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1 **Purification of hepatitis B surface antigen virus-like**
2 **particles from recombinant *Pichia pastoris* and in**
3 ***vivo* analysis of their immunogenic properties**

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33 **Abstract**

34 Following earlier studies on high-level intracellular production of hepatitis B surface
35 antigen (HBsAg) using recombinant *Pichia pastoris*, we present here in detail an
36 enhanced method for the purification of recombinant HBsAg virus-like particles
37 (VLPs). We have screened various detergents for their ability to promote the
38 solubilization of recombinant intracellular HBsAg. In addition, we have analyzed the
39 effect of cell disruption and extraction regarding their impact on the release of
40 HBsAg. Our results show that introduction of the mild nonionic detergent Tween 20
41 in the initial process of cell lysis at ~ 600 bars by high pressure homogenization lead
42 to the best results. The subsequent purification steps involved polyethylene glycol
43 precipitation of host cell contaminants, hydrophobic adsorption of HBsAg to
44 colloidal silica followed by ion-exchange chromatography and either isopycnic
45 density ultracentrifugation or size exclusion chromatography for the recovery of the
46 VLPs. After final KSCN treatment and dialysis, a total yield of ~3% with a purity of
47 >99% was reached. The pure protein was characterized by electron microscopy,
48 showing the presence of uniform VLPs which are the pre-requisite for
49 immunogenicity. The intramuscular co-administration of HBsAg VLPs, with either
50 alum or a PEGylated-derivative of the toll-like receptor 2/6 agonist MALP-2, to mice
51 resulted in the elicitation of significantly higher HBsAg-specific IgG titers as well as
52 a stronger cellular immune response compared to mice vaccinated with a gold
53 standard vaccine (EngerixTM). These results show that *P. pastoris* derived HBsAg
54 VLPs exhibit a high potential as a superior biosimilar vaccine against hepatitis B.

55 **Keywords**

56 Hepatitis B surface antigen virus-like particles; Aerosil-380; Ion-exchange
57 chromatography; Ultracentrifugation; Size-exclusion chromatography; Electron
58 microscopy; Adjuvant; Vaccine

59 **1. Introduction**

60 The development of a safe recombinant hepatitis B vaccine has led to the inclusion
61 of hepatitis B vaccination in the national infant immunization schedules of
62 approximately 160 countries [1]. Recombinant DNA technology was used to produce
63 hepatitis B surface antigen (HBsAg) in form of virus-like particles (VLPs) using the
64 yeast *Saccharomyces cerevisiae* leading to the development of a so-called “second”
65 generation hepatitis B vaccine and the first recombinant subunit vaccine available
66 [2]. This formulation of the hepatitis B vaccine has been on the market since 1986.
67 Initially, HBsAg VLPs of ~22 nm were purified from the plasma of asymptomatic
68 HBV carriers, but due to safety issues and restricted supply, the “first” generation
69 plasma-derived vaccines are no longer in use [2]. Nowadays, as patents have expired,
70 “third” generation “biosimilar” recombinant HBsAg VLP-based vaccines are being
71 introduced into the market by a variety of new manufacturers which try to make the
72 vaccine also more affordable to developing countries [2].

73 As HBsAg is a very hydrophobic protein, secretion is inefficient in yeast and
74 high-level production has been only achieved as intracellular product. The
75 purification of recombinant HBsAg from yeast cultures is well documented [3-25],
76 [see Tables 1 and 2] and several studies have shown that purified yeast-derived
77 HBsAg can assemble into characteristic ~22 nm VLPs [26-29]. These particles are
78 highly immunogenic and capable of eliciting potent neutralizing antibodies as they
79 mimic the conformation of native viruses but lack the viral genome and can be used
80 as safe and cheap vaccine [26, 30-32].

81 Previously, we have reported a simple fed-batch technique which leads to the
82 production of ~6-7 g/l HBsAg, with 30% in a “soluble” form competent for assembly
83 into VLPs [29]. Although, the purification of HBsAg VLPs was reported before in

84 the “Materials and Methods section” [24], optimization studies of the extraction
85 conditions, details of the purification of HBsAg VLPs and the final characterization
86 of their immunogenic properties were not reported. Here, a simple strategy is
87 outlined for the purification of HBsAg leading to VLPs with satisfactory yields, high
88 purity and excellent quality. Finally, we provide evidence in mice about the superior
89 immunogenic properties of these HBsAg VLPs as a parenteral subunit vaccine in
90 combination with either alum or a novel adjuvant, the TLR2/6 agonist MALP-2.
91

92 **2. Materials and methods**

93 **2.1 Strain and culture conditions**

94 The *P. pastoris* strain GS115 carrying 8 copies of the *HBsAg* gene under the control
95 of the *AOX1* promoter has been described previously [33]. The cells were grown on
96 defined medium in a fed-batch procedure as described before [29]. Briefly, the cells
97 were first grown in a batch procedure on glycerol (initial concentration 95 g/l). After
98 depletion of glycerol, production of HBsAg was initiated through the addition of
99 methanol to a final concentration of 6 g/l and the methanol concentration was kept
100 constant at 6 g/l by continuous methanol feeding throughout the entire production
101 phase.

102

103 **2.2 Purification of recombinant HBsAg**

104 After harvesting, cells were pelleted by centrifugation at 4,225 g for 15 min at room
105 temperature (1 liter culture broth OD600 ~240 corresponding to ~80 g dry cell mass
106 and ~200 g wet cell mass). The cell pellet was resuspended in the same volume of ice
107 cold buffer [20 mM sodium phosphate buffer, pH 8.0, 5 mM EDTA] for removal of
108 media components and other contaminants and recentrifuged.

109

110 *2.2.1 Step 1: Cell lysis and detergent mediated solubilization of HBsAg*

111 For the initial detergent optimization studies, a cell pellet (corresponding to 1 ml OD
112 100 culture broth) was resuspended with glass beads [0.5 g of ~ 0.5 mm size] in 1 ml
113 of a basic lysis buffer [10 mM sodium phosphate buffer, pH 8.0, 5 mM EDTA,
114 500 mM NaCl, 8% glycerol]. This basic lysis buffer was additionally supplemented
115 with 0-2% detergents [Tween 20 or Triton X-100 or CHAPS or NP-40 or sodium
116 deoxycholate] for detergent testing and the whole mixture incubated at 4°C using a

117 thermomixer. In pilot scale studies, cell lysis was essentially carried out as described
118 previously [24]. The washed cell pellet from 1 liter culture broth was resuspended in
119 1 liter ice-cold lysis buffer [25 mM phosphate buffer, pH 8.0, 5 mM EDTA, 0.6%
120 (v/v) Tween-20] and the pre-cooled cell suspension disrupted by high pressure
121 homogenization (Gaulin Lab 60, APV Gaulin, Germany) using four cycles at 600 bar
122 and ~4°C. Cell lysis was confirmed by microscopy.

123

124 *2.2.2 Step 2: Polyethylene glycol (PEG) precipitation*

125 To the lysate collected after high pressure homogenization, a 5 M NaCl solution was
126 slowly added within 30 min to a final concentration of 500 mM followed by the
127 addition of polyethylene glycol 6000 (S. D. Fine-Chem, India, 50% w/v) to a final
128 concentration of 5% (w/v). This suspension was stirred for 2 h at 4°C and
129 precipitation was then allowed to occur for 12-16 h at 4°C without stirring. The
130 suspension was then clarified by centrifugation at 4°C and 4,225 g for 15 min.

131

132 *2.2.3 Step 3: Aerosil-380 adsorption*

133 Prior to use, Aerosil-380 (Evonik, Hanau, Germany) was pre-equilibrated, e.g.
134 washed twice, with 25 mM sodium phosphate buffer, pH 7.2, 500 mM NaCl
135 (centrifuged at 4,225 g for 15 min and 4°C). The clarified supernatant obtained after
136 PEG precipitation (removal of host cell proteins and other host contaminants) was
137 mixed with Aerosil-380 (0.13 g of dry Aerosil-380 pre-equilibrated per g initial wet
138 cell mass). This suspension was stirred for 4 h at 4°C and centrifuged at 4°C and
139 4,225 g for 15 min. The pellet (corresponding to 1 liter of initial culture broth) was
140 washed twice with 25 mM phosphate buffer (pH 7.2), centrifuged as above, finally
141 resuspended in 800 ml of 50 mM sodium carbonate-bi-carbonate buffer, pH 10.8, 1.2

142 M urea and kept at 37°C for 12 h with stirring. This suspension was then centrifuged
143 at 25°C and 15,180 g for 60 min and the supernatant pH adjusted to pH 8.5 for better
144 removal of silica particles (Aerosil-380) and the solution clarified by vacuum-
145 filtration (0.45 µm) before proceeding to the next step.

146

147 *2.2.4 Step 4: Ion-exchange chromatography*

148 The clarified Aerosil-380 eluate was further processed by anion exchange
149 chromatography. An XK column (Amersham Pharmacia Biotech, Sweden) packed
150 with 200 ml of DEAE Sepharose FF (GE Healthcare) and pre-equilibrated with 50
151 mM Tris-HCl, pH 8.5 (conductivity ~3.2 mS/cm) was employed and the column
152 loaded with the Aerosil-380 eluate (~800 ml) using a flow rate of 4 ml/min. After
153 loading, the column was washed with washing buffer [50 mM Tris-HCl, pH 8.5,
154 conductivity ~ 3.2 mS/cm] until the absorbance at 280 nm in the eluate returned to
155 baseline. The bound HBsAg was eluted using a salt step [50 mM Tris-HCl, pH 8.5,
156 500 mM NaCl, conductivity ~50 mS/cm]. The protein containing fractions
157 (absorbance at 280 nm) were analyzed by SDS-PAGE.

158

159 *2.2.5 Step 5: Isopycnic density ultracentrifugation and size-exclusion* 160 *chromatography*

161 To the pooled HBsAg-containing fractions obtained after ion-exchange
162 chromatography, CsCl was added to a final density of 1.2 d/ml. This solution was
163 ultra-centrifuged (Sorval rotor: TV865B) at 236,525 g for 12 h at ~ 23°C without
164 break. Alternatively, size-exclusion chromatography was used for further
165 purification. The DEAE Sepharose FF eluate was concentrated by ultrafiltration
166 (Vivaspin membrane 10,000 MWCO, Sartorius Stedium Biotech GmbH, Germany)

167 and loaded onto a pre-equilibrated Sephacryl S-300 (Hiprep 26/60) pre-packed
168 column. Elution was carried out with PBS (pH 7.2) and monitored at 280 nm.

169

170 *2.2.6 Step 6: Potassium thiocyanate (KSCN) treatment and dialysis of the final bulk*

171 The HBsAg positive fractions were pooled and treated with KSCN to a final
172 concentration of 1.2 M. The mixture was stirred at 37°C for ~ 4 h. The KSCN treated
173 HBsAg was extensively dialyzed against PBS (pH 7.2) and the final pure protein (the
174 so called bulk protein) filter sterilized and used for immunization studies.

175

176 **2.3 Analytical methods for HBsAg determination**

177 *2.3.1 Quantitative analysis of HBsAg by ELISA*

178 The concentration of HBsAg in cell extracts and other samples was determined using
179 a quantitative Sandwich ELISA (Hepanostika HBsAg Ultra, Biomerieux, The
180 Netherlands) following the manufacturer's instructions. This ELISA was originally
181 developed for analyzing HBsAg in human sera and most likely detects preferentially
182 the immunogenic ("bioactive") versions of HBsAg (e.g. VLPs and rod-shaped
183 structures). The clarified samples were diluted appropriately with a buffer containing
184 0.1% BSA in PBS (pH 7.2) and analyzed in triplicates. For calibration, a dilution
185 series containing 0 to 1 ng/ml of HBsAg standard (NIBSC code number – 00/588)
186 and 0 to 100 ng/ml of in-house prepared pure HBsAg was employed. All samples
187 were analyzed in triplicates.

188

189 *2.3.2 Quantitative analysis of HBsAg by RP-HPLC*

190 The amount of HBsAg was also analyzed by reversed phase-high performance liquid
191 chromatography (RP-HPLC), essentially as reported previously [34]. This assay

192 detects all conformational versions of HBsAg. Using the described conditions for
193 sample preparation and chromatography [29], the standard HBsAg (NIBSC code
194 number – 00/588) as well as the purified HBsAg eluted at a retention time of 10.9
195 min. The standard HBsAg was used for calibration. The proteins eluting at 10.9 min
196 (standard and purified HBsAg) were collected, dried to remove traces of organic
197 solvents, and subjected to SDS-PAGE analysis and immunoblotting using a linear
198 epitope-specific anti-HBsAg in-house monoclonal antibody to confirm the presence
199 of HBsAg.

200

201 **2.4 Other protein analytical methods**

202 The protein concentration in total cell extracts and other samples was determined
203 using the bicinchoninic acid (BCA) method [35]. SDS-PAGE analysis was
204 performed as reported [29]. Electron microscopy of HBsAg VLPs was carried out as
205 described previously [24, 29].

206

207 **2.5 *In vivo* immunization studies**

208 *2.5.1 Mice*

209 Female BALB/c (H-2d) mice 6-8 weeks old were purchased from Harlan (Germany).
210 All animal experiments in this study were performed in agreement with the local
211 government of Lower Saxony (Germany) with the permission No. 33.11.42502-04-
212 017/08.

213

214 *2.5.2 Immunization protocols*

215 The mice were immunized by the i.m. route on days 0, 14 and 28 with Engerix™ (2
216 µg; GSK, England) or 2 µg of HBsAg VLPs alone or co-administered with alum

217 (1:1) or PEGylated MALP-2 (10 µg/dose) in a total volume of 50 µl of PBS [36].
218 Mixing of antigen and adjuvant in PBS was performed 30 min before the i.m.
219 injection into the right hind leg. The optimal dose of the adjuvant was determined in
220 preliminary studies (data not shown). Animals in the negative control group received
221 only PBS.

222

223 *2.5.3 Detection of antigen-specific IgG in the sera*

224 The HBsAg VLP-specific antibodies were determined in the serum samples by
225 ELISA using microtitre plates coated with 100 µl/well of the respective antigen (2
226 µg/ml in 0.05 M carbonate buffer, pH 9.6, as previously described [37].

227

228 *2.5.4 Measurement of cellular proliferation*

229 The spleens of vaccinated mice were aseptically removed, single-cell suspensions
230 were prepared and the erythrocytes lysed by 2 min incubation in ACK buffer. The
231 cells were washed twice and adjusted to 2×10^6 cells/ml in complete RPMI medium
232 containing 10% fetal bovine serum, 100 U/ml penicillin and 100 µg/ml streptomycin.
233 The splenocytes were seeded at 100 µl/well (1×10^5) in a U-bottomed 96-well
234 microtitre plate (Sarstedt, Germany) and cultured in quadruplicate for 4 days in the
235 presence of different concentrations of HBsAg VLPs, 5 µg/ml concanavalin A or
236 medium alone [38, 39].

237

238 *2.5.5 ELISPOT assay*

239 For the determination of the amount of cytokine secreting T helper cells in the
240 spleen, the murine IFN- γ , IL-2, IL-4 and IL-17 ELISpot kits (BD Pharmingen, USA)
241 were used according to the manufacturer's instructions. Spleen cells (1×10^6 or $5 \times$

242 10^5 per well) were incubated for 24 h (IFN γ) up to 48 h (IL-2, IL-4 and IL-17) in the
243 absence or in the presence of the HBsAg VLPs with a concentration of 2 μ g/ml.
244 Then, cells were removed and the plates were processed. Colored spots were counted
245 with an ELISpot reader (C.T.L.) and analyzed using the ImmunoSpot image analyzer
246 software v3.2 [40].

247

248 *2.5.6 Statistical analysis*

249 The statistical significance of the differences observed between the different
250 experimental groups was analyzed using the Student's unpaired *t*-test and the non-
251 parametric Mann-Whitney test of SigmaStat 3.10 (Build 3.10.0) or alternatively with
252 Graph Pad Prism 5 for Windows (Version 5.04) using the two-way ANOVA test.
253 Differences were considered significant at $p < 0.05$.

254

255 **3. Results**

256 The generation and purification of HBsAg VLPs from *Pichia* cells include several
257 steps which are outlined below. First, cell breakage is required and the target protein
258 needs to be released from the endoplasmic reticulum where it is found assembled
259 into defined multi-layered lamellar structures [24]. This first step is of crucial
260 importance as it combines the mechanical destruction of cells and cell compartments
261 with the detergent-assisted solubilization of membranes and membranous structures.
262 The steps following release and solubilization of HBsAg encompass removal of the
263 majority of host cell contaminants by precipitation, hydrophobic adsorption of
264 HBsAg to colloidal silica and final purification and maturation of HBsAg using
265 chromatography and KSCN treatment.

266

267 ***3.1 Cell lysis, detergent-assisted solubilization of HBsAg from crude cell lysates***
268 ***and precipitation of host cell contaminants***

269 For small scale purification, cell lysis is best performed using glass beads. For larger
270 scale purification, high pressure homogenization is preferred as it simplifies the
271 following downstream steps of purification. At first, different detergents were
272 analyzed regarding their effect on the solubilization of HBsAg from crude cell
273 lysates. Best results regarding the solubilization of “bioactive” HBsAg were obtained
274 using the nonionic detergent Tween 20 as compared with the other tested detergents
275 such as Triton X-100 (nonionic), CHAPS (zwitterionic), NP-40 (nonionic), or
276 sodium deoxycholate (anionic, bile salt) (Figure 1A). The results also revealed that
277 the concentration of Tween 20 should be at least or above 0.5% and that lysis with
278 glass beads in the thermomixer should last for at least 12 to 16 h at 4°C for
279 maximum solubilization (Figure 1B). Longer lysis is not recommended as in some

280 experiments we observed a decline of “bioactive” HBsAg during prolonged
281 incubation (data not shown). For pilot scale purification, cell breakage is best
282 performed by high pressure homogenization in the presence of detergent (0.5 – 1%
283 Tween 20) and the crude lysate obtained after homogenization can be immediately
284 treated with NaCl and PEG 6000 (4°C, 2 h stirring followed by 12-16 h w/o stirring).
285 This process combines the solubilization of HBsAg and precipitation of host cell
286 contaminants. Previous studies using different molecular weight forms of PEG (Mr
287 300 – 100,000) indicated best results using PEG 6000 [41-43] and a sequential
288 precipitation of host cell contaminants and HBsAg by step-wise increasing
289 concentrations of PEG 6000 [12]. We also tested different molecular weight forms of
290 PEG (Mr 1,000 – 20,000) at concentrations of 1-8% and found best results, e.g.
291 highest amount of soluble HBsAg with a minimum of soluble host cell contaminants
292 by using a single precipitation step with 4-6% PEG 6000 (data not shown).

293

294 ***3.5 Aerosil-380 extraction and ion-exchange chromatography***

295 Equilibrated colloidal silica (Aerosil-380) was used to bind HBsAg in
296 clarified PEG extracts at neutral pH through hydrophobic adsorption. Elution of
297 bound HBsAg from silica using 50 mM sodium carbonate-bicarbonate buffer, pH
298 10.5, resulted in an unsatisfactory recovery. However, the recovery increased ~10-
299 fold by supplementing the elution buffer with 1.2 M urea leading to an HBsAg eluate
300 with a purity of 60-70% (Table 3). The following ion exchange chromatography step
301 (Figure 2A) further increased the purity of HBsAg to 90-95% (Table 3, Figure 2B).
302 SDS-PAGE analysis of the ion exchange eluate fractions under strong reducing
303 conditions already revealed the expected properties of HBsAg appearing at positions

304 corresponding to monomeric (~25 kDa) and dimeric versions of the antigen (~50
305 kDa) (Figure 2B, [44]).

306

307 ***3.6 Isopycnic density ultracentrifugation versus size-exclusion chromatography*** 308 ***and preparation of final bulk***

309 The ion-exchange chromatography eluate fractions containing HBsAg were pooled
310 and subjected to ultracentrifugation. The different sections of the ultracentrifugation
311 tubes were analyzed by SDS-PAGE and revealed the presence of HBsAg in the
312 upper parts of the tube with high purity (>99%) and the expected SDS-PAGE
313 running profile (Figure 3A). Alternatively, the pooled and concentrated HBsAg
314 containing ion-exchange chromatography eluate fractions were subjected to size
315 exclusion chromatography where oligomeric components eluted at the void volume
316 (Figure 3B). Both techniques, the ultracentrifugation and size exclusion
317 chromatography appear to be equally effective for the final generation of HBsAg
318 VLPs (Figure 3). HBsAg positive fractions after either isopycnic density
319 ultracentrifugation or size-exclusion chromatography were pooled and treated with
320 KSCN. This mixture was then extensively dialyzed against PBS for removal of CsCl
321 and KSCN. In total, approx. 50 mg HBsAg VLPs with a purity of >99% can be
322 recovered from one liter culture broth with a final yield of around 3% (Tables 2 and
323 3). The entire HBsAg production and purification process is outlined in Figure 4.

324

325 ***In vitro characterization of purified HBsAg***

326 The final bulk protein was also analyzed by RP-HPLC and compared with the
327 NIBSC standard (code number – 00/588). A retention time of 10.9 min was observed
328 as was found for the standard (data not shown). The HBsAg did not show any

329 binding to lectins, thus proving absence of glycosylation (data not shown). Finally,
330 electron microscopy of pure HBsAg, obtained using either ultracentrifugation or
331 size-exclusion chromatography, revealed in both cases the presence of the
332 characteristic icosahedral symmetrical structures with a diameter of ~22 nm, the so-
333 called HBsAg “VLPs” (included in Figure 3).

334

335 ***3.7 In vivo immunogenic properties***

336 To analyze the antigenic properties of HBsAg VLPs *in vivo*, BALB/c mice were
337 immunized with a gold standard vaccine (Engerix™ which contains alum as
338 adjuvant), HBsAg VLPs alone (2 µg/dose), or HBsAg VLPs co-administered with
339 either alum (1:1) or a PEGylated derivative of MALP-2 (5 µg/dose) by the i.m. route.
340 The obtained results demonstrated that the co-administration of HBsAg VLPs with
341 adjuvants resulted in enhanced stimulation of the antigen-specific IgG-titers in
342 comparison to the results observed in animals which received HBsAg VLPs alone or
343 Engerix™ (Figure 5A). Significantly higher IgG titers ($p < 0.05$) were only observed
344 in mice receiving the PEGylated MALP-2 derivative (5 µg/dose; Figure 5A). To
345 evaluate the effect of HBsAg VLPs on the stimulated T helper response, the subclass
346 distribution of the HBsAg-specific IgG (IgG1 and 2a) was analyzed. Although the
347 levels of anti-HBsAg IgG1 were significantly higher, the levels of HBsAg-specific
348 IgG2a antibodies were also increased in mice vaccinated with HBsAg VLPs co-
349 administered with either alum or the MALP-2 derivative (Figure 5B). This suggested
350 that the parenteral immunization by the i.m. route using HBsAg VLPs as a vaccine
351 resulted in the stimulation of a more Th2 dominated T helper response. The analysis
352 of the cytokines secretion by HBsAg-restimulated splenocytes by ELISpot showed
353 that not only IL-4 secreting cells were increased in number in mice which received

354 the HBsAg VLPs co-administered with alum or the MALP-2 derivative as compared
355 to the control groups, but the HBsAg-specific IL-17, IFN γ and IL-2 secreting cells
356 were also increased (Figure 5C). To further characterize the capacity of HBsAg
357 VLPs to induce the cellular immune responses, spleen cells isolated from vaccinated
358 mice on day 42 were re-stimulated *in vitro* with HBsAg VLPs and their proliferation
359 capacity was then assessed. A strong dose-dependent proliferative response was only
360 observed in mice vaccinated with HBsAg VLPs co-administered with alum (SI >4)
361 or the PEGylated MALP-2 derivative (SI >4), as shown in Figure 5D. In contrast, no
362 or only marginal responses were observed with cells derived from mice vaccinated
363 with either HBsAg VLPs alone (SI >2), EngerixTM or PBS (SI <2). The differences
364 observed between the results obtained with adjuvanted HBsAg VLPs compared with
365 those obtained from either the control, non-adjuvanted HBsAg VLPs or EngerixTM
366 vaccinated mice were significantly higher ($p < 0.05$).
367

368 **4. Discussion**

369 HBsAg is a very hydrophobic protein with long stretches of connected
370 hydrophobic amino acids. Only recently it was shown that HBsAg – when produced
371 in yeast, e.g. *P. pastoris* – does not assemble into VLPs within the cell as was
372 assumed previously nor does it insert in significant amounts into ER membranes as
373 was also proposed. Evidence has been presented that the HBsAg remains in the
374 endoplasmic reticulum (ER) where it does not form VLPs but where a major fraction
375 assembles into well-ordered multi-layered lamellar structures [24]. The layering
376 order of HBsAg in these lamellar structures strongly suggests the presence of well-
377 ordered HBsAg subunits [24], which should be solubilizable without getting
378 structurally disordered to reassemble into VLPs under appropriate conditions. The
379 remainder of HBsAg forms non-structured aggregates in the ER, which are only
380 solubilizable using extremely harsh protein-structure breaking conditions [29].

381 Thus, cell breakage and release of HBsAg from the ER in a “bioactive” form
382 competent for VLP assembly is the major objective for the first step of the
383 purification procedure. Usually, the nonionic detergent Triton X-100 is employed for
384 solubilization of HBsAg from yeast homogenates, e.g. [9, 13, 45, 46]. However,
385 there have been early indications that the nonionic detergent Tween 20 might be less
386 harsh to “intact” HBsAg compared to Triton X-100 [6]. In our hands Tween 20
387 released more “bioactive” HBsAg from the yeast homogenate compared to Triton X-
388 100 as measured by an HBsAg Sandwich ELISA developed for the determination of
389 HBsAg particles in human plasma. We do not have a straight forward explanation
390 why Tween 20 performs better compared to Triton X-100 in releasing “bioactive”
391 HBsAg. Tween 20 is considered a milder detergent being less effective in membrane
392 solubilization compared to Triton X-100 [47-49]. Moreover, addition of Tween 20 to

393 protein formulations has proven to be effective in preventing shear induced
394 aggregation of antibodies [50] and also aggregation of murine polyomavirus VLPs
395 during storage [51]. Thus, replacement of Triton X-100 by Tween 20 presumably
396 helps to reduce shear stress induced denaturation and “irreversible” aggregation of
397 HBsAg during mechanical cell breakage. The other important objective for the first
398 downstream purification steps relates to the removal of host cell contaminants. As we
399 aimed for releasing “bioactive” HBsAg into the soluble fraction of the cell
400 homogenate host cell contaminants should be transferred preferably to the insoluble
401 fraction of the lysate. Substitution of Triton X-100 by Tween 20 presumably also
402 helps to achieve this objective as it is less effective in (host cell) membrane
403 solubilization. The intended transfer of host cell contaminants into the insoluble
404 fraction is further accomplished by addition of 5% PEG 6000, a hydrophilic nonionic
405 polymer, which is known to precipitate the majority of host cell proteins,
406 polysaccharides, and nucleic acids but not the HBsAg when employed at this
407 concentration [8]. After precipitate removal, the following purification steps e.g.
408 hydrophobic adsorption to colloidal silica, desorption from silica and subsequent
409 chromatography and final maturation are with minor modifications in accordance
410 with previously published procedures. The final yields are certainly in need of
411 improvement but we would expect high robust yields under standardized industrial
412 GMP production and purification conditions. The quality of the final product,
413 however, is outstanding as it outperforms, in particular when adjuvanted with the
414 novel adjuvant MALP-2, the gold standard HBsAg vaccine Engerix™ in stimulating
415 humoral and cellular immune responses.
416

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522 **Figure legends**

523

524 **Figure 1.** Detergent solubilization of HBsAg from cell lysates: (A) Cells were lysed
525 with glass beads in basic lysis buffer additionally containing detergents at the
526 indicated concentrations and incubated at 4°C in a thermomixer for 48 h. The final
527 amount of “bioactive” HBsAg released into the soluble lysate fraction is given in
528 relative units of the Sandwich ELISA readout. (B) The time-dependent release of
529 “bioactive” HBsAg into the soluble fraction of the lysate as followed by the
530 Sandwich-ELISA.

531

532 **Figure 2.** Anion exchange chromatography (DEAE Sepharose FF): (A) Elution of
533 bound proteins during ion exchange chromatography. The eluate fractions 13 to 24
534 were pooled (each fraction 12 ml), filtered and subjected to the next purification step.
535 (B) Analysis of eluate fractions 12 to 29 by SDS-PAGE (10 µl of each sample
536 loaded). The single and double asterisks refer to the monomer and dimer of HBsAg,
537 respectively.

538

539 **Figure 3.** Ultracentrifugation versus size exclusion chromatography: (A) The pooled
540 and filtered ion-exchange chromatography eluate fractions (fractions 13 to 24) were
541 subjected to isopycnic density ultracentrifugation. After centrifugation tubes were
542 punctured to collect the fractions 1 (bottom of the ultra-tube) to 6 (top of the ultra-
543 tube) which were analyzed by SDS-PAGE (10 μ l of each sample loaded). Marker
544 'M', fractions 1 to 6 (lanes 1 to 6). The single and double asterisks refer to the
545 monomer and dimer of HBsAg, respectively. (A1) Electron microscopy of HBsAg
546 VLPs obtained after isopycnic density ultracentrifugation, KSCN treatment and
547 dialysis. These HBsAg VLPs were used after sterile filtration for the mice
548 immunization studies (data shown in Fig. 5). (B) Pooled and concentrated HBsAg
549 containing ion-exchange chromatography eluate fractions were subjected to size-
550 exclusion chromatography. Protein elution was followed by UV (280 nm). The first
551 arrow (1) points to the HBsAg VLPs (void volume) and the second arrow (2) to host
552 cell impurities. Insert: The eluate fractions (42 to 53 and 59 to 60) corresponding to
553 the peaks 1 and 2, respectively, were analyzed by SDS-PAGE. The single and double
554 asterisks refer to the monomer and dimer of HBsAg, respectively. (B1) Electron
555 microscopy of HBsAg VLPs obtained after size exclusion chromatography (eluate
556 fractions 44 to 49), KSCN treatment and dialysis. The bar corresponds to 100 nm.

557

558 **Figure 4.** Schematic process flow chart for production and purification of HBsAg
559 VLPs using recombinant *P. pastoris*.

560

561

562 **Figure 5.** Induction of efficient humoral and cellular immune responses in BALB/c
563 mice following vaccination with HBsAg VLPs: (A) Humoral immune responses
564 stimulated in vaccinated mice. Analysis of HBsAg VLPs specific IgG responses in
565 sera from mice (n=5) immunized on days 0, 14 and 28 with PBS (control), Engerix™
566 (2 µg/dose) co-administered with alum (1:1) or HBsAg VLPs (2 µg/dose) alone or
567 co-administered with either alum (1:1) or the PEGylated derivative of MALP-2 (5
568 µg/dose) by the i.m. route. (B) Determination of HBsAg VLPs specific IgG1 and 2a
569 titers present in sera. Results are expressed as mean end point titers. (C) Analysis of
570 the T helper responses stimulated in mice vaccinated with HBsAg VLPs. Detection
571 of IFN γ , IL-2, IL-4 and IL-17-secreting spleen cells by ELISpot. Splenocytes
572 recovered from vaccinated mice were incubated for 24 or 48 h in the presence of
573 HBsAg VLPs. Results are presented as spot forming units per 10⁶ cells, which were
574 subtracted from the values obtained from non-stimulated cells. SEM is indicated by
575 vertical lines. (D) Cellular immune responses stimulated in vaccinated animals.
576 Cellular proliferation was assessed by determination of the [³H] thymidine
577 incorporated into the DNA of replicating cells. Results are averages of triplicates and
578 they are expressed as stimulation index (SI).
579 The results obtained in animals vaccinated with HBsAg VLPs co-administered with
580 different adjuvants were statistically significant with respect to those observed in
581 mice receiving HBsAg VLPs alone or the gold vaccine standard (Engerix™) at
582 p<0.05 (*).

Tables

Table 1: Snapshot view on purification processes for hepatitis B surface antigen from yeast cultures

Host	Purification steps	Ref.
<i>S. cerevisiae</i>	Lysis → Centrifugation → Amicon concentration → XAD-2 → Centrifugation → Aerosil 380	[3]
<i>S. cerevisiae</i>	Lysis → Centrifugation → Aerosil 380 → Ammoniumsulfate precipitation → Sepharose 4B	[4]
<i>S. cerevisiae</i>	Lysis → Centrifugation → Aerosil 380 → ECTHAM-cellulose → Sepharose 6B → Ammonium thiocyanate treatment → Dialysis	[5]
<i>S. cerevisiae</i>	Lysis → Centrifugation → Urea treatment → Aerosil 380 → Amicon concentration → Sepharose CL-4B → Dextran sulfate → CsCl ultracentrifugation	[6]
<i>S. cerevisiae</i>	Lysis → PEG followed by acetic acid treatment → Calcium chloride treatment → Centrifugation → Amicon concentration → Fractogel TSK HW65(F) → Fractogel TSK DEAE 650 (M) → Fractogel TSK HW65(F)	[7]
<i>S. cerevisiae</i>	Lysis → PEG followed by acetic acid treatment → Calcium chloride treatment → Centrifugation → Amicon concentration → Fractogel TSK HW65(F) → Fractogel TSK DEAE 650 (M) → Fractogel TSK HW65(F)	[8]
<i>S. cerevisiae</i>	Lysis → Centrifugation → Solubilization using Triton X-100 → Concentration → Diafiltration → Urea treatment → Diafiltration → KSCN → Dialysis	[9]
<i>S. cerevisiae</i>	Lysis → Acidification → Centrifugation → Ammonium sulfate precipitation at pH 6.5 → Centrifugation → Suspension of precipitate → Dialysis → Hydroxyapatite (repeat: 2 times) → Dialysis followed by ultrafiltration	[10]
<i>S. cerevisiae</i> ^a	Precipitation → Immunoaffinity chromatography → Size-exclusion chromatography	[11]
<i>S. cerevisiae</i>	Lysis → Centrifugation → PEG precipitation (8 %) → Centrifugation → Pellet suspension and homogenization → PEG precipitation (3 %) → Centrifugation → PEG precipitation (8 %) → Centrifugation → Pellet suspension and homogenization → Diafiltration → Sucrose density gradient centrifugation → Ultrafiltration → CsCl ultracentrifugation → Diafiltration → Ultrafiltration → TSK HW 65 → CsCl ultracentrifugation → Dialysis and ultrafiltration	[12]
<i>S. cerevisiae</i>	Lysis → Centrifugation → Detergent treatment → Centrifugation → XAD-4 → Hydrophobic interaction chromatography	[13]
<i>H. polymorpha</i>	Lysis → Precipitation of cell debris with PEG → Separation of PEG supernatant → Adsorption on a silica matrix → Separation of the silica matrix → Desorption of the product from the silica matrix → Separation of the supernatant of the silica matrix → Ion exchange chromatography → Ultrafiltration → Density gradient ultracentrifugation → Size-exclusion chromatography → Sterile filtration	[14]
<i>H. polymorpha</i>	Lysis → Centrifugation → Anion exchange chromatography → Butyl-S QZT → Ultrafiltration → Size-exclusion chromatography	[15]
<i>P. pastoris</i>	Lysis → Acid precipitation → Hyflo Super Cel	[16]
<i>P. pastoris</i>	Lysis → Centrifugation → Amberlyte XAD-2 column → Macroprep High Q chromatography → Cellufine sulfate chromatography → Ultrafiltration → Formulation	[17]
<i>P. pastoris</i>	Lysis → Centrifugation → Treatment with colloidal silica → Macroprep High Q chromatography → Butyl Sepharose-4 fast flow → Ultrafiltration → Sepharose CL-4B → Ultrafiltration → Formulation	[18]
<i>P. pastoris</i>	Lysis → Centrifugation → Acid precipitation → Aerosil 380 → Immunoaffinity chromatography → Ion-exchange chromatography → Size-exclusion chromatography	[19]
<i>P. pastoris</i>	Lysis → Centrifugation → Aerosil 380 → DEAE Toyopearl 650M → HiLoad Superdex 75	[20]
<i>P. pastoris</i>	Lysis → Centrifugation → Ultrafiltration of supernatant → Immunoaffinity purification → Ultrafiltration	[21]
<i>P. pastoris</i>	Lysis → Centrifugation → Membrane extraction with detergent → Centrifugation → “HIMAX” technology → Centrifugation → DEAE → Diafiltration	[22]
<i>P. pastoris</i>	Lysis → Precipitation → Centrifugation → Phenyl-5PW HIC → Ultracentrifugation	[23]
<i>P. pastoris</i>	Lysis → PEG Precipitation → Centrifugation → Aerosil 380 → DEAE Sepharose FF → Ultracentrifugation → KSCN treatment and dialysis → Formulation	[24]
<i>P. pastoris</i>	Lysis → Centrifugation → Membrane extraction → Centrifugation → PEG Precipitation → Centrifugation → Diafiltration → Phenyl 600M → Size exclusion chromatography → Dialysis	[25]

^a HBsAg was secreted into the culture medium

Table 2: Purification of HBsAg from yeast cultures using ultracentrifugation (UC) or size exclusion chromatography (SEC) as final step (prior to KSCN treatment) ^a

Yeast	Purification steps ^b	Final recovery ^c (mg/l culture broth)	Purity ^c (%)	Reference
<i>S. cerevisiae</i>	6 ^{UC}	~0.3	90	[6]
<i>S. cerevisiae</i>	13 ^{UC}	nd	nd	[12]
<i>P. pastoris</i>	3 ^{UC}	10	nd	[23]
<i>P. pastoris</i>	4 ^{UC}	nd	nd	[24]
<i>S. cerevisiae</i> ^d	3 ^{SEC}	~0.06	nd	[11]
<i>H. polymorpha</i>	5 ^{SEC}	nd	95	[14]
<i>H. polymorpha</i>	4 ^{SEC}	nd	99	[15]
<i>P. pastoris</i>	4 ^{SEC}	nd	95	[17]
<i>P. pastoris</i>	5 ^{SEC}	nd	95	[19]
<i>P. pastoris</i>	4 ^{UC or SEC}	~50	>99	this study

^a Only references on HBsAg purification included containing respective quantitative data

^b Number of purification steps before final ultracentrifugation (UC) or size exclusion chromatography (SEC); normal centrifugation step is not considered as purification step

^c Recovery and purity relates to the final pure bulk protein

^d HBsAg was secreted into the culture medium

UC (ultracentrifugation), SEC (size exclusion chromatography), nd (not determined)

Table 3: Summary of purification process for recombinant HBsAg VLPs

Steps	Recovery (%) ^a	Purity (%) ^b
Cell lysate	100	nd
PEG precipitation supernatant	~75	nd
Eluate from colloidal silica (Aerosil 380)	~30	60-70
DEAE Sepharose FF eluate	~7	90-95
Ultracentrifugation → KSCN treatment → dialysis or Size-exclusion chromatography → KSCN treatment → dialysis	~3	>99

^a Based on Sandwich-ELISA

^b Based on BCA test and RP-HPLC
nd (not determined)

Figure 1

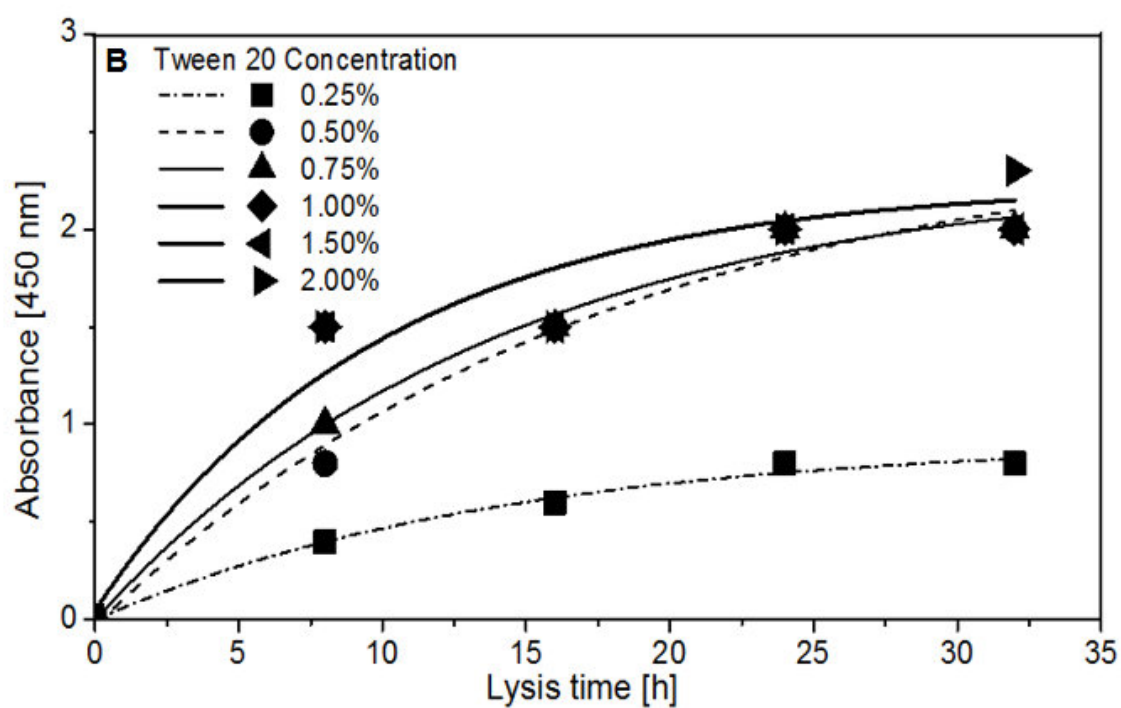
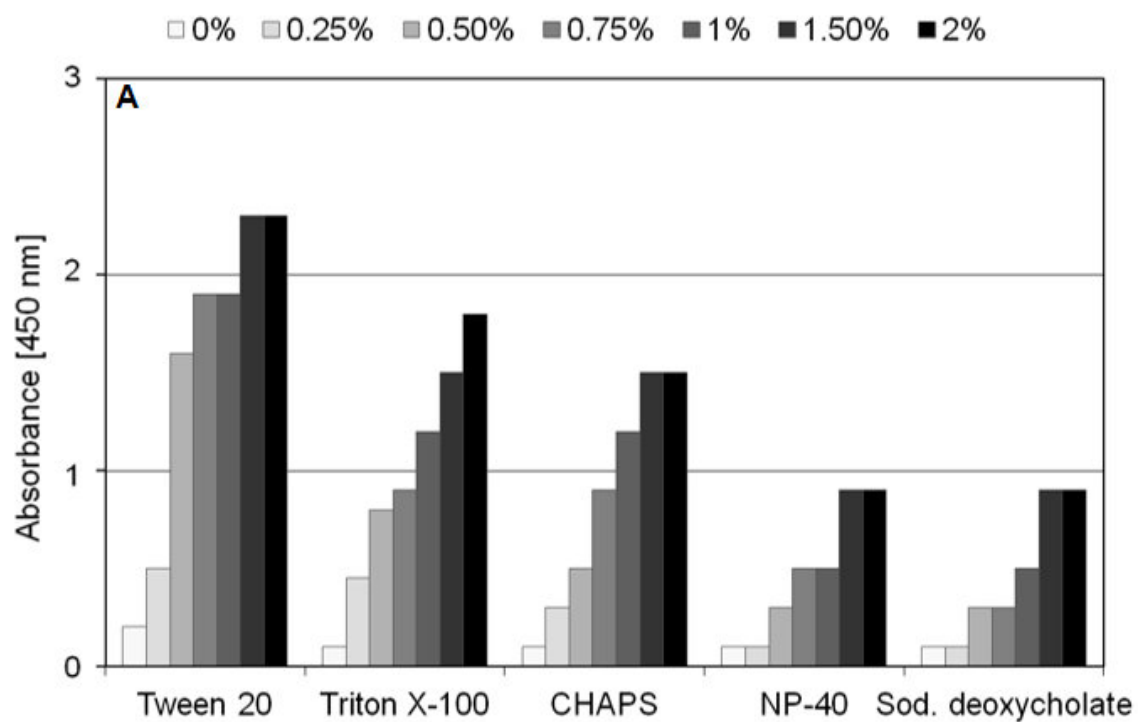


Figure 2

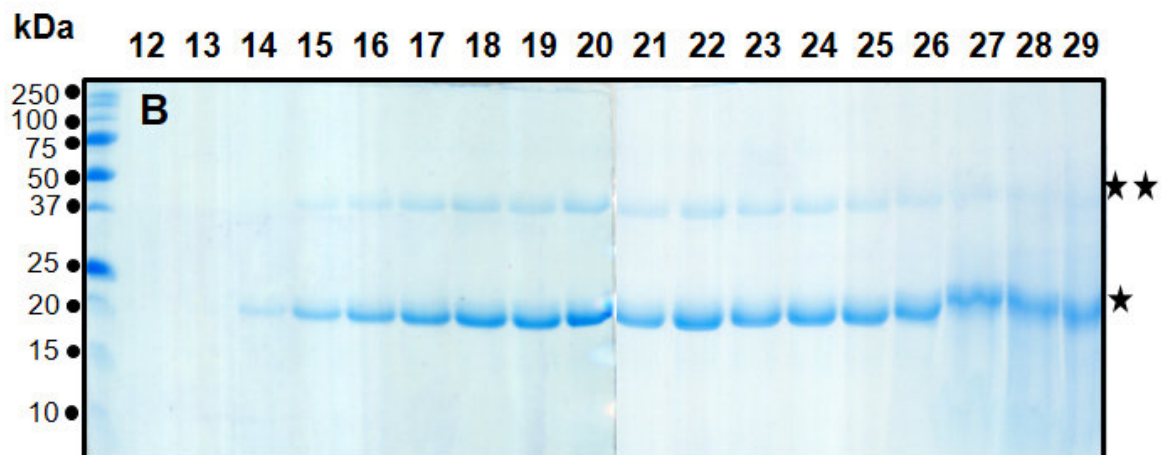
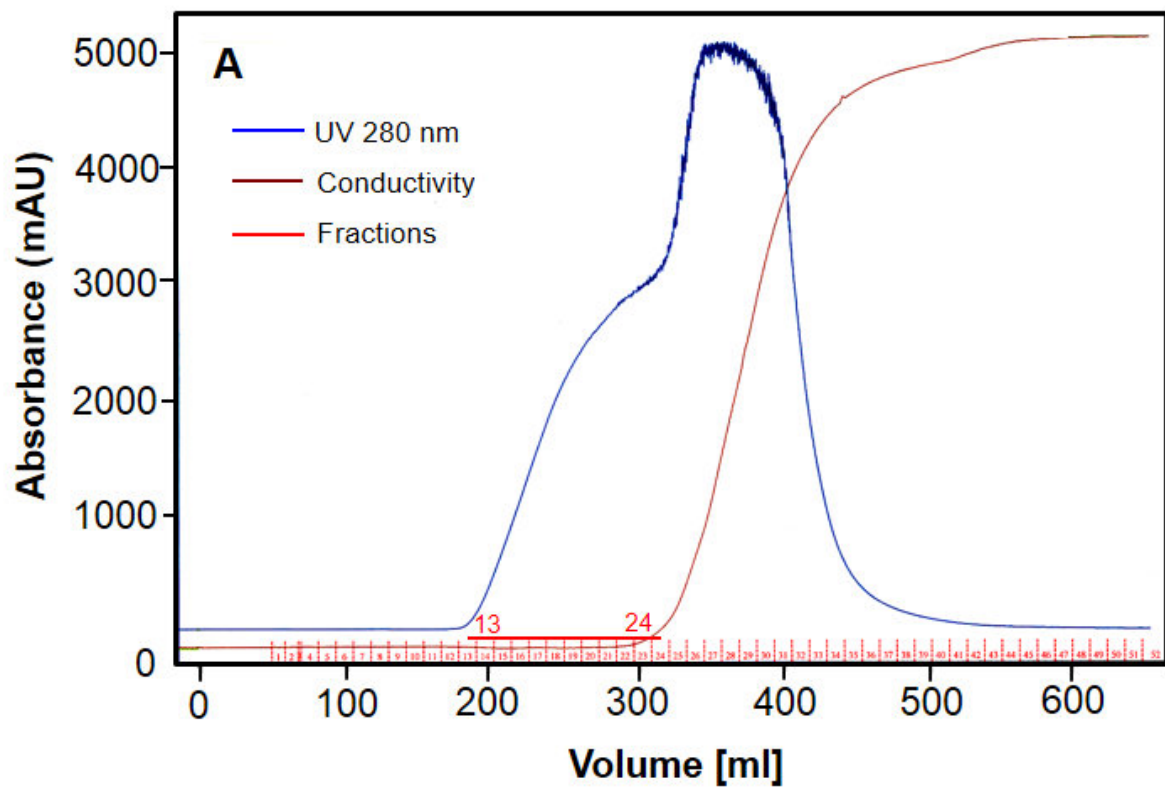
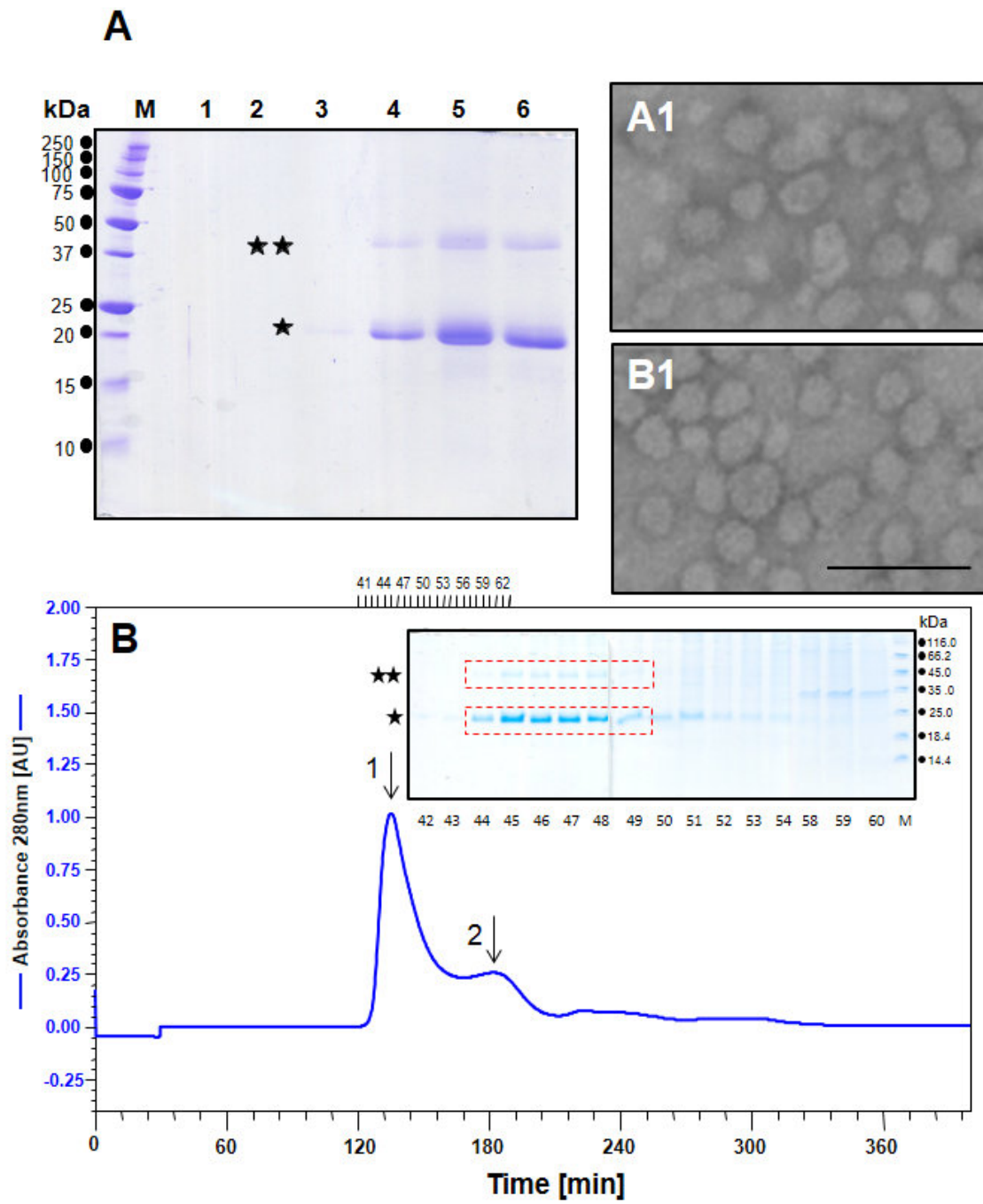


Figure 3



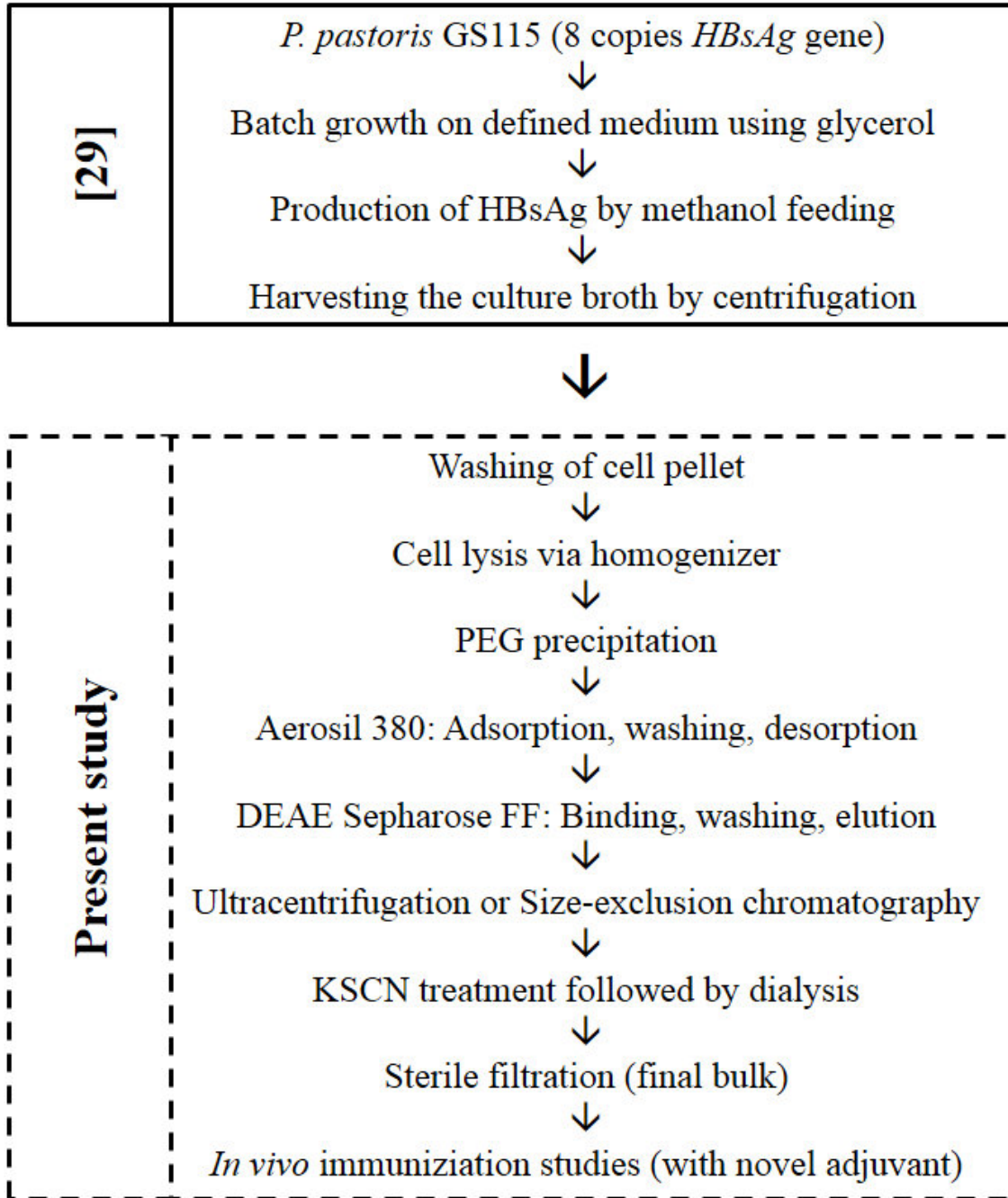


Figure 4

Figure 5

