (C) shows compiled
20 data of controls and patients. The horizontal lines denote median values in each group. PHA was
21 included as a control for non-specific toxicity, and induced equally high responses in the presence
22 of patient sera as with FCS.

Table 1. Demographics and characteristics of invasive cases at the two Indian study sites

Characteristic	Invasive cases from		<i>P</i> value ^a
	North India (n=24)	South India (n=30)	
Age (years), median (range)	8.5 (4 days – 60 yr)	51 (1 day – 80 yr)	0.0001
Male sex, %	54.0	66.7	NS
Fatal outcome, n (%)	4 (16)	2 (6.7)	NS
Underlying condition, %	37.5	53.3	NS
<i>Clinical diagnosis; n (%):</i>			
Bacteremia, no focal infection	9 (37.5)	7 (23.3)	NS
Pneumonia	8 (33.3)	7 (23.3)	NS
Cellulitis	3 (12.5)	6 (20)	NS
Septic arthritis	0	4 (13.3)	NS
Meningitis	3 (12.5)	0	NS
STSS	2 (8.3)	0	NS
Necrotizing fasciitis	0	0	NS
Other ^b	3 (12.5)	3 (10)	NS

^aNS, not significant, significant, $P < 0.05$ using Mann-Whitney U test or Fisher's exact test.

^bIncluding empyema, endophthalmitis, puerperal sepsis, nephrotic syndrome, peritonitis,

⁴follicular cholecystitis

5

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Table 2. Superantigen gene profiles as related to *emm* subtypes of invasive *S. pyogenes*

isolates

No	<i>emm</i> type	No of isolates	Superantigen										
			<i>speA</i>	<i>speC</i>	<i>speG</i>	<i>speH</i>	<i>speI</i>	<i>speJ</i>	<i>speK</i>	<i>speL</i>	<i>speM</i>	<i>ssa</i>	<i>smeZ</i>
1	76.0, 80.0 (3), 105.0, 112.2	6 (12)	-	-	+	-	-	+	-	-	-	-	+
2	63.0, 78.3, 113.0 (2), st6735.0	5 (10)	-	-	+	-	-	-	-	-	-	-	+
3	42.0 (2), 58.8, stNS554.0	4 (8)	-	+	+	-	-	-	-	-	-	-	+
4	12.0 (2), 118.0	3 (6)	-	+	+	-	-	-	-	-	-	+	+
5	28.5 (3)	3 (6)	-	-	-	-	-	-	-	-	-	-	+
6	6.35, 74.0 (2)	3 (6)	+	+	+	-	-	-	-	-	-	-	+
7	11.0, 43.3	2 (4)	-	+	+	-	-	-	-	+	-	-	+
8	49.0 (2)	2 (4)	+	-	+	+	+	-	-	-	-	-	-
9	1-2.2	1 (2)	+	-	+	+	+	+	-	+	+	+	+
10	1-2.2	1 (2)	+	-	+	-	-	+	-	-	-	-	+
11	4.5	1 (2)	-	-	-	-	-	-	+	-	-	+	+
12	4.5	1 (2)	-	-	-	-	-	-	-	+	+	+	+
13	9.0	1 (2)	-	-	+	-	-	-	+	-	-	-	+
14	9.0	1 (2)	-	+	+	-	-	-	+	-	-	-	+
15	12.0	1 (2)	-	+	+	+	+	-	-	-	-	+	+
16	18.8	1 (2)	+	-	+	-	-	-	-	-	-	-	+
17	44.0	1 (2)	-	+	+	+	-	+	-	-	-	-	-
18	49.0	1 (2)	-	-	+	-	-	-	-	+	-	-	-
19	49.0	1 (2)	-	-	+	+	+	-	-	+	+	-	-
20	71.1	1 (2)	+	-	+	-	-	+	-	-	+	-	+
21	74.0	1 (2)	+	+	+	+	+	-	-	+	+	+	+
22	74.0	1 (2)	+	+	+	+	+	-	-	+	-	-	+
23	79.1	1 (2)	-	+	+	-	-	-	-	-	-	-	-
24	80.0	1 (2)	-	-	+	-	-	+	+	-	-	-	+
25	85.0	1 (2)	-	-	+	+	+	-	-	-	-	-	+
26	91.0	1 (2)	-	+	+	+	+	+	-	-	-	-	+
27	103.0	1 (2)	-	-	+	+	-	+	+	-	-	+	+
28	109.1	1 (2)	+	-	-	-	-	-	-	-	-	-	+
29	st2147.0	1 (2)	+	-	+	-	-	-	+	-	-	+	+
	No. of isolates	49	34	38	86	34	28	28	21	24	17	28	83
	Gene frequency,% ^a												

^aPercentage related to number of profiles