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Anti-infective properties of epigallocatechin-3-gallate
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1 **Anti-infective properties of Epigallocatechin-3-gallate (EGCG), a component of**
2 **Green Tea**

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1 **Summary:**

2

3 Consumption of green tea (*Camellia sinensis*) has been shown to cause many
4 physiological and pharmacological health benefits. In the past two decades several
5 studies reported that epigallocatechin-3-gallate (EGCG), the main constituent of
6 green tea, has anti-infective properties. Antiviral activities of EGCG with different
7 modes of action were described for viruses from diverse families like *Retroviridae*,
8 *Orthomyxoviridae* and *Flaviviridae* and including important human pathogens like
9 human immunodeficiency virus, influenza A virus and the hepatitis C virus.
10 Furthermore, the molecule interferes with the replication cycle of DNA viruses like
11 hepatitis B virus, herpes simplex virus and adenovirus. Most of these reports
12 demonstrated antiviral properties within physiological concentrations of EGCG *in*
13 *vitro*. In contrast, the minimum inhibitory concentrations against bacteria were 10 to
14 100 fold higher. Nevertheless, antibacterial effects of EGCG alone and in
15 combination with different antibiotics were intensively analyzed against a number of
16 bacteria including multidrug-resistant strains like methicillin-resistant *Staphylococcus*
17 *aureus* or *Stenotrophomonas maltophilia*. Furthermore, the catechin EGCG has
18 antifungal activity against human pathogenic yeasts like *Candida albicans*. Although
19 the mechanistic effects of EGCG are not fully understood, there are hints indicating
20 EGCG binds to lipid membranes and has influence on the folic acid metabolism of
21 bacteria and fungi by inhibiting the cytoplasmic enzyme dihydrofolate reductase.
22 This review summarizes the current knowledge and future perspectives about the
23 antibacterial, antifungal and antiviral effects of the green tea substance EGCG.

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1 **Introduction**

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3 Tea is the most commonly consumed drink in the world after water. Depending on
4 the manufacturing process, tea can be classified into three major classes: non-
5 fermented green tea, semi-fermented oolong tea and fermented black and red teas
6 (Cabrera *et al.*, 2006). Non-fermented green tea from the plant *Camellia sinensis* is
7 dried and steamed to prevent oxidation which is not the case for black and red tea
8 (Cabrera *et al.*, 2006). The natural compound epigallocatechin-3-gallate (EGCG) is
9 an active polyphenolic catechin and accounts for approximately 59% of the total
10 catechins from the leaves of the green tea. Other catechins in green tea include
11 epigallocatechin (EGC) (19%), epicatechin gallate (ECG) (13.6%) and epicatechin
12 (EC) (6.4%) (McKay *et al.*, 2002). The functional and structural differences of these
13 catechins are attributed to the number of hydroxyl groups on the B-ring and the
14 presence or absence of a galloyl moiety (Figure 1).

15 In traditional Chinese medicine, green tea has been considered to have beneficial
16 properties for human health including cardioprotective, anti-carcinogenetic and anti-
17 infective effects. Although a detailed molecular understanding why green tea has
18 these broad protective effects is lacking, the ability of EGCG to bind many biological
19 molecules and influence a variety of enzymes activities and signal transduction
20 pathways at micromolar and nanomolar levels may at least in part be responsible
21 (Lee *et al.*, 2002). EGCG is water soluble and high temperature exposure like boiling
22 water does not greatly influence the stability of the molecule (Wang *et al.*, 2008).
23 Notably, EGCG and various green tea preparations are available as an over the
24 counter remedy in many countries and are inexpensive. The first documented report
25 of an anti-infective activity of tea was made over 100 years ago by the British army
26 surgeon Mc Naught, who showed that tea killed the causal organism of typhoid fever

1(*Salmonella typhi*) and brucellosis (*Brucella melitensis*) (MC Naught, 1906).
2However, no further work on this phenomenon was performed in the next decades
3until the late 1980 when systematic research about antimicrobial and antiviral effects
4of tea was conducted. Today, a literature search at pubmed.gov shows that over
54000 publications about EGCG and/or green tea were reported. In this review, we
6will first summarize the antiviral effect of EGCG against different virus families (Table
71) with a focus on hepatitis C virus (HCV) and human immunodeficiency virus (HIV).
8We will then reflect antibacterial and antifungal activities of EGCG in *in vitro* and *in*
9*vivo* model systems. Translations of anti-infective effects into clinically relevant
10strategies and to achieve physiologically concentration of the molecule at the sites of
11viral, bacterial and fungal replication are also crucial aspects that need to be
12considered as EGCG has in general a low bioavailability.

13

14**Effect of EGCG against hepatitis C virus**

15

16HCV, a positive strand RNA virus of the family *Flaviviridae*, has chronically infected
17ca. 160 million individuals (Lavanchy, 2011). These patients are at risk of potentially
18life-threatening hepatic complications including cirrhosis, liver failure and
19hepatocellular carcinoma. In fact, chronic HCV infection is associated with about 30
20% of liver cancers worldwide and among the leading indications for orthotopic liver
21transplantation (Brown, 2005). Standard therapy consists of a combination of
22pegylated interferon alpha with ribavirin (PEGIFN- α /RV). However, PEGIFN- α /RV
23therapy has differential success rates dependant on infecting viral genotype. The
24addition of one of two currently licensed viral protease inhibitors, the first generation
25of direct acting antivirals (DAAs) to current PEGIFN- α /RV combination therapy has
26substantially increased treatment success rates for patients infected with the most
27prevalent genotype 1. However, this triple therapy cannot be used for all viral

1genotypes and it is associated with a number of side effects that can compromise
2patient compliance. Therefore, more efficient therapies applicable for all viral
3genotypes and with fewer side effects are needed. For instance, in the setting of liver
4transplantation for HCV-associated end stage liver disease, the ability to block viral
5cell entry would help to minimizing the currently universal re-infection of the donor
6liver by virions in the blood.

7Recently, in the search for new antiviral molecules, three independent groups
8identified EGCG as a potent inhibitor of the HCV entry pathway (Calland *et al.*, 2012;
9Chen *et al.*, 2012; Ciesek *et al.*, 2011). Ciesek and colleagues were initially working
10on the influence of semen on HCV infection and became interested in EGCG when it
11was reported that the green tea molecule counteracts semen-mediated
12enhancement of HIV infection (Hauber *et al.*, 2009). When they performed the first
13infection experiments with EGCG, a potent inhibition of HCV infection was noted
14which identified the green tea molecule as novel HCV entry inhibitor (Ciesek *et al.*,
152011). Calland and co-workers became interested in testing EGCG because it was
16reported to increase lipid droplet formation and to impair lipoprotein secretion in
17hepatocytes, two cellular functions known to play a role in the HCV life cycle (Li *et*
18*al.*, 2006). Three studies by Ciesek *et al.*, Calland *et al.* and Chen *et al.* clearly
19demonstrate that entry of cell culture derived particles (HCVcc) as well as HCV
20pseudoparticles (HCVpp) are inhibited by EGCG independent of the HCV genotype
21(Calland *et al.*, 2012; Chen *et al.*, 2012; Ciesek *et al.*, 2011). This was also the case
22when primary human hepatocytes were used as target cells which resemble more
23closely the natural reservoir for HCV. Evaluation of each step in the viral life cycle
24identified EGCG as an entry inhibitor because RNA replication and release of
25infectious particles were not affected. It was previously suggested that EGCG inhibits
26the essential NS3/4A serine protease of HCV (Zuo *et al.*, 2007), however the assays

1 were performed in a cell-free system and this observation could not be validated in
2 an HCV replication setting (Calland *et al.*, 2012; Ciesek *et al.*, 2011). Another study
3 reported a slight inhibition (2-3 fold) of HCV RNA-replication with JFH1 and Con1
4 constructs in tissue culture, but only at a very high concentration of 80 μ M EGCG
5 (Chen *et al.*, 2012). Other catechins like EGC, EC and ECG had not such a strong
6 inhibitory effect compared to EGCG suggesting that inhibition of HCV entry is unique
7 to EGCG and not shared by other green tea catechins (Ciesek *et al.*, 2011).

8 By testing other viruses it could be demonstrated that Herpes simplex virus (HSV)
9 infection was inhibited as described earlier (Isaacs *et al.*, 2008; Isaacs *et al.*, 2011),
10 but no effect could be observed for bovine viral diarrhoea virus (BVDV) or yellow
11 fever virus (YFV), which also belong as HCV also to the family of *Flaviviridae*, or the
12 unrelated Sindbis virus (SINV) (Calland *et al.*, 2012). It has been reported that HCV
13 can be transmitted in cell culture via cell-to-cell spread. This mode of transmission
14 may be particularly relevant *in vivo* in the context of infected liver tissue. It was
15 shown that infection via cell-to-cell spread was refractory to neutralization by E2
16 monoclonal antibodies and that it may occur in a CD81-independent manner (Timpe
17 *et al.*, 2008; Witteveldt *et al.*, 2009). EGCG was able to prevent cell-to-cell
18 transmission when infected cells were overlaid by agarose or incubated with
19 neutralizing antibodies to prevent the extracellular route of infection (Calland *et al.*,
20 2012; Ciesek *et al.*, 2011). HCV entry is a complex multistep process involving many
21 host factors followed by endocytosis and fusion of the viral membrane with the host
22 membrane (Figure 2). To resolve which step in the entry pathway is blocked by
23 EGCG, the antiviral activity was assessed by administration of the molecule at
24 different time points during the early phase of infection. From these experiments, it
25 was suggested that EGCG acts on the virus particles and inhibits virus entry by
26 impairing virus binding to the cell surface (Calland *et al.*, 2012; Ciesek *et al.*, 2011)

1(Figure 2). In line with these results, no effect of EGCG on target cells in pre-
2treatment experiments was observed, but inhibition of the primary attachment of ³⁵S-
3labeled HCV virions to cells (Ciesek *et al.*, 2011). Importantly, the green tea
4molecule was also able to clear HCV from cell culture. At the concentration of 50 µm
5three cell passages led to undetectable levels of infectious virus in the supernatant
6of human cells (Calland *et al.*, 2012) and Chen et al. observed even clearance of the
7virus after two passages at the same concentration (Chen *et al.*, 2012).

8In summary, EGCG potently inhibits HCV entry of all genotypes to hepatoma cell
9lines and in primary human hepatocytes by preventing viral attachment to target
10cells. Therefore, EGCG could provide a new approach to prevent HCV infection,
11especially in the setting of liver transplantation of chronically infected patients.
12Combination of EGCG with other antiviral compounds targeting HCV replication in an
13interferon-free regimen is possible, as strong and additive inhibition of HCV infection
14was demonstrated when the molecule was combined with a NS3/4A protease
15inhibitor or cyclosporine A, which inhibits HCV replication by interfering via the HCV
16co-factor cyclophilin (Ciesek *et al.*, 2011). Future clinical trials will reveal how
17effective EGCG will be at reducing viremia in naïve patients with chronic hepatitis C
18and in preventing graft re-infection in patients undergoing liver transplantation.

19

20**Effect of EGCG against Human Immunodeficiency virus**

21

22Human immunodeficiency virus 1 (HIV-1) is a lentivirus of the family of *Retroviridae*
23and the etiologic cause of the acquired immunodeficiency syndrome (AIDS). An
24estimated 33 million people are infected with HIV worldwide. HIV/AIDS persists as a
25major cause of morbidity in developed and non-developed countries. In the absence
26of a protective vaccine or a cure, prevention and access to antiretroviral treatments

1 are the best options against HIV-1 (Simon *et al.*, 2006). Significant advances in
2 antiretroviral therapy have been made since the introduction of zidovudine (AZT) in
3 1987, however, these drugs frequently cause severe side effects and HIV drug
4 resistance development is rapidly emerging. Globally, with the lack of effective
5 treatment regimens HIV/AIDS continues to be a major public health crisis. It is
6 therefore important to develop more potent and conceptually novel drugs and
7 therapies for the treatment of this infection.

8 Green tea EGCG has been reported in different studies to have antiviral effects
9 against HIV-1 infection. Interestingly, several mechanisms for this inhibitory effect
10 have been proposed (Nance *et al.*, 2003). Nakane *et al.* (1989) initially described
11 inhibition of HIV-1 replication by EGCG in human peripheral blood mononuclear cells
12 (PBMCs) *in vitro* (Nakane *et al.*, 1989). EGCG was shown to block the enzymic
13 activity of the HIV-1 reverse transcriptase (RT) resulting in a decrease in p24 antigen
14 concentration. Recently, it was confirmed that EGCG acts as an allosteric RT
15 inhibitor, with time of addition assays revealing a similar inhibitory profile to non-
16 nucleoside reverse transcriptase inhibitors (NNRTIs) (Li *et al.*, 2011). However, the
17 mechanism of inhibition seems to be different from those of currently approved
18 NNRTIs as HIV-2 with another binding pocket is inhibited and NNRTI-resistant
19 viruses were still susceptible to EGCG. Synergistic inhibition was observed with
20 3'-azido-2'-deoxythymidine (AZT) (Li *et al.*, 2011). Additionally, and in a similar
21 fashion to HCV, a number of different studies also report an interference of EGCG
22 with the viral envelope of HIV-1. Fassina *et al.* report HIV-1 infectivity was decreased
23 in the presence of EGCG via lysis of viral particles (Fassina *et al.*, 2002). In a study
24 by Yamaguchi *et al.* the possible antiviral effects of EGCG for every step of the HIV-
25 life cycle were investigated (Yamaguchi *et al.*, 2002). Again, EGCG destroyed
26 virions in a dose- and time-dependent manner and inhibited RT activity.

1Mechanistically, viral lysis was facilitated via EGCG binding to the surface of the viral
2envelope and deforming membrane phospholipids in similar manner to polymixin B
3on bacterial membranes (Ikigai *et al.*, 1993; Yamaguchi *et al.*, 2002).

4HIV-1 entry is initiated by the attachment of the gp120 envelope protein to the CD4
5receptor and subsequent interaction with the co-receptors CCR5 or CXCR4. Fusion
6of host and virus membrane occurs with help of the fusion peptide located in the
7gp41 of HIV-1. After membrane fusion the capsid is released into the cytoplasm.

8Kawai *et al.* investigated the effect of EGCG on the expression of CD4 molecules
9and noted that EGCG, but not ECG, can prevent attachment of HIV-1 virions by
10blocking the interaction of gp120 and CD4 on T helper cells (Kawai *et al.*, 2003).

11EGCG in concentration ranging from 25-250 $\mu\text{mol/L}$ downregulated the cell surface
12receptor expression by binding to CD4, presumably at a binding site recognized by
13gp120 (Kawai *et al.*, 2003; Nance *et al.*, 2009). Supporting this observation, EGCG
14was shown to compete with anti-CD4 monoclonal antibodies. Cell-surface CD4
15expression is regulated via multiple mechanisms, including CD4 endocytosis,
16intracellular retention of the molecular complex and shedding from the cell surface
17(Geleziunas *et al.*, 1994). HIV-1 infection per se induces CD4 down-regulation by
18proteasomal degradation (Aiken *et al.*, 1994). The molecular details by which EGCG
19modulates CD4 down-regulation at the cell surface are not fully understood although
20CD4 shedding from the cell surface, and CD4 endocytosis could be ruled out as
21process (Kawai *et al.*, 2003). However, the crucial mechanism of action of EGCG in
22HIV-1 entry inhibition seems to be the interferences of EGCG with gp120 as a ligand
23for CD4 and thereby preventing the initial attachment of viruses to CD4 T cells. The
24characteristics of EGCG-CD4 binding was further investigated by nuclear magnetic
25resonance (NMR) spectroscopy and molecular modelling (Williamson *et al.*, 2006).

26Addition of CD4 to EGCG produced a linear decrease in NMR signal intensity from

1EGCG, but not from the control molecule catechin, demonstrating clear evidence of
2high-affinity binding of EGCG to the CD4 molecule with a K_d of approximately 10
3nmol/L (Williamson *et al.*, 2006). Physiologically relevant concentration of EGCG (0.2
4 μ mol/L) inhibited binding of gp120 to isolated human CD4 T cells. Molecular
5modeling studies suggested a binding site for EGCG in the D1 domain of CD4, the
6pocket that gp120 binds (Williamson *et al.*, 2006). The HIV-1 integrase protein is
7responsible for the insertion of HIV proviral DNA into the genome of infected cells.
8Recently, EGCG was also evaluated for the ability to inhibit the HIV-1 integrase in an
9ELISA assay (Jiang *et al.*, 2010). It was shown that catechins with a galloyl moiety
10were able to reduce HIV-1 integration by binding between the integrase and the viral
11DNA disrupting this interaction. However, further studies validating these *in vitro*
12experiments with infectious viruses should be performed.

13In conclusion, EGCG appears to interfere with multiple aspects of the HIV-1 life-
14cycle, including virion destruction via interaction with the viral envelope, abrogation
15of viral replication via inhibition of reverse transcription, inhibition of proviral genome
16integration and CD4 receptor downregulation. Most conclusively, competition with
17gp120 for CD4 binding was validated in several independent studies. Importantly,
18physiological EGCG concentrations were able to reduce the attachment of gp120 to
19CD4 by a factor of 20-fold and further studies *in vivo* are required to judge if EGCG
20has promise as a potential future antiretroviral therapy.

21

22**Effect of EGCG on other viruses**

23

24With respect to RNA viruses, EGCG was tested against two other viruses,
25enterovirus 71 belonging to the family of *Picornaviridae* and influenza viruses which
26are members of the family of *Orthomyxoviridae*.

1 Influenza A and B viruses are a major cause of respiratory disease in humans. In
2 addition, influenza A viruses continuously re-emerge from animal reservoirs into
3 humans causing human pandemics every 10–50 years of unpredictable severity
4 (Garcia-Sastre, 2011). Influenza A viruses are negative sense, single-stranded,
5 segmented RNA viruses with an envelope. There are several subtypes known,
6 labelled according to an H number (for the type of hemagglutinin) and an N number
7 (for the type of neuraminidase). The annual flu (also called "seasonal flu" or "human
8 flu") results in approximately 36,000 deaths and more than 200,000 hospitalizations
9 each year in the U.S. alone. Vaccines are the most widely used intervention for
10 influenza infection prophylaxis, but their effectiveness depends on the type of
11 influenza virus and they also have the drawback of limited supply (Collin *et al.*,
12 2009). Two main classes of antiviral drugs used against influenza viruses are
13 neuraminidase inhibitors or inhibitors of the viral M2 protein, such as amantadine
14 and rimantadine. These drugs can reduce the severity of symptoms and mortality
15 and can also be taken to decrease the risk of infection. However, viral strains have
16 emerged that show drug resistance to both classes of drug. Antiviral activity of
17 EGCG was reported against influenza virus already for the first time in 1993. The
18 green tea molecule affected the infectivity of influenza virus in cell culture and it was
19 shown to agglutinate the viruses, preventing the virus from absorbing to MDCK cells
20 (Nakayama *et al.*, 1993). Furthermore, green tea extracts including EGCG exerted
21 an inhibitory effect on the acidification of intracellular compartments such as
22 endosomes and lysosomes, resulting in an inhibition of influenza virus growth in
23 tissue culture (Imanishi *et al.*, 2002). These studies were extended by Song *et al.*
24 who tested the structure-activity relationship of the different green tea polyphenolic
25 compounds EGCG, ECG and EGC against influenza (Song *et al.*, 2005). They found
26 that ECG and EGCG were more effective than EGC and the molecules also exerted

1an inhibitory effect on the neuraminidase in a biochemical assay. Influenza viral RNA
2synthesis analyzed by RT-PCR was affected only at very high concentrations (Song
3*et al.*, 2005). Interestingly, based on these *in vitro* data clinical studies were
4performed to investigate if green tea catechins can prevent influenza infections in
5humans. In a small prospective cohort study it was reported that gargling with tea
6catechins extracts was effective in preventing influenza infection in elderly nursing
7home residents (Yamada *et al.*, 2006). In addition, another randomized, double-
8blind, placebo-controlled trial consuming catechins for 5 months had a statistically
9significant preventive effect on clinically defined influenza infection and was well
10tolerated (Matsumoto *et al.*, 2012). These trails raise hope for the protective effect of
11catechins against influenza virus, however, large-scale studies are needed to
12confirm this effectiveness.

13Enterovirus 71 is a single stranded, RNA virus and one of the causative agents for
14hand, foot and mouth disease (HFMD). This virus causes various clinical
15manifestations, including cutaneous, visceral, and neurological diseases. Large
16outbreaks have been reported in Taiwan and Malaysia in 1990s. Recently,
17enterovirus 71 repeatedly caused life-threatening outbreaks of hand-foot-mouth
18disease with neurological complications in Asian children. The neurological
19manifestations progress very quickly and range from aseptic meningitis to acute
20flaccid paralysis and brainstem encephalitis. It could be demonstrated that EGCG
21inhibited enterovirus 71 replication and formation of infectious progeny virus (Ho *et*
22*al.*, 2009). There was a positive correlation between antioxidant capacities of
23catechins (Yang *et al.*, 1994) and their antiviral activity (Ho *et al.*, 2009). These
24findings suggested that EGCG may suppress viral replication via modulation of the
25cellular redox milieu.

26

1The etiologic agent of acute and chronic hepatitis B is human hepatitis B virus
2(HBV), a small enveloped virus from the family of *Hepadnaviridae*. Around 40% of
3the global human population had contact with the virus that is transmitted parentally,
4sexually and perinatally (Shepard *et al.*, 2006). Infection results in acute hepatitis
5and – in some cases – acute liver failure. Chronic hepatitis B that affects over 300
6million HBV persists even after clinical resolution of acute infection and can be
7reactivated causing severe disease under conditions of immunosuppression. In
8contrast to HCV, a preventive vaccine for HBV and specific antiviral drugs are
9available. However, viral resistance increasingly poses a challenge (Tillmann, 2007).
10To elucidate the effect of green tea catechins against HBV, green tea extracts and
11EGCG were studied in the stable cell line HepG2-N10 expressing HBV antigens (Xu
12*et al.*, 2008). The authors observed that expression of HBV specific antigens, the
13levels of extracellular HBV DNA, intracellular replicative intermediates and cccDNA
14were reduced in a dose-dependent manner (Xu *et al.*, 2008). However, it is difficult
15to dissect the detailed anti-HBV mechanisms of EGCG using HepG2-N10 cells as
16the process from cccDNA to antigen expression are strongly affected by transcription
17of integrated HBV DNA (Zhou *et al.*, 2006). Recently, He *et al.* therefore used an
18inducible HBV replicating cell line to test EGCG, termed HepG2.117, where HBV
19precore mRNA can only be transcribed from replicating HBV DNA but not the
20integrated HBV DNA (He *et al.*, 2011). They observed that HBV replicative
21intermediates of RNA synthesis were significantly inhibited by EGCG, which resulted
22in less cccDNA production (He *et al.*, 2011). In contrast, the production of HBV
23pregenomic RNA, precore mRNA and the translation of hepatitis B e antigen
24(HBVeAg) were not affected. To elucidate whether the antiviral effect of EGCG is the
25result of targeting of cellular factors or viral factors, additional studies are required
26ideally in cell culture models that allow recapitulation of the complete HBV life cycle.

1In case of other DNA viruses, EGCG has been analyzed so far against adenovirus,
2Epstein-Barr virus (EBV) and Herpes simplex virus (HSV-1), the two latter one
3belonging to the family of *Herpesviridae*. Adenoviruses are non-enveloped viruses
4composed of a nucleocapsid and a double-stranded linear DNA genome. There are
557 described serotypes in humans, which are responsible for 5–10% of upper
6respiratory infections in children. Humans infected with adenoviruses display a wide
7range of responses, from no symptoms at all to the severe infections typical of
8adenovirus serotype 14. When the antiviral effect of green tea was studied on
9adenovirus infection, the virus yield could be reduced by two orders of magnitude in
10Hep2 cells (Weber *et al.*, 2003). The molecule was most effective when added to the
11cells during the transition from early to late phase of viral infection suggesting EGCG
12inhibits one or more late steps in virus infection (Weber *et al.*, 2003). Furthermore,
13inactivation of purified adenoviruses and inhibition of viral protease activity was
14noted. The therapeutic value, however, seem to be limited as the effective
15concentrations were high above the reported serum concentration of green tea
16drinkers. This was also the case when EGCG was tested against EBV. EBV is a
17human herpesvirus causing infectious mononucleosis and is closely associated with
18Burkitt's lymphoma, nasopharyngeal carcinoma, T-cell lymphoma and Hodgkin's
19disease (Bravender, 2010). *In vitro*, only EGCG concentration exceeding 50 μ M
20decreased expression of EBV lytic proteins, including Rta, Zta and EA-D, but not the
21expression of EBNA-1 (Chang *et al.*, 2003). Moreover, DNA microarray and transient
22transfection analysis demonstrated that EGCG blocks EBV lytic cycle by inhibiting
23the transcription of immediate-early genes (Chang *et al.*, 2003).
24Herpes simplex is a viral disease caused by Herpes simplex virus type 1 (HSV-1)
25and type 2 (HSV-2). Worldwide rates of HSV infection are between 65% and 90%
26(Chayavichitsilp *et al.*, 2009). There is no vaccine available or a method to eradicate

1 herpes viruses from the body, but antiviral medications like acyclovir can reduce the
2 frequency, duration, and severity of outbreaks. Characterization of the antiviral
3 activity of EGCG against HSV-1 and HSV-2 revealed that EGCG has greater anti-
4 HSV activity than other green tea catechins and inactivated multiple clinical isolates
5 of HSV-1 and HSV-2. Importantly, EGCG reduced HSV-2 titers by more than 1000-
6 fold in 10 to 20 min and reduced HSV-1 titers to the same extent in 30 to 40 min
7 (Isaacs *et al.*, 2008). Similar to HCV, HIV-1 and influenza virus, the anti-HSV activity
8 was due to a direct effect on the virion and incubation of target cells prior to infection
9 had no effect (Isaacs *et al.*, 2008). Using electron microscopy the authors could
10 show those purified viruses exposed to EGCG were damaged. As EGCG is stable
11 on the pH range found in the vagina it was proposed that the green tea molecule
12 could be a promising candidate for use in a microbicide to reduce HSV
13 transmission (Isaacs *et al.*, 2008). Furthermore, EGCG dimers inactivated HSV-1
14 and HSV-2 more effectively between pHs 4.0 and 6.6 than the EGCG monomer
15 which has therefore even more potential for reducing spread of HSV *in vivo* (Isaacs
16 *et al.*, 2011).

17

18 ***EGCG against staphylococci***

19

20 *Staphylococcus aureus* is among the most common pathogens causing community-
21 and hospital-acquired infections. In Europe, *S. aureus* is the second most common
22 causative microorganism for bacteraemia and is one of the leading causes of sepsis
23 worldwide (Biedenbach *et al.*, 2004). Methicillin-resistant *S. aureus* (MRSA) is a type
24 of staphylococci that is resistant to certain antibiotics called beta-lactams. Infections
25 with MRSA are more difficult to treat and are therefore associated with a higher
26 mortality rate than those caused by methicillin-susceptible *S. aureus* (MSSA)

1(Cosgrove *et al.*, 2003). The methicillin resistance in *S. aureus* is primarily mediated
2by the *mecA* gene, which codes for the modified penicillin-binding protein 2a
3(PBP2a). PBP2a is located in the bacterial cell wall and has low binding affinity for
4beta-lactams.

5The activity of EGCG as single agent and in combination with beta-lactams has been
6assessed in multiple studies. Initially, *in vitro* data from a study performed over two
7decades ago indicated that tea extracts, at concentrations found in ordinarily brewed
8tea, inhibited the growth of MRSA (Toda *et al.*, 1989). Subsequently Ikigai *et al.*
9investigated the biological activity of green tea components including EGCG against
10*S. aureus* (Ikigai *et al.*, 1993). It was reported that the minimum inhibitory
11concentration (MIC) values of EGCG were below 100 µg/mL. Initial experiments
12suggested that negatively charged EGCG exerts its anti-bactericidal activity via
13binding to the positively charged lipids of the bacterial cell membrane, causing
14damage to the lipid layer. Subsequently, the interaction of catechins including EGCG
15with lipid bilayers has been studied in detail (Cui *et al.*, 2012; Kajiya *et al.*, 2008;
16Kamihira *et al.*, 2008; Kumazawa *et al.*, 2004; Sirk *et al.*, 2008; Uekusa *et al.*, 2007).
17The mechanism of action of EGCG against staphylococci was further investigated by
18Yam and co-workers who demonstrated that tea extracts can reverse the phenotypic
19methicillin resistance in MRSA (Yam *et al.*, 1998). Tea extracts at 25 µg/mL were
20able to inhibit the production of PBP2 by >90% in a constitutively PBP2 producing *S.*
21*aureus* strain. In addition, the production of beta-lactamases was inhibited. In
22contrast to the study from Yam *et al.*, suppression of PBP2 could not be detected by
23Zhao *et al.* either by PBP2 mRNA expression using quantitative PCR or by PBP2
24production using latex agglutination (Zhao *et al.*, 2002).

25Combination testing of tea extracts and beta-lactams (methicillin, benzylpenicillin)
26mostly demonstrated a synergistic antibacterial effect. These results were mainly

1 confirmed by Zhao and colleagues who showed that 25 µg/mL EGCG was able to
2 reverse the high level resistance of MRSA to all types of beta-lactams, including
3 benzylpenicillin, oxacillin, methicillin, ampicillin and cephalexin (Zhao *et al.*, 2001b).
4 Fractional inhibitory concentrations indices (FICI) of the tested beta-lactams against
5 25 MRSA isolates were low (0.126 - 0.625), indicating a synergistic effect. In
6 additional studies, the combination of EGCG with ampicillin/sulbactam or
7 carbapenems was also shown to exert a synergistic antibacterial effect and MICs
8 were reduced to the susceptibility breakpoint (Hu *et al.*, 2002; Hu *et al.*, 2001;
9 Stapleton *et al.*, 2004). Furthermore, 12.5 µg/mL EGCG in combination with penicillin
10 revealed a synergistic effect against in 100% of the 21 tested MRSA strains (Zhao *et*
11 *al.*, 2002). As previously reported, also the production of penicillinase from penicillin-
12 resistant *S. aureus* was inhibited by EGCG in a dose-dependent manner.
13 Besides EGCG, ECG was also able to reverse beta-lactam resistance in clinical
14 MRSA isolates (Stapleton *et al.*, 2004). It was shown that the gallate moiety of EGC
15 was essential for oxacillin-modulating activity, as both (-)-epicatechin and (-)-
16 epicatechin-3-cyclohexylcarboxylate were unable to reverse resistance.
17 Results from Shimamura and co-workers indicated that EGCG binds directly or
18 indirectly to the peptidoglycan of the bacterial cell wall and inhibits the penicillinase
19 activity, protecting penicillin from inactivation (Zhao *et al.*, 2002). Besides the
20 combination of EGCG with beta-lactams, interactions of EGCG with non-beta-lactam
21 antibiotics have been evaluated against MRSA (Hu *et al.*, 2002). The combination of
22 EGCG with antibiotic inhibitors of protein or nucleic acid synthesis was additive or
23 indifferent (FICI, 0.5 – 4.0). In contrast to that, the interaction of EGCG with
24 glycopeptide antibiotics (vancomycin, teicoplanin) showed antagonistic tendencies.
25 These *in vitro* data indicate that choice of antibiotic in any potential combination

1therapy consisting of EGCG with antibiotics against staphylococci is critical to
2achieve a bactericidal effect.

3Two studies from Italy provided further insights in effects of EGCG against
4staphylococci (Blanco *et al.*, 2005; Sudano Roccaro *et al.*, 2004). Blanco *et al.*
5showed that 50 µg/mL EGCG was able to reverse tetracycline resistance and
6appeared to improve the MICs of tetracycline in susceptible staphylococcal isolates.
7In strains in which tetracycline resistance was based on expression of a tetracycline
8efflux pump protein (Tet(K)), EGCG inhibites the pump activity which results in an
9increased intracellular retention of tetracycline.

10Sudano Roccaro *et al.* (2004) demonstrated that EGCG was able to decrease slime
11production and inhibit biofilm formation by ocular *S. aureus* and *S. epidermidis*
12isolates (Sudano Roccaro *et al.*, 2004). These results indicate that besides the
13binding to lipid layers and peptidoglycan, EGCG interferes with extracellular
14polymeric material (glycocalyx).

15Another interesting antibacterial effect of EGCG was demonstrated by Hisano *et al.*
16In experiments using BALB/c mice and human PBMCs, polyphenon, consisting of
1750% EGCG, could neutralize staphylococcal enterotoxin B in a dose- and incubation
18time dependent manner by binding to enterotoxin B molecules (Hisano *et al.*, 2003).
19Further work is needed to determine the effects of EGCG against different
20enterotoxins, and whether EGCG has neutralization properties against other
21staphylococcal superantigens as toxic shock syndrome toxin (TSST) requires
22investigation.

23Taken together, there are multiple mechanisms by which ECGC exerts antibacterial
24effects against staphylococci, including bactericidal activity, synergism in
25combination with other antibiotics, anti-biofilm activity and inhibition of beta-
26lactamase production or neutralization of released toxins. However, not all effects of

1EGCG against staphylococci are beneficial. A recent study demonstrated that short
2exposure of *Staphylococcus* strains to sub-lethal doses of EGCG can lead to cross-
3resistance against antibiotics targeting the bacterial cell wall (vancomycin, oxacillin,
4ampicillin) (Bikels-Goshen *et al.*, 2010). All EGCG-adapted strains were also more
5heat tolerant. The reason for this phenomenon was studied by transmission electron
6microscopy analysis which revealed that bacterial cells in cultures exposed to EGCG
7showed pseudo-multicellular appearance and had a more than 2-fold increase in the
8cell wall thickness. In summary, the results of this study indicate that EGCG may
9also contribute to the development and enhancement of bacterial resistance
10mechanisms. Animal studies are needed to explore if these observations are
11reproducible *in vivo*.

12

13 **EGCG against streptococci and other gram-positive bacteria**

14

15 Certain *Streptococcus* species are responsible for many cases of meningitis,
16 pneumonia, endocarditis, erysipelas and necrotizing fasciitis. However, many
17 streptococcal species are nonpathogenic, and part of the commensal human
18 microbiome of the mouth, skin, intestine, and upper respiratory tract.

19 Despite the complexity of oral flora, oral streptococci, including *S. mutans*, have
20 generally been considered the primary etiologic agents of dental caries (Beighton,
21 2005). In several studies, it was shown that tea catechins possess antimicrobial
22 effects against oral streptococci. The prevention and reduction of dental caries
23 formation was demonstrated in animal models as well as clinical trials. As the focus
24 of this review is summarising the spectrum of EGCG activity, we would like to refer
25 the reader to Taylor *et al.* who reviewed the anticariogenic activity of EGCG and its
26 effects on periodontal disease (Taylor *et al.*, 2005).

1 *Streptococcus pyogenes* has several virulence factors, including cell surface
2 components (lipoteichoic acid, hyaluronic acid capsule, M proteins, laminin and
3 collagen binding proteins) which are responsible for bacterial adhesion to human
4 cells. EGCG was able to inhibit the attachment of bacteria to pre-treated and post-
5 treated cells and could induce *S. pyogenes* cell death (Hull Vance *et al.*, 2011). It
6 was concluded that EGCG can be used effectively as an adjunct to conventional
7 antibiotic treatment. However, future studies are needed to elucidate the activity of
8 EGCG against *S. pyogenes* in animal models. Presently, no data exist concerning
9 the antibacterial activity of EGCG against *Streptococcus pneumoniae*.

10

11 **EGCG against gram-negative bacteria**

12

13 It was supposed that gram-positive bacteria are more susceptible to EGCG than
14 gram-negative bacteria (Yoda *et al.*, 2004) because one mode of action of EGCG is
15 binding to peptidoglycan. Peptidoglycan of gram-negative bacteria is shielded by an
16 outer membrane that is mainly composed of negatively charged lipopolysaccharides.
17 For that reason it was hypothesized that the physiological function of the outer
18 membrane and low affinity of the also negatively charged EGCG to the bacterial cell
19 membrane reduce the antibacterial activity of EGCG against gram-negative bacteria
20 (Yoda *et al.*, 2004).

21 The gram-negative non-fermentative bacillus *Stenotrophomonas maltophilia* is
22 intrinsically resistant to beta-lactams and other broad-spectrum antibiotics and has
23 emerged globally as an important nosocomial pathogen (Brooke, 2012). Two studies
24 could show that EGCG exerts antibacterial effects against *S. maltophilia* (Gordon *et*
25 *al.*, 2010; Navarro-Martinez *et al.*, 2005). Furthermore, it was demonstrated that
26 EGCG is an efficient inhibitor of *S. maltophilia* dihydrofolate reductase, and acts

1 synergistically with the folic acid metabolism blocking drug, sulfamethoxazole
2 (Navarro-Martinez *et al.*, 2005). The type of inhibition is similar to that of
3 trimethoprim. Therefore, EGCG could represent an effective alternative in
4 combination with sulfamethoxazole in treating *S. maltophilia* infections perhaps also
5 caused by strains being resistant to trimethoprim. The range of the MIC of EGCG for
6 *S. maltophilia* is nearly similar as for *Acinetobacter baumannii*, another multi-drug
7 resistant pathogen causing nosocomial infections (Osterburg *et al.*, 2009).

8 It has been reported that the tea catechins have antibacterial activity against various
9 foodborne pathogenic gram-negative bacteria, including *Helicobacter pylori*,
10 enterohemorrhagic *Escherichia coli* (EHEC), *Vibrio cholera*, *Bacillus* spp.,
11 *Clostridium* spp. *Shigella* spp. and *Salmonella* spp. (Friedman *et al.*, 2006; Lee *et al.*,
12 2009; Mabe *et al.*, 1999; Ryu, 1980; Sakanaka *et al.*, 2000; Shetty *et al.*, 1994;
13 Stoicov *et al.*, 2009; Sugita-Konishi *et al.*, 1999; Taguri *et al.*, 2004; Yanagawa *et al.*,
14 2003). An overview of the existing studies analysing the antimicrobial effects of
15 EGCG against bacteria causing food-borne disease is shown in Table 2.

16 *H. pylori* has been identified as an etiologic agent involved in the development of
17 gastric ulcers, peptic ulcers, gastritis, and many other stomach-related diseases.
18 Different *in vitro* and *in vivo* studies explored the activity of tea catechins against *H.*
19 *pylori*. EGCG was the most potent catechin as the MIC values for 50% of the tested
20 *H. pylori* strains were 8 µg/mL (Mabe *et al.*, 1999). Additive effects were shown in
21 combination with amoxicillin, metronidazole and clarithromycin, antibiotics usually
22 used as first line of treatment for *H. pylori* infections (Mabe *et al.*, 1999; Yanagawa *et*
23 *al.*, 2003). However, the bactericidal EGCG activity is limited at pH ≤5.0. In infected
24 Mongolian gerbils, *H. pylori* was only eradicated in 10 to 36% of the catechin-treated
25 animals (Mabe *et al.*, 1999). It is possible that the pH-dependency of the
26 antibacterial activity of EGCG or the short gastric-transit time of the agent was

1causative for the low eradication rate observed in this study. Thus, further studies
2are needed to assess the efficiency of EGCG in combination with a proton pump
3inhibitor and a drug delivery system with prolonged gastric transit time (Mabe *et al.*,
41999). Green tea had also prophylactic abilities, as it can prevent gastric mucosal
5inflammation in animals if ingested prior to exposure to *Helicobacter pylori* (Stoicov
6*et al.*, 2009; Takabayashi *et al.*, 2004).

7Shiga-toxin producing *E. coli* is an important pathogen causing haemolytic-uremic
8syndrome, including the EHEC O104:H4 outbreak in Germany in 2011 where 3816
9patients were affected (Frank *et al.*, 2011). Even though the MICs of EGCG against
10*E. coli* O157:H7 were quite high (539 +/- 22 µg/mL), it was demonstrated that at low
11concentrations EGCG inhibits extracellular release of Shiga-toxin and could
12decrease quorum-sensing regulated genes, biofilm formation and swarm motility
13(Lee *et al.*, 2009; Okubo *et al.*, 1998; Sugita-Konishi *et al.*, 1999). In addition, Isogai
14*et al.* observed that infected gnotobiotic mice fed with green tea extracts had
15significantly lower Shiga-toxin levels than the untreated control group (Isogai *et al.*,
161998). Untreated controls developed neurologic and systemic symptoms, usually
17culminating in death. In contrast, none of mice receiving dietary green tea extracts
18exhibited any clinical symptoms or died. Additionally, the combination of green tea
19extract with levofloxacin increased survival rates and reduced damage to target
20organs in orally EHEC infected gnotobiotic mice (Isogai *et al.*, 2001). In conclusion,
21these data provide evidence that EGCG has beneficial effects against Shiga-toxin
22producing *E. coli*. However, more studies are necessary to determine the anti-EHEC
23effects of EGCG in animal models or clinical trials.

24As previously reported in *S. maltophilia*, EGCG was shown to act as a bisubstrate
25inhibitor of the bacterial dihydrofolate reductase in *E. coli* (Spina *et al.*, 2008).
26Furthermore, atomic force microscopy results demonstrated that sub-MIC EGCG

1 treatment of *E. coli* 0157:H7 led to temporary changes in the cell walls, such as
2 pore-like lesions or their collapse (Cui *et al.*, 2012). By measuring the intracellular
3 oxidation levels in bacteria after EGCG treatment, it was indicated that the
4 morphological changes of gram-negative bacterial cell walls induced by EGCG
5 depended on H₂O₂ release. As previously shown, one EGCG molecule can produce
6 up to two molecules of H₂O₂ in phosphate buffer at neutral pH (Arakawa *et al.*, 2004).
7 In conclusion, increasing H₂O₂ levels resulting in higher oxidative stress is also one
8 mechanism of the bactericidal action of EGCG against gram-negative bacteria.
9 EGCG has not only direct antibacterial properties on microorganisms. Sub-inhibitory
10 concentrations of EGCG blocked or significantly diminished the transfer of
11 conjugative R plasmid between *E. coli* isolates in a dose-dependent manner (Zhao
12 *et al.*, 2001a). This could be of interest because the horizontal transfer of resistance
13 genes by conjugation via plasmids is one of the major mechanisms for dissemination
14 of resistance genes between bacteria. However, future studies are warranted
15 demonstrating the inhibitory effects against the plasmid-mediated gene transfer of
16 resistance factors in *in vitro* and *in vivo* models.

17 EGCG also had selective immunomodulatory effects on pathogens, as was shown
18 for *Legionella pneumophila* (Matsunaga *et al.*, 2001). *L. pneumophila* is an obligate
19 human pathogenic bacterium that invades and replicates in macrophages. EGCG
20 was demonstrated to inhibit growth of *L. pneumophila* in macrophages at a
21 concentration as low as 0.5 µg/mL, without any direct antibacterial effect on the
22 pathogen. The replication was reduced due to selectively altering the immune
23 response of macrophages and enhanced production of pro-inflammatory cytokines.

24 In conclusion, multiple *in vitro* and *in vivo* datasets indicate EGCG has significant
25 direct and indirect anti-pathogenic effects against foodborne bacteria and other
26 gram-negative rods, including multi-drug resistant strains.

1

2 **EGCG against fungi**

3

4 Over 600 different fungi have been reported to infect humans, ranging from common
5 to fatal infections (Brown *et al.*, 2012). They infect billions of people every year and
6 due to the more modern and interventional medicine and the increase of
7 immunosuppressed patients, the incidence of invasive fungal infections is rising. The
8 antifungal effects of EGCG were mainly studied against yeasts such as *Candida*
9 spp. and molds such as dermatophytes. Currently, data concerning aspergilli or
10 other human-pathogenic fungi as zygomycetes are lacking.

11 Yeasts such as *Candida* spp. are generally considered as commensals of the skin,
12 mucosa and gut flora. Superficial infections by *Candida* spp. are commonly present
13 in cases of deferment of bacterial flora or dysfunction of the local defence system.
14 Candidemia is the fourth most common source of bloodstream infection in the US
15 and is associated with high morbidity and mortality (Pappas *et al.*, 2009; Rangel-
16 Frausto, 1999).

17 The dermatophytes are a distinct group of fungi which have the ability to utilize
18 keratin as a nutrition source. These fungi cause superficial infections of the skin, hair
19 and nails of humans and animals.

20 The problem with the most currently available antifungals is not the existing
21 antimycotic activity; it is more the potential side effects of the different classes of
22 drugs as most of them are nephro- or hepatotoxic. Thus, developing and testing
23 compounds from nature with less toxic effects is desirable.

24 The first study analyzing fungicidal activities of EGCG against *Trichophyton*
25 *mentagrophytes*, *T. rubrum*, *Cryptococcus neoformans* and *C. albicans* was
26 performed in 1991 (Okubo *et al.*, 1991). Low concentrations of EGCG at 2.5 mg/mL

1

1 showed no antifungal effects against *C. albicans* and *C. neoformans in vitro*.
2 However, the tea extract with EGCG inhibited the growth of *Trichphyton* in a dose-
3 and contact time-dependent manner. Using scanning and transmission electron
4 microscopy to study the mode of action, the same research group examined the
5 effects of EGCG against *T. mentagrophytes* (Toyoshima *et al.*, 1994). EGCG was
6 shown to inhibit the germination of conidia and subsequent hyphal growth. After
7 three days EGCG treatment, the conidia changed their morphological characteristics
8 in terms of deformation and swelling and after five days most of the ungerminated
9 conidia were broken down. In addition, the hyphal cell walls were exfoliated. It was
10 concluded that EGCG can cause lysis of the conidia and hyphae suggesting an
11 antidermatophytic effect against *T. mentagrophytes*.

12 It took over 15 years before the next study investigated the *in vitro* activity of EGCG
13 against clinical isolates of dermatophytes (Park *et al.*, 2011). The susceptibility of 35
14 dermatophytes was tested against wide range of EGCG concentrations using the
15 standard protocol (M38-A2) from the Clinical and Laboratory Standards Institute
16 (CLSI). The MIC₅₀ and MIC₉₀ of EGCG were 2-4 µg/mL and 4-8 µg/mL, respectively.
17 Interestingly, *T. rubrum* was more susceptible than *T. mentagrophytes* and
18 *Microsporum canis*. It was suggested to perform *in vivo* or *ex vivo* experiments to
19 verify a potential effect of EGCG.

20 While infections with dermatophytes only present sometimes therapeutic challenges,
21 yeasts like *Candida* spp. possess a substantially higher medical relevance in terms
22 of associated morbidity and mortality.

23 A study testing the susceptibility of *C. albicans* to catechins as single agents and in
24 combination with antifungal agents by a broth microdilution method showed that
25 EGCG had pH-dependent anti-*C. albicans* effects (Hirasawa *et al.*, 2004). At a pH of
26 7.0 the MIC₉₀ of EGCG ranged between 15.6 and 250 µg/mL. The combination of

1EGCG with antifungal agents (amphotericin B, fluconazole) inhibited the growth of
2different reference strains indicating additive or synergistic effects. Further *in vivo*
3experiments are needed to test whether a combination treatment of a catechin with
4an antimycotic would be beneficial for effective *Candida* treatment.

5The results from another investigation evaluating the antifungal activity of EGCG
6(CLSI M27-A) on 21 clinical isolates of seven *Candida* species *in vitro* was mainly in
7agreement with the previous announced study (Park *et al.*, 2006). The MIC₉₀ of
8EGCG against *C. albicans* was >16 µg/mL whereas *C. glabrata*, *C. guilliemondii* and
9*C. parapsilosis* exhibited the highest susceptibility (MIC₉₀; 1-16 µg/mL). As expected,
10most antifungals revealed lower MIC values against *Candida* spp. than EGCG. It
11was suggested to use EGCG as possible agent or adjuvant for antifungal therapy in
12candidiasis. However, the mechanism of antifungal effect has not been defined and
13*in vivo* experiments are currently lacking.

14Indeed a limitation of these studies is the fact that only *in vitro* testing of EGCG was
15performed. Besides *in vitro* testing, another important issue is studying the
16underlying mechanisms of action of EGCG. Furthermore, it would be desirable to
17confirm the *in vitro* test results in *in vivo* experiments. Up to now, three studies tried
18to address these issues:

19In an *in vitro* study, it was shown that EGCG, EGC and ECG causes metabolic
20instability to *C. albicans* cultures even at physiological polyphenol concentrations
21found in green tea (Evensen *et al.*, 2009). EGCG was found to be the most potent
22agent of the three catechins in its ability to retard the formation and maintenance of
23*Candida* biofilm and to disrupt a preformed biofilm. It was demonstrated that higher
24EGCG concentrations inhibited *C. albicans* proteasomol chymotrypsin-like activity *in*
25*vivo* suggesting that the impairment of proteasol activity contributes to cellular
26metabolic and structural disruptions of this yeast.

1A study by Navarro-Martínez and colleagues explored the mechanism of inhibition of
2tea catechins on *C. albicans* (Navarro-Martinez et al., 2006). They found nearly the
3same MICs of EGCG against *C. albicans* as previously shown by Hirasawa et al.
4(Hirasawa et al., 2004). In addition, it was demonstrated that EGCG is a pH-
5independent inhibitor of the *C. albicans* dihydrofolate reductase (DHFR) ($K_i = 0.7\mu\text{M}$),
6a key enzyme in the biosynthesis of purines, pyrimidines and several amino acids.
7The combination testing of EGCG with azole antifungals (ketonazole and
8itraconazole) or inhibitors of the ergosterol biosynthesis pathway was mainly
9synergistic. By disturbing the folate metabolism in *C. albicans* cells, EGCG could
10inhibit ergosterol production. As EGCG also had activity against an azole-resistant
11isolate, it was proposed that EGCG might be an alternative for the treatment of *C.*
12*albicans* infections. This investigation brought new knowledge in the mode of action
13of EGCG. It was shown that EGCG can not only indirectly disrupt the ergosterol
14synthesis pathway through disruption of the folate cycle but also causes inhibition of
15ergosterol biosynthesis due to the reduction of cellular pools of the methyl donor S-
16adenosyl-methionine.

17As EGCG showed activity against *Candida* spp. in *in vitro* experiments, Han
18conducted the first *in vivo* study investigating the synergic anticandidal effect of
19EGCG alone and combined with amphotericin B in a murine model of disseminated
20candidiasis (Han, 2007). Intraperitoneal administration of 1-4 mg/kg EGCG alone or
212 mg/kg EGCG plus 0.5 mg/kg amphotericin B to BALB/c mice before intravenous
22inoculation of 5×10^5 *C. albicans* cells demonstrated the activity of EGCG was dose-
23dependent as the mean survival time was 29.0 days with 4 mg/kg compared to 11.0
24days with 1 mg/kg. The combination treatment of EGCG and amphotericin B
25enhanced resistance of the mice up to 42.1 days compared to the survival rates of
26the untreated control (10.9 days). These results demonstrated an anticandidal *in*

1 *in vivo* activity of EGCG, and showed that EGCG has synergism combined with
2 amphotericin B in a murine model with disseminated candidiasis.

3 In summary, most data concerning the antifungal *in vitro* and *in vivo* activity of EGCG
4 exists against *Candida* indicating that EGCG can be an additional or alternative
5 therapeutic agent against disseminated candidiasis. However, future work is needed
6 to determine the *in vivo* efficiency in different settings and dosages of EGCG.

7

8 **Conclusions**

9

10 In this review, the anti-infective effects of EGCG against viruses, bacteria and
11 different fungi were summarized and discussed. A comparison of the antiviral activity
12 of EGCG (Table 1) shows that RNA and DNA viruses of various virus families with
13 different replication strategies are affected by the green tea molecule. The
14 underlying mechanisms how different viruses were inhibited by EGCG are relatively
15 diverse and in some cases not known. However, for most of the enveloped virus like
16 HCV, HIV, HSV and influenza virus an alteration or damage of the virus particles
17 were reported that abrogated viral entry. Therefore, it is hypothesized that the
18 primary target of EGCG seems to be the viral membrane while the host cell
19 membrane seemed to be not affected. Other catechins do not have such a strong
20 ability to bind to viral membranes. In analogy to viruses the main underlying
21 mechanisms of EGCG inhibiting growth and killing of bacteria is the disruption the
22 lipid layers of the bacterial cell wall. In addition, for selected gram-negative bacteria
23 and fungi it could be demonstrated that EGCG is an efficient inhibitor of the
24 dihydrofolate reductase resulting in blocking of the folic acid metabolism.

25 A crucial aspect of EGCG anti-infective effects is the translation into clinically
26 relevant strategies. In this regard, poor membrane permeability, low chemical

1 stability and rapid metabolism of EGCG pose substantial obstacles that need to be
2 addressed by future studies and possible derivatives of the EGCG backbone.
3 Moreover, testing the safety and tolerability of a drug are very important issues
4 before approval for clinical use. In reported studies with healthy human volunteers, it
5 could be shown that EGCG is safe and very well tolerated with oral doses of 800 mg
6 EGCG per day over a time period of 4 weeks which equals about 8-16 cups of green
7 tea once a day (Chow *et al.*, 2003). The plasma concentration ranged from 0.13 –
8 83.4 µg/ml which reaches the IC₅₀ of EGCG that were determined for example for
9 HCV (Calland *et al.*, 2012; Ciesek *et al.*, 2011), but would probably not be high
10 enough to eliminate the virus completely. In another study by Ullmann *et al.* the
11 safety, tolerability, and pharmacokinetic properties of single dose administration of
12 EGCG that ranged from 50 mg to 1600 mg were analysed (Table 3) (Ullmann *et al.*,
13 2003). EGCG peak concentrations were reached between 1.3 – 2.2 h. The plasma
14 kinetics of EGCG were assessed at intervals for a time frame of 26 hours after
15 administration. The mean total EGCG area under the concentration-time curve from
16 0 h to infinity AUC_(0-∞) ranged from 442 to 10,368 ng h/ml and the mean terminal
17 elimination half-life $t_{1/2z}$ were seen from 1.9 to 4.6 h. Importantly, doses of purified
18 EGCG up to 1600 mg were generally well tolerated (Ullmann *et al.*, 2003).
19 In addition, recent attempts have been made trying to enhance the activity of EGCG.
20 For example, the bioavailability of EGCG can be increased by chronic 800 mg
21 administration or for example by peracetylation (Lambert *et al.*, 2006). Acylation
22 enhanced the anti-influenza virus activity of EGCG up to 44-fold (Mori *et al.*, 2008).
23 Furthermore, addition of long alkyl chains to EGCG significantly enhanced its *in vitro*
24 activity against several bacteria and fungi, particularly against *S. aureus* including
25 MRSA (Matsumoto *et al.*, 2012). Recently, first controlled human studies with EGCG
26 have been reported. A prospective randomized controlled study evaluated the

1 effects of tea catechin inhalation on eradication of MRSA in sputum of disabled
2 elderly patients (Yamada *et al.*, 2006). Inhalation of 2 mL tea catechin extract
3 solution (43% of catechins are composed of EGCG) 3 times daily for 7 days led to
4 disappearance of MRSA in sputum in 31% of patients in comparison to 12% in the
5 control group (saline). But this difference was not statistically significant ($P = 0.091$).
6 However, no adverse events of nebulized EGCG were observed during the study. In
7 case of influenza viruses, a randomized, placebo-controlled trial was conducted
8 showing that consuming catechins for 5 month has a statistically significant
9 preventive effect on clinically defined influenza infections (Matsumoto *et al.*, 2012)
10 but further large-scale trials are needed to confirm these findings.

11 Interestingly, a mixture of at least five different catechins, polyphenon E, where
12 EGCG is the most abundant component (Clark *et al.*, 2006) is very advanced in the
13 clinics. This well defined pharmaceutical mixture is a botanical drug approved by
14 Food and Drug Administration (FDA) and European Medicines Agency (EMA) as a
15 topical treatment of external genital and anal warts in adults. It is the first prescription
16 botanical (herbal) drug approved by FDA under the “new” drug amendments of 1962
17 that required drugs to be proven both safe and effective prior to being marketed in
18 the U.S. External genital warts, caused by human papilloma viruses (HPV type 6 or
19 11), are one of the most common and fastest-spreading venereal diseases
20 worldwide.

21 In conclusion, the magnitude of EGCGs anti-infective activity differs substantially
22 between different reports probably due to different experimental setups and *in vitro*
23 systems. Most of the data come from *in vitro* studies and future research efforts
24 should focus on the design of animal models for investigating the anti-pathogenic
25 effects of teas and tea ingredients. In addition, extraction procedure and methods of
26 *in vitro* testing should be standardized to allow better comparison and interpretation

1of results. Even. However, a long way is still to go and future work is needed before
2EGCG can be routinely administered as an anti-infective drug in patients. However,
3the exciting findings of the past years should stimulate further research on EGCG
4that ultimately may translate into future therapeutic applications of EGCG and/or
5related catechins.

6

7**Figure legends**

8

9**Fig. 1: Chemical structure of the four major catechins in green tea.**

10

11**Fig. 2: HCV entry into human hepatocytes and interference by EGCG**

12Cell entry involves an interaction between the extracellular virion that is associated
13with lipoproteins and several receptors on the host cell membrane. These include
14scavenger receptor type B class 1 (SR-BI), epidermal growth factor receptor (EGF-
15R), CD81, claudin 1 (CLDN1), OCLN, Niemann-PickC1-like 1 (NPC1L1) and possibly
16low density lipoprotein receptor (LDL-R). It has been suggested that the lipoprotein
17receptors SR-BI and LDL-R act before CD81 and the tight junction components
18CLDN1 and OCLN. These interactions induce travelling of the virus-receptor
19complex along the cell surface from the basolateral (blood-side) surface of the
20hepatic epithelium where LDL-R, SR-BI and CD81 are localized to the tight junction
21region where CLDN1 and OCLN are encountered. These events stimulated by
22virion-mediated activation of receptor tyrosine kinase signalling like EGF-R result in
23clathrin-dependent endocytosis of the virion. Acidification of the endosome triggers a
24fusion peptide activity within the glycoproteins E1 or E2, the viral envelope fuses with
25the endosomal membrane and the nucleocapsid is released into the cytosol. EGCG

1 is suggested to act on the virus particle and inhibits virus entry by impairing virus
2 binding to the cell surface.

3

4 **Table 1: Inhibition of different viruses by EGCG**

5

6 **Table 2: Overview of existing studies analysing the antimicrobial effects of** 7 **EGCG against bacteria causing food-borne disease**

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9 **Table 3: Pharmacological properties of total EGCG dosages (50 to 1600 mg)** 10 **studied in healthy volunteers (*Ullmann et al. 2003*)**

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20 **Conflict of interest**

21 No conflict of interest

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