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Isolation of dimeric, trimeric, tetrameric and pentameric  
procyanidins from unroasted cocoa beans (*Theobroma cacao*  
L.) using countercurrent chromatography  
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1 **Isolation of Dimeric, Trimeric, Tetrameric and Pentameric Procyanidins from**  
2 **Unroasted Cocoa Beans (*Theobroma cacao* L.) Using Countercurrent Chromatography**

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11

12 **ABSTRACT**

13 The main procyanidins, including dimeric B2 and B5, trimeric C1, tetrameric and pentameric  
14 procyanidins, were isolated from unroasted cocoa beans (*Theobroma cacao* L.) using  
15 various techniques of countercurrent chromatography, such as high-speed countercurrent  
16 chromatography (HSCCC), low-speed rotary countercurrent chromatography (LSRCCC) and  
17 spiral-coil LSRCCC. Furthermore, dimeric procyanidins B1 and B7 which are not present  
18 naturally in the analyzed cocoa beans were obtained after semisynthesis of cocoa bean  
19 polymers with (+)-catechin as nucleophile and separated by countercurrent chromatography.  
20 In this way, the isolation of dimeric procyanidin B1 in considerable amounts (500 mg, purity  
21 >97%) was possible in a single run. This is the first report concerning the isolation and  
22 semisynthesis of dimeric to pentameric procyanidins from *Theobroma cacao* by  
23 countercurrent chromatography. Additionally, the chemical structures of tetrameric  
24 (cinnamtannin A2) and pentameric procyanidins (cinnamtannin A3) were elucidated on the  
25 basis of <sup>1</sup>H NMR spectroscopy. Interflavanoid linkage was determined by NOE-correlations,  
26 for the first time.

27

28

29 **Keywords:** Cocoa (*Theobroma cacao* L.), procyanidins, isolation, semisynthesis,  
30 countercurrent chromatography, NMR spectroscopy

31

## 32 1. Introduction

33 Procyanidins are products of the secondary metabolism of plants and are composed of the  
34 flavan-3-ol monomers (+)-catechin and (–)-epicatechin units linked mainly through C4-C8  
35 and/or C4-C6 (so-called B-type). The structural diversity of proanthocyanidins is due to the  
36 type of interflavanoid linkage, and the kind and number of flavan-3-ol units. The number of  
37 isomers increases with the mean degree of polymerization (mDP) (Porter, 1994).  
38 Procyanidins with 2-10 units are defined as oligomeric and those with >10 units as polymeric  
39 procyanidins (Hammerstone, Lazarus, Mitchell, Rucker, & Schmitz, 1999).

40 Procyanidins are found in various plant-derived foods such as apple, berries, wine, cocoa,  
41 and nuts (Gu et al., 2003). *Theobroma cacao* (formerly family Sterculiaceae, newly subfamily  
42 Sterculioideae in the family Malvaceae) is native to the tropical regions of America (Rusconi  
43 & Conti, 2010; Wollgast & Anklam, 2000) and three varieties of cocoa plants are mainly  
44 cultivated worldwide, namely Forastero (bulk grade, low quality), Criollo (fine grade, highest  
45 quality) and Trinitario (fine grade, hybrid of Forastero and Criollo). Forastero is the most  
46 widely produced and used variety. Its cocoa beans are used for 80% of the overall chocolate  
47 production, whereas Trinitario and Criollo beans are only used in 10-15% and 5-10% for  
48 chocolate, respectively (Counet, Ouwerx, Rosoux, & Collin, 2004; Rusconi & Conti, 2010).

49 Cocoa beans contain 53% cocoa butter (Payne, Hurst, Miller, Rank, & Stuart, 2010).  
50 Procyanidins, after the flavan-3-ols, are the main classes of polyphenols in cocoa and cocoa  
51 products which give them the astringent and bitter taste (Counet et al., 2004; Wollgast &  
52 Anklam, 2000). The flavan-3-ol and procyanidin content in various cocoa products ranged  
53 from 2 to 500 mg/g (Robbins et al., 2012). The main flavan-3-ol in cocoa beans is  
54 (–)-epicatechin which is also the main extension unit of procyanidins (Porter, Ma, & Chan,  
55 1991; Wollgast & Anklam, 2000) i.e. B2, B5 and B1 which are the main dimeric procyanidins  
56 (Kothe, Zimmermann, & Galensa, 2013; Rusconi & Conti, 2010). Furthermore, the  
57 occurrence of trimeric procyanidin C1 and tetrameric procyanidin (cinnamtannin A2) is well-  
58 described (Rusconi & Conti, 2010; Wollgast & Anklam, 2000). Chemical structures of some  
59 procyanidins with mDP 2-5 are given in **Fig. 1**. The profile and concentration of

60 flavan-3-ols/procyanidins in cocoa depend on their variety (genotype) (Counet et al., 2004),  
61 origin (Counet et al., 2004) and processing conditions such as fermentation (Di Mattia et al.,  
62 2013; Payne et al., 2010; Wollgast & Anklam, 2000), drying (Di Mattia, et al., 2013; Payne et  
63 al., 2010; Wollgast & Anklam, 2000) and roasting (Kothe et al., 2013; Payne et al., 2010;  
64 Wollgast & Anklam, 2000). Beside fermentation, roasting is the most critical step which leads  
65 to lower flavan-3-ol and procyanidin concentrations and structural modifications, especially  
66 epimerization (Kothe et al., 2013; Payne et al., 2010). Moreover, *Theobroma cacao* contains  
67 alkaloids such as theobromine and caffeine which affect the flavor of cocoa and cocoa  
68 products (Sotelo & Alvarez, 1991).

69 In the European Union the mean intake of flavan-3-ols is 77 mg/d and of proanthocyanidins  
70 123 mg/d. The main food sources of flavan-3-ols and proanthocyanidins in the EU are tea,  
71 pome fruits, berries, cocoa beans and cocoa products, stone fruits as well as wine  
72 (Vogiatzoglou et al., 2014). Nevertheless, the flavan-3-ol and proanthocyanidin contents in  
73 foodstuffs are not well-characterized.

74 *Theobroma cacao* has been reported to show several pharmacological activities, such as  
75 cardioprotective (Arranz et al., 2013), anti-cancerogenic (Martin, Goya, & Ramos, 2013),  
76 anti-inflammatory (Vázquez-Agell et al., 2013) and neuroprotective effects (Nehlig, 2013). It  
77 has been shown that health benefits of proanthocyanidins are related to their structures  
78 (Caton et al., 2010; Dorenkott et al., 2014).

79 Proanthocyanidins were isolated from natural sources by extraction, fractionation, and  
80 purification (Abe et al., 2008; Köhler, Wray, & Winterhalter, 2008b), or alternatively  
81 synthesized (Dennis, Jeffery, Johnston, Perkins, & Smith, 2012; Saito, Mizushima, Tanaka, &  
82 Nakajima, 2009) or semisynthesized (Esatbeyoglu & Winterhalter, 2010; Köhler, Wray, &  
83 Winterhalter, 2008a).

84 In the present study, we isolated dimeric procyanidins B2 and B5, trimeric procyanidin C1,  
85 tetrameric and pentameric procyanidins and semisynthesized dimeric procyanidins B1 and  
86 B7 from fermented and unroasted cocoa beans (*Theobroma cacao*) by various techniques of  
87 countercurrent chromatography such as high-speed countercurrent chromatography

88 (HSCCC) (Ito & Conway, 1996), low-speed rotary countercurrent chromatography (LSRCCC)  
89 (Du, Wu, & Ito, 2000) and spiral-coil LSRCCC (Köhler, Chou, Ito, & Winterhalter, 2004) on a  
90 large scale and elucidated their structures by <sup>1</sup>H NMR spectroscopy.

91

## 92 **2. Materials and methods**

### 93 *2.1. Reagents*

94 Water (deionized, Nanopure<sup>®</sup>, Werner, Leverkusen, Germany), acetic acid, HPLC quality  
95 (Mallinckrodt Baker B. V., Deventer, Holland), acetonitrile, HPLC quality (Fisher Scientific,  
96 Loughborough, UK), methanol, HPLC quality (Fisher Scientific), dichloromethane (Fisher  
97 Scientific), *n*-butanol, p.a. (Fisher Scientific), ethyl acetate, p.a. (Fisher Scientific),  
98 (+)-catechin hydrate, ≥98% (Sigma, Steinheim, Germany), (–)-epicatechin, p.a. (Sigma),  
99 *p*-anisaldehyde, 98% (Sigma), hydrochloric acid, 37% (Riedel-de-Haën, Seelze, Germany),  
100 ethanol, p.a. (Riedel-de-Haën), sulfuric acid, 98% (Riedel-de-Haën), sodium hydrogen  
101 carbonate, p.a. (Merck, Darmstadt, Germany), *tert*-butylmethylether (distilled, industrial  
102 quality), methanol (distilled, industrial quality), *n*-hexane (distilled, industrial quality), and  
103 acetone-d<sub>6</sub> (Deutero GmbH, Kastellaun, Germany) were used.

### 104 *2.2. Cocoa beans*

105 Fermented and unroasted cocoa beans were kindly provided from Kraft Foods (München,  
106 Germany).

### 107 *2.3. Preparation of extracts, filtrates and precipitates*

108 Samples were prepared by avoiding heat to prevent epimerization, consequently cocoa  
109 beans were frozen in liquid nitrogen before milling (Fritsch Pulverisette, Type: 14.702, No:  
110 720, 1 mm sieve). The cocoa bean powder was defatted three times with *n*-hexane and  
111 subsequently extracted by stirring with 70% aqueous acetone (v/v) for 1 h at ambient  
112 temperature. Acetone was evaporated in vacuum (<30 °C). Alkaloids were eliminated with  
113 dichloromethane by solvent-solvent extraction and the aqueous phase was freeze-dried.

114 Seventy per cent aqueous acetone extract of the cocoa beans was stirred in ethanol for 1 h  
115 at ambient temperature, insoluble residue was filtered off and *n*-hexane was dropped into the

116 solution (10 mL/min). After filtration, the filtrate was evaporated and freeze-dried. The  
117 precipitate was dissolved in Nanopure<sup>®</sup> and freeze-dried. Ethanol and *n*-hexane were added  
118 in a ratio of 1:4, 5:13 or 2:1 (v/v). Cocoa filtrate (5:13, v/v) was used for LSRCCC separation  
119 and cocoa filtrate (2:1, v/v) was applied to HSCCC separation.

#### 120 *2.4. Optimization of semisynthetic formation of procyanidins*

121 Optimization of reaction conditions of semisynthesis was performed according to  
122 (Esatbeyoglu & Winterhalter, 2010).

#### 123 *2.5. Sample preparation for semisynthetic formation of procyanidins*

124 Preparative formation was performed as described earlier (Esatbeyoglu, Juadjur, Wray, &  
125 Winterhalter, 2014; Esatbeyoglu & Winterhalter, 2010; Esatbeyoglu, Wray, & Winterhalter,  
126 2010, 2013).

#### 127 *2.6. HSCCC*

128 Under optimized conditions, 700 mg of (+)-catechin or (–)-epicatechin and 700 mg of cocoa  
129 bean precipitate (1:4, v/v) were subjected to semisynthesis with 50 mL of 0.1 N methanolic  
130 HCl at 40 °C for 20 min. The semisynthetic preparation was neutralized with about 10 mL 0.5  
131 N sodium hydrogen carbonate solution. After evaporation, the residue was freeze-dried and  
132 about 1 g of the reaction mixture was used for HSCCC separation.

#### 133 *2.7. Spiral-coil LSRCCC*

134 Semisynthetic formation of dimeric procyanidins B1 and B7 was performed as follows: 10.1 g  
135 of (+)-catechin and 10.1 g of 70% acetone cocoa bean extract were reacted in 500 mL of  
136 0.1 N methanolic HCl by shaking at 40 °C for 30 min, followed by addition of about 45 mL  
137 0.5 N aqueous sodium hydrogen carbonate to stop the reaction, evaporated and freeze-  
138 dried. In order to eliminate the unreacted polymeric procyanidins, this reaction mixture was  
139 dissolved in 1000 mL ethanol. Insoluble residue was filtered off, and 2600 mL *n*-hexane was  
140 added to the solution (10 mL/min). After filtration, the filtrate was evaporated, lyophilized and  
141 about 11 g was applied to spiral-coil LSRCCC separation.

#### 142 *2.8. LSRCCC*

143 Ten grams of (+)-catechin and 10 g of cocoa bean precipitate (1:4, v/v) were used for  
144 semisynthetic formation of dimeric procyanidins B1 and B7. For further conditions see above.  
145 About 10 g of the obtained filtrate was used for LSRCCC separation.

#### 146 *2.9. HSCCC separation*

147 A multilayer coil of the type-J high-speed CCC centrifuge model CCC 1000 (Pharma-Tech  
148 Research Corp., Baltimore, MD, USA) was used for the separation of procyanidins. The  
149 three preparative coils were connected in series and equipped with PTFE tubing  
150 (polytetrafluorethylene; 2.6 mm i.d., 160 m length; total volume: 800 mL). The upper organic  
151 phase was used as stationary phase and the lower aqueous phase as mobile phase. Both  
152 phases were pumped using a Biotronik HPLC pump BT 3020 (Jasco, Großumstadt,  
153 Germany). The freeze-dried samples were dissolved in 10 mL each upper and lower phase  
154 and injected into the column using a 25 mL sample loop. The separation was performed in  
155 the head to tail elution mode. The effluent stream was monitored at  $\lambda$  280 nm using a Knauer  
156 UV-Vis detector (Berlin, Germany) and recorded by a plotter (BBC Goerz SE 120, Vienna,  
157 Austria; 3 cm/h). Fractions were collected by a Super Frac fraction collector (Pharmacia LKB,  
158 Bromma, Sweden) at 4 min intervals. The amount of injected sample, revolution speed of the  
159 apparatus, flow rate, and the solvent system are given in the discussion section of the  
160 respective runs.



### 161 2.10. LSRCCC separation

162 LSRCCC-separations were carried out with a prototype low-speed countercurrent  
163 chromatograph (Pharma-Tech Research Corp., Baltimore, MD, USA). A multilayer single coil  
164 column was equipped with PTFE tubing (8.2 mm i.d., total volume = 5500 mL). For the  
165 solvent delivery, a HPLC Pump 64 (Knauer, Berlin, Germany) was used. For LSRCCC  
166 separation of procyanidins the previously studied two-phase solvent system *tert*-  
167 butylmethylether/*n*-butanol/water (4.3:0.7:5, v/v/v) was applied (Köhler, 2006). About 8.9 g of  
168 cocoa bean filtrate (5:13, v/v) or 10.0 g of the semisynthetic reaction mixture of cocoa bean  
169 precipitate (1:4) with (+)-catechin were dissolved in 100 mL each upper and lower phase and  
170 introduced into the coil through a sample loop. The LSRCCC separation was performed in  
171 the U-H mode with the upper organic phase as mobile phase and in the head to tail direction.  
172 The lower phase was pumped at a flow rate of 4 or 5 mL/min. The revolution speed of the  
173 apparatus was set to 50 rpm. The effluent stream was recorded at  $\lambda$  280 nm with a Knauer  
174 UV-Vis detector (Berlin, Germany) and collected into test tubes with a fraction collector  
175 (Pharmacia LKB Super Frac, Bromma, Sweden) at 10 or 12 min intervals. The separation  
176 was recorded using a Servogor 120 plotter (BBC Goerz Metrawatt SE 120, Vienna, Austria).

### 177 2.11. Spiral-Coil LSRCCC separation

178 A spiral tube prototype of LSRCCC (Pharma-Tech Research Corp., Baltimore, MD) was  
179 equipped with ten spirals to a single coil of convoluted Teflon tubing (8.5 mm i.d.; total  
180 volume: 5600 mL (each spiral 560 mL)). A Knauer HPLC pump 64 (Berlin, Germany)  
181 pumped the solvent systems at a flow rate of 5 mL/min. The two-phase solvent system ethyl  
182 acetate/*n*-butanol/water (14:1:15, v/v/v) determined by stationary retention studies was  
183 applied for the separation of the reaction mixture of 70% acetone cocoa bean extract with  
184 (+)-catechin (sample load, 10.8 g). For the separation of the coil fraction (sample load, 8.9 g)  
185 the solvent system *n*-hexane/ethyl acetate/methanol/water (1:10:1:10, v/v/v/v) was used. The  
186 samples were dissolved in 200 mL of a 1:1 mixture of upper and lower phase of the two-  
187 phase solvent systems. The upper organic phase was used as stationary phase and the  
188 lower aqueous phase as mobile phase using the elution mode from inside to outside and

189 head to tail (L-I-H). The rotation was set at 132 rpm. The effluent stream was monitored with  
190 a Knauer variable wavelength detector (Berlin, Germany) at 280 nm and collected by a  
191 fraction collector (Pharmacia LKB Super Frac, Bromma, Sweden) into 50 mL fractions. The  
192 chromatograms were recorded using a Servogor 120 plotter (BBC Goerz Metrawatt SE 120,  
193 Vienna, Austria).

194 *2.12. Reversed-phase High-Performance Liquid Chromatography Photodiode Array (RP-*  
195 *HPLC-PDA); HPLC-Electrospray Ionization Multiple Mass Spectrometry (HPLC-ESI-MS<sup>n</sup>)*  
196 *and High Resolution ESI-MS (HR-ESI-MS) analysis*

197 RP-HPLC-PDA analysis of proanthocyanidins was performed on a Jasco system (Gross-  
198 Umstadt, Germany) equipped with a PU-2080 plus pump combined with a DG-2080053  
199 three-line degasser and an LG 2080-02 ternary gradient unit, and MD-2010 plus photodiode  
200 array detector. Proanthocyanidins were separated on a 250 mm x 4.6 mm i.d., 5  $\mu$ m, Aqua  
201 C-18 column (Phenomenex, Aschaffenburg, Germany) protected with a guard column (4 mm  
202 x 4 mm) and then eluted in gradient mode with the mobile phases 2% aqueous acetic acid  
203 (v/v) (A) and acetonitrile (B): 3-10% acetonitrile (0-25 min), 10-35% acetonitrile (25-45 min),  
204 35-75% acetonitrile (45-50 min), 75% acetonitrile (50-55 min), 75-3% acetonitrile (55-60min),  
205 3% acetonitrile (60-65 min). The flow rate was set at 0.8 mL/min and the injection volume at  
206 20  $\mu$ L. Proanthocyanidins were analyzed at  $\lambda$  280 nm.

207 HPLC-ESI-MS<sup>n</sup> analyses were performed on an Agilent 1100 HPLC system (Waldbronn,  
208 Germany) equipped with an 1200 autosampler and an 1100 HPLC pump and interfaced to  
209 an Esquire HPLC-MS/MS system (Bruker GmbH, Bremen, Germany). The software HP  
210 ChemStation was used for data collection. MS parameters are given as follows: negative  
211 mode; capillary, 3000 V; end plate, -500 V; capillary exit, -105 V; dry gas, 325 °C; gas flow,  
212 10 L/min; nebulizer, 40 psi and scan range,  $m/z$  50 to 2200. HPLC conditions are given  
213 above.

214 High-resolution ESI-MS analyses were performed on a Thermo Science LTQ Orbitrap mass  
215 spectrometer in the positive ionization mode (Thermo Fisher Scientific, Bremen, Germany).

216 *2.13. Normal-phase High-Performance Liquid Chromatography Photodiode Array (NP-HPLC-*  
217 *PDA)*

218 A HPLC system from Agilent Technologies Series 1100 (Waldbronn, Germany) consisting of  
219 a binary HPLC pump, autosampler, photodiode array detector, column oven, normal-phase  
220 column [250 mm × 16 mm i.d., Luna 5 $\mu$  Silica (2), 100Å (Phenomenex, Aschaffenburg,  
221 Germany), equipped with a guard column] and HP ChemStation V 6.0 as software were  
222 used. Dichloromethane/methanol/acetic acid/water (82:14:2:2, v/v/v/v) (solvent A) and  
223 dichloromethane/methanol/acetic acid/water (10:86:2:2, v/v/v/v) (solvent B) were used as  
224 mobile phase. The gradient was as follows: 0 min 0% B, 30 min 20% B, 63 min 75% B,  
225 65 min 100%, 70 min 100% B, 75 min 0% B, 80 min 0% B. The flow rate was set at  
226 1.6 mL/min, the wavelength at  $\lambda$  280 nm and the column oven temperature at 37 °C. Twenty  
227 microliters were injected into the HPLC system.

228 *2.14. Preparative HPLC*

229 A HPLC system from Knauer (Smartline 1000 HPLC pump, Smartline Manager 5000 solvent  
230 organizer and degasser, Wellchrom K-2600 UV detector, Berlin, Germany), ChromGate  
231 version V3.1.7 software and a preparative HPLC column [Hypersil ODS C-18, 5  $\mu$ m, 250 x 16  
232 mm i.d. (Phenomenex, Aschaffenburg, Germany)] were used. Conditions: water (solvent A)  
233 and acetonitrile (solvent B); gradient 1 for tetrameric procyanidin: 0 min 10% B, 40 min 30%  
234 B; gradient 2 for pentameric procyanidin 0 min 11% B, 40 min 25% B. Gradients for dimeric  
235 procyanidins B1, B2, B5 and B7 and trimeric procyanidin C1 are given in (Esatbeyoglu et al.,  
236 2014). The flow rate was adjusted to 6 mL/min. The eluents were monitored at  $\lambda$  280 nm.

237 *2.15. Thin layer chromatography*

238 Silica gel 60G F254 20 cm×20 cm plates applied on aluminium (Merck, Darmstadt, Germany)  
239 were used. Plates were developed in toluol/acetone/formic acid (3:3:1, v/v/v) as mobile  
240 phase. After development and UV detection, the plates were sprayed with *p*-anisaldehyde-  
241 sulfuric acid-reagent solution (Stahl, 1967), air-dried at ambient temperature and heated at  
242 100 °C for a few seconds. Proanthocyanidins were visible as orange-red spots.

243 *2.16. Nuclear Magnetic Resonance (NMR) spectroscopy*

244 One-dimensional  $^1\text{H}$  and two-dimensional  $^1\text{H}$ - $^1\text{H}$  correlation spectroscopy (COSY) as well as  
245  $^1\text{H}$ - $^1\text{H}$  phase-sensitive NOESY experiments were performed on Bruker Avance ARX 400  
246 NMR spectrometer equipped with a variable temperature unit B VT-2000 (Rheinstetten,  
247 Germany) at 240 K. Acetone- $\text{d}_6$  was used as solvent. The chemical shifts were calibrated  
248 against the residual solvent signals and are given in ppm. Coupling constants are given in  
249 Hz.

250 **(-)-Epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin**  
251 **(cinnamtannin A2)**

252 Amorphous white powder;  $\lambda_{\text{max}} = 230$  and  $278$  nm; ESI-MS/MS  $m/z$  1153 [M - H]<sup>-</sup>; MS/MS  
253 fragments  $m/z$  1027, 865, 739, 701, 577, 451, 425, 407, 289, 287, 245; HR-ESI-MS  $m/z$   
254 1155.2774 [M+H]<sup>+</sup> (calcd for 1155.2765 C<sub>60</sub>H<sub>50</sub>O<sub>24</sub>); CD (0.14 mmol/L in methanol):  $[\theta]_{202} -$   
255 305238,  $[\theta]_{218}$  322379,  $[\theta]_{240}$  118278,  $[\theta]_{280} -13547$ .

256  $^1\text{H}$  NMR data see Table 1.

257 **(-)-Epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin-**  
258 **4 $\beta$ →8-(-)-epicatechin (cinnamtannin A3)**

259 Amorphous white powder;  $\lambda_{\text{max}} = 230$  and  $278$  nm; ESI-MS/MS  $m/z$  1441 [M - H]<sup>-</sup>; MS/MS  
260 fragments  $m/z$  1153, 865, 721, 575, 451, 425, 407, 289; HR-ESI-MS  $m/z$  1465.3223 [M+Na]<sup>+</sup>  
261 (calcd for 1465.3218 C<sub>75</sub>H<sub>62</sub>O<sub>30</sub>); CD (0.08 mmol/L in methanol):  $[\theta]_{204} -462140$ ,  $[\theta]_{220}$  453753,  
262  $[\theta]_{235}$  216554,  $[\theta]_{280} -23020$ .

263  $^1\text{H}$  NMR data see Table 1.

264 For structure elucidation of dimeric procyanidins B1, B2, B5 and B7 as well as trimeric  
265 procyanidin C1 see (Köhler et al., 2008b) and (Esatbeyoglu, Jaschok-Kentner, Wray, &  
266 Winterhalter, 2011).

267 *2.17. Phloroglucinolysis*

268 Conditions are given in (Esatbeyoglu et al., 2011).

269 *2.18. Circular dichroism*

270 CD spectra were recorded according to (Esatbeyoglu et al., 2013).

271 **3. Results and discussion**

272 Initially the ground cocoa beans were defatted with *n*-hexane and extracted with 70%  
273 aqueous acetone. The alkaloids theobromine and caffeine were partly eliminated by solvent-  
274 solvent extraction with dichloromethane. The oligomeric procyanidin composition of this 70%  
275 acetone extract was analyzed by HPLC on a normal-phase column according to  
276 (Hammerstone et al., 1999) with some modifications. Proanthocyanidins were separated  
277 according to their mDP (**Fig. 2**), but the absolute characterization of proanthocyanidins is not  
278 possible by normal-phase chromatography. Oligomeric procyanidins were quantified as (+)-  
279 catechin-equivalents at  $\lambda$  280 nm. Monomeric flavan-3-ols were present in about 6% and  
280 each group of dimers to decamers in 1.29-2.40%.

281 In fermented and unroasted cocoa beans (unknown origin and variety) dimeric procyanidins  
282 B2 and B5 were detected on a reversed-phase column which were isolated by (Köhler, 2006)  
283 using high-speed countercurrent chromatography. Moreover, trimeric procyanidin C1 and the  
284 tetramer (-)-epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin-4 $\beta$ →8-(-)-epicatechin  
285 were verified (Esatbeyoglu, 2011). The aim of this study was on the one hand to isolate the  
286 low-molecular oligomeric procyanidins from a cocoa filtrate which were enriched after  
287 precipitation of the 70% acetone extract of cocoa beans in the filtrate, and on the other hand  
288 to semisynthesize the dimeric procyanidins B1 (EC-4 $\beta$ →8-C) and B7 (EC-4 $\beta$ →6-C) which do  
289 not occur naturally in the 70% acetone extract of cocoa beans or cocoa bean precipitate.  
290 Semisynthesis allows the cheap formation of dimeric procyanidins from a plant-derived  
291 polymeric fraction with flavan-3-ols as nucleophiles (Esatbeyoglu & Winterhalter, 2010;  
292 Esatbeyoglu et al., 2010; Köhler et al., 2008a).

293

### 294 3.1. Fractionation of oligomeric procyanidins by LSRCCC

295 To obtain a procyanidin fraction rich in oligomers the 70% acetone extract was precipitated  
296 with ethanol/*n*-hexane in different ratios i.e. 1:4, 5:13, 2:1 (v/v; **Fig. 3**). The lower the ratio of  
297 *n*-hexane the higher the high-molecular oligomeric procyanidins in the filtrate (**Fig. 3**). By  
298 using a ratio of ethanol/*n*-hexane 1:4 (v/v) only dimeric and trimeric procyanidins could be  
299 detected, with no tetrameric procyanidins (**Fig. 3A**).

300 The main focus of this LSRCCC separation was the enrichment and isolation of trimeric and  
301 tetrameric procyanidins. Therefore, about 8.9 g cocoa bean filtrate was applied for LSRCCC  
302 separation which was obtained after precipitation with ethanol/*n*-hexane (5:13, v/v) of the  
303 70% acetone extract. The separation was carried out in the U-H elution mode (upper phase  
304 as mobile phase; head to tail) with the two-phase solvent system *tert*-butylmethylether/*n*-  
305 butanol/water (4.3:0.7:5, v/v/v). Köhler (Köhler, 2006) used this solvent system for the  
306 separation of dimeric procyanidins B1 and B7 from grape seed extracts. After separation, the  
307 test tubes were analyzed by thin layer chromatography on a silica gel (visual detection after  
308 spraying with *p*-anisaldehyde-sulfuric acid as orange-red spots) (Köhler, 2006; Stahl, 1967)  
309 and divided into 8 fractions. Procyanidins were separated according to their mDP. The  
310 identification of isomers is not possible because of the identical R<sub>F</sub>-values. During the  
311 separation in the U-H elution mode, the unpolar compounds eluted first. Therefore,  
312 tentatively dimeric procyanidin B5 eluted before B2 and is enriched in the first fractions.  
313 **Fig. 4** presents the chromatogram of the LSRCCC separation and the thin layer  
314 chromatogram of the selected test tubes. Fraction I was composed of different compounds  
315 and the target compounds (–)-epicatechin and dimeric procyanidin B5 were identified. A  
316 quercetin-pentoside (*m/z* 433 [M-H]<sup>-</sup> with fragment ions *m/z* 301 and 151, tentatively  
317 quercetin-3-arabinoside) was also detectable (Stark, Bareuther, & Hofmann, 2005; Wollgast  
318 & Anklam, 2000). Fraction II contained the same compounds as fraction I. Additionally,  
319 (+)-catechin, an unknown trimeric procyanidin and quercetin-3-glucoside (*m/z* 463 [M-H]<sup>-</sup> with  
320 fragment ions *m/z* 301 and 151 (Stark et al., 2005)) were enriched. (–)-Epicatechin and  
321 dimeric procyanidin B5 were obtained from fraction III. Fraction IV contained mainly (–)-  
322 epicatechin. In fraction V dimeric procyanidin B2, (–)-epicatechin, trimeric procyanidin EC-  
323 4β→8-EC-4β→6-EC and further an unknown trimeric procyanidin were enriched. Fraction VI  
324 was composed of dimeric procyanidin B2 (purity = 64.2%; 194 mg). Fraction VII contained  
325 dimeric procyanidin B2 and trimeric procyanidin C1. Using HSCCC (solvent system, ethyl  
326 acetate/isopropanol/water (20:1:20, v/v/v); flow rate, 2.7 mL/min; revolution speed, 1000 rpm  
327 – data not shown) and subsequent purification by preparative HPLC about 120 mg trimeric

328 procyanidin C1 and about 160 mg dimeric procyanidin B2 were isolated in standard quality  
329 (>95%) from fraction VII. Fraction VIII contained besides caffeine oligomeric procyanidins i.e.  
330 trimeric procyanidin C1, tetrameric, pentameric and hexameric procyanidin. The alkaloids  
331 theobromine and caffeine were enriched in the coil fraction. The HPLC-chromatograms of the  
332 selected LSRCCC fractions are depicted in **Fig. S1**.

333 To sum up, in addition to the isolation of dimeric (B2 and B5) and trimeric procyanidins (C1),  
334 an enrichment of higher oligomeric procyanidins i.e. tetrameric procyanidins using LSRCCC  
335 is possible. In the following section, the systematic isolation of tetrameric and pentameric  
336 procyanidins is illustrated.

### 337 3.2. Fractionation of higher oligomeric procyanidins by HSCCC

338 The polymeric procyanidins were eliminated by precipitation from the 70% acetone cocoa  
339 extract (ethanol:*n*-hexane in a ratio of 2:1). Due to their surface activity they could hamper  
340 the phase separation during the CCC isolation. Thereby, polymeric procyanidins were  
341 enriched in the precipitate and the oligomeric procyanidins in the filtrate (**Fig. 2**). The cocoa  
342 filtrate was fractionated with the two-phase solvent system ethyl acetate/*n*-butanol/water  
343 (14:1:15, v/v/v) using HSCCC. Eluted samples were analyzed by thin layer chromatography  
344 and combined to 10 fractions. The HSCCC separation is shown in **Fig. S2**. Polymeric  
345 procyanidins were enriched in fraction I. The alkaloid theobromine was detected in fraction II,  
346 while fraction III was composed of pentameric and hexameric procyanidins. Hexameric  
347 procyanidin (11.2 mg; purity ~60%) was enriched in fraction IV and pentameric procyanidin in  
348 fraction V. Pentameric procyanidin EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC was  
349 isolated from fraction V (17.2 mg; purity ~60%) by preparative HPLC in standard quality and  
350 the structure was elucidated by <sup>1</sup>H NMR spectroscopy (see chapter 3.6.). Fraction VI  
351 contained tetrameric and pentameric procyanidins and an unknown compound *m/z* 293 [M-  
352 H]<sup>-</sup> with fragment ions *m/z* 276, 193, 179, 132 and 115. Tetrameric procyanidin EC-4 $\beta$ →8-  
353 EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC was obtained from fraction VII (20.4 mg; purity 71.5%) and its  
354 structure was elucidated by NMR spectroscopy (see chapter 3.6.). Fraction VIII was  
355 composed of various trimeric procyanidins, mainly EC-4 $\beta$ →6-EC-4 $\beta$ →8-EC (Esatbeyoglu et

356 al., 2011) and an unknown A-type trimeric proanthocyanidin tentatively (Epi)C-(Epi)C-  
357 (Epi)GC ( $m/z$  879 [M-H]<sup>-</sup>) (Esatbeyoglu et al., 2014). In fraction IX trimeric procyanidin C1  
358 (27.7 mg; purity 86.4%) and in fraction X dimeric procyanidin B2 (30.1 mg; purity 83.5%) was  
359 detectable. The coil fraction contained the more unpolar compounds dimeric procyanidin B5  
360 and the flavan-3-ol (-)-epicatechin. These compounds could be isolated with the solvent  
361 system *n*-hexane/ethyl acetate/methanol/water (1:10:1:10, v/v/v/v) using HSCCC  
362 (Esatbeyoglu & Winterhalter, 2010). To date, gel permeation chromatography with Sephadex  
363 G-25, Sephadex LH-20 and Toyopearl TSK HW 40 or normal-phase chromatography were  
364 used for fractionation or isolation of proanthocyanidins (Abe, et al., 2008; Hammerstone et  
365 al., 1999; Kantz & Singleton, 1991; Rigaud, Escribano-Bailon, Prieur, Souquet, & Cheyner,  
366 1993; Xiao, Liu, Wu, Xie, Yang, & Sun, 2008). **Fig. S2** shows that HSCCC is an improved  
367 method for fractionation and isolation of higher oligomeric procyanidins such as tetrameric,  
368 pentameric and hexameric procyanidins. This method does not require expensive carrier  
369 materials, the separation time is short and the compounds are isolated in high purities.  
370 Consequently, the isolation of a tetrameric, pentameric and hexameric procyanidins from  
371 cocoa beans in high purities using HSCCC was shown for the first time.

### 372 3.3. Semisynthetic preparation of dimeric procyanidins B1, B2, B5 and B7

373 The yield of the isolated dimeric procyanidins B2 and B5 from cocoa beans (see chapter  
374 3.1.) could be increased via semisynthesis with (-)-epicatechin as nucleophile under  
375 optimized conditions (ratio of 70% acetone cocoa extract or cocoa precipitate (1:4) and  
376 nucleophile (-)-epicatechin 1:2, reaction temperature 40 °C, reaction time 20 min, data not  
377 shown) as documented earlier (Esatbeyoglu & Winterhalter, 2010). During semisynthesis,  
378 under acidic conditions the interflavanoid linkage of oligomeric or polymeric procyanidins is  
379 cleaved and in the presence of a nucleophile i.e. (+)-catechin or (-)-epicatechin dimeric  
380 procyanidins are formed (Esatbeyoglu & Winterhalter, 2010). Moreover, with (+)-catechin as  
381 nucleophile the dimeric procyanidins B1 and B7, which do not occur naturally in the analyzed  
382 cocoa beans, are formed. Our phloroglucinolysis data showed that cocoa bean procyanidins  
383 were composed of 98% (-)-epicatechin in their extension and terminal units. The occurrence



384 of (-)-epicatechin is often-cited (Porter et al., 1991; Wollgast & Anklam, 2000). According to  
385 phloroglucinolysis data only dimeric procyanidins B1, B2, B5 and B7 with (-)-epicatechin in  
386 the upper unit are formed via semisynthesis from the analyzed cocoa beans. These dimeric  
387 procyanidins were isolated by HSCCC as shown earlier (Esatbeyoglu & Winterhalter, 2010).  
388 The composition of the HSCCC fractions of the reaction mixture of cocoa beans precipitate  
389 with (+)-catechin or (-)-epicatechin was similar to those of the reaction mixtures of *Aronia*  
390 *melanocarpa* (Esatbeyoglu & Winterhalter, 2010). Therefore, the HSCCC separations are not  
391 described in detail and only the yield and purity of dimeric procyanidins are given here. From  
392 1000 mg semisynthetic reaction mixture with (+)-catechin as nucleophile 85.8 mg dimeric  
393 procyanidin B1 (purity 89.3%) and 26 mg dimeric procyanidin B7 (purity 88.6%), with (-)-  
394 epicatechin 76.6 mg dimeric procyanidin B2 (purity 92.0%) and 23.9 mg dimeric procyanidin  
395 B5 (purity 80.7%) were isolated. Because of steric requirements 4→8 linked dimeric  
396 procyanidins (B1, B2) are formed in higher amounts compared to 4→6 linked dimeric  
397 procyanidins (B5, B7).

#### 398 3.4. Fractionation of the semisynthetic reaction mixture with (+)-catechin by Spiral-Coil 399 LSRCCC

400 For human intervention studies larger amounts of dimeric procyanidins are required. To date,  
401 dimeric procyanidins could not be isolated in large amounts. Recently, Esatbeyoglu et al.  
402 (Esatbeyoglu et al., 2014) showed the isolation of dimeric procyanidins B1 to B4 in amounts  
403 of 350-740 mg and purities of about 80-90%. The aim of the present study was to show the  
404 isolation of the dimeric procyanidin B1 of standard quality on a large scale using a new  
405 technique, spiral-coil LSRCCC.

406 Only a few solvent systems were validated for spiral-coil LSRCCC separation (Köhler, 2006;  
407 Köhler et al., 2004). According to Köhler (Köhler, 2006) the known solvent system *tert*-  
408 butylmethylether/*n*-butanol/water (4.3:0.7:5, v/v/v) for separation of proanthocyanidins by  
409 LSRCCC is not suitable as a solvent system for spiral-coil LSRCCC separation.

410 The known two-phase solvent system ethyl acetate/*n*-butanol/water (14:1:15, v/v/v) for the  
411 separation of dimeric procyanidin B1 (Esatbeyoglu & Winterhalter, 2010) was used to

412 evaluate the stationary phase retention ( $R_{ST}$ ) and mixing effect between the two phases for  
413 fractionation of dimeric procyanidins by spiral-coil LSRCCC.  $R_{ST}$  was determined according  
414 to Köhler et al. (Köhler et al., 2004). Compared to LSRCCC, spiral-coil LSRCCC allows  
415 higher revolution speeds due to the combination of the spiral effect and the Archimedean  
416 screw force (Köhler et al., 2004). Different elution modes (**Table S1**) and revolution speeds  
417 (20–200 rpm) under a constant flow rate of 10 mL/min were assessed for  $R_{ST}$  determination.  
418 A high  $R_{ST}$  represents good separation efficiency. Only four of eight possible elution modes  
419 L-I-T, L-I-H, U-O-H and U-O-T (**Table S1**) showed high  $R_s$ , due to the spiral centrifugal force  
420 gradient superimposed on the Archimedean screw effect (Köhler et al., 2004). **Fig. S3A**  
421 shows the retention curves of the solvent system ethyl acetate/*n*-butanol/water (14:1:15,  
422 v/v/v) for four reasonable elution modes. At a revolution speed of 120 rpm the retention curve  
423 rose above 50% in the L-I-H elution mode (lower phase as mobile phase, elution from inside  
424 to outside and head to tail) (**Fig. S3A**). A revolution speed of 132 rpm and the elution mode  
425 L-I-H were chosen for separating proanthocyanidins, because of the nearly constant  $R_{ST}$   
426 (about 55%) in the range of 120 to 140 rpm. The reaction mixture of 70% acetone cocoa  
427 bean extract with (+)-catechin as nucleophile was applied, after elimination of the unreacted  
428 polymeric procyanidins by precipitation (ethanol/*n*-hexane (5:13, v/v)), for isolation of dimeric  
429 procyanidins B1 and B7 in large amounts using spiral-coil LSRCCC. After analyzing the  
430 collected samples by thin layer chromatography, 5 fractions and the coil fraction were  
431 obtained. The spiral-coil LSRCCC separation is shown in **Fig. 5**. The target compound  
432 dimeric procyanidin B1 was enriched with caffeine in fraction III (473 mg; purity = 62.2%).  
433 The elimination of caffeine was achieved by solvent-solvent extraction with dichloromethane.  
434 Subsequently, dimeric procyanidin B1 was isolated using preparative HPLC in amounts of  
435 270 mg in standard quality (>95%). Fraction IV was composed of B-type trimeric  
436 procyanidins EC-4 $\beta$ →8-EC-4 $\beta$ →8-C, EC-4 $\beta$ →6-EC-4 $\beta$ →8-C and EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC  
437 (C1) (Esatbeyoglu et al., 2011) and an unknown A-type trimeric proanthocyanidin tentatively  
438 (Epi)C-(Epi)C-(Epi)GC ( $m/z$  879 [M-H]) (Esatbeyoglu et al., 2014) in addition to the

439 compounds in fraction III. Fraction V contained the trimeric procyanidins EC-4 $\beta$ →8-EC-  
440 4 $\beta$ →8-C and EC-4 $\beta$ →8-EC-4 $\beta$ →8-EC (C1) as well as dimeric procyanidin B2.

441 It was possible to isolate dimeric procyanidin B1 in large amounts (about 270 mg;  
442 purity >95%) by spiral-coil LSRCCC compared to HSCCC (about 72 mg; purity >95%). The  
443 elimination of caffeine is recommended before the separation in order to isolate pure dimeric  
444 procyanidin B1.

445  
446 The non-polar compounds dimeric procyanidin B7 (3.3%), (-)-epicatechin (9.0%) and  
447 (+)-catechin (81.5%) were enriched in the coil fraction. The two-phase solvent system  
448 *n*-hexane/ethyl acetate/methanol/water (1:10:1:10, v/v/v/v) was successfully applied for the  
449 isolation of dimeric procyanidin B7 from *Aronia melanocarpa* (Esatbeyoglu & Winterhalter,  
450 2010). The elution mode was determined as described above (**Fig. S3B**). At a revolution  
451 speed of 80 rpm the countercurrent flow is very high. Over a revolution speed of 150 rpm  
452 there are no huge differences between the four elution modes. A separation of  
453 proanthocyanidins is recommended in the elution mode L-I-H or U-O-T because of the  
454 sigmoid curves. We decided to separate in the L-I-H elution mode. Here, the lower phase  
455 acts as mobile phase. The spiral-coil LSRCCC chromatogram is depicted in **Fig. 6**. Because  
456 of the same  $R_f$  values of (+)-catechin and (-)-epicatechin the collected samples were  
457 analyzed by HPLC-PDA at  $\lambda$  280 nm. Fraction I contained polymeric procyanidins. The target  
458 compound dimeric procyanidin B7 was enriched in fraction II (420 mg) together with  
459 (-)-epicatechin. A more polar solvent system such as *n*-hexane/ethyl acetate/methanol/water  
460 (0.8:10:0.8:10, v/v/v/v) should be used for the isolation of dimeric procyanidin B7 by spiral-  
461 coil LSRCCC. In this case, (-)-epicatechin is expected to elute later due to the larger partition  
462 coefficient. Dimeric procyanidin B7 (90 mg; purity >95%) was isolated from fraction II by  
463 HSCCC (**Fig. 6**, right side). From fraction III the unreacted nucleophile (+)-catechin was  
464 recovered (standard quality, about 4 g).

465 Spiral-coil LSRCCC is convenient for the isolation of compounds which have a partition  
466 coefficient under 1. Compounds with a partition coefficient over 1 lead to a longer separation

467 time. Compared to HSCCC separation (23 mg B7) about 90 mg dimeric procyanidin B7 was  
468 isolated by spiral-coil LSRCCC in standard quality. We reported the choice of solvent system  
469 and the isolation of proanthocyanidins i.e. dimeric procyanidins B1 and B7 using spiral-coil  
470 LSRCCC here for the first time.

### 471 3.5. Fractionation of the semisynthetic reaction mixture with (+)-catechin by LSRCCC

472 The ethanol/*n*-hexane precipitation of the 70% acetone cocoa extract is a crucial  
473 intermediate step before semisynthesis. In this way, it was possible to increase the yield of  
474 dimeric procyanidins two-fold if cocoa bean precipitate was applied to semisynthesis instead  
475 of a cocoa bean extract. Hence, we repeated the semisynthesis with a cocoa bean  
476 precipitate (1:4) and (+)-catechin as reactants. The cocoa precipitate (1:4) was reacted with  
477 (+)-catechin in a ratio of 1:1, at a reaction temperature of 40 °C and reaction time of 30 min.  
478 The reaction mixture was precipitated with ethanol/*n*-hexane (5:13, v/v) again in order to  
479 eliminate the unreacted polymeric procyanidins. Because of their surface activity they would  
480 hinder the LSRCCC separation. The separation conditions were nearly the same as  
481 described above (**Fig. 4**). **Fig. S4** shows the LSRCCC-chromatogram. After fractionation we  
482 obtained 5 fractions and the coil fraction. Fraction I contained the more non-polar compounds  
483 (+)-catechin and dimeric procyanidin B7 which would remain on the coil in the L-H elution  
484 mode. In fraction II (–)-epicatechin was enriched while (+)-catechin and (–)-epicatechin were  
485 enriched in fraction III in the same ratio. Fraction IV was composed of dimeric procyanidin B1  
486 (amount 208 mg, purity 81.6%). From fraction V dimeric procyanidin B1 was isolated in  
487 standard quality (>97%) in amounts of 500 mg without the necessity of purification by  
488 preparative HPLC. Theobromine was obtained from the coil fraction.

489  
490 In this investigation, we showed the isolation of dimeric (B2 and B5), trimeric, tetrameric,  
491 pentameric and hexameric procyanidins partially of standard quality from cocoa beans by  
492 HSCCC as well as LSRCCC. The isolation of dimeric procyanidins B1 and B7 in higher  
493 amounts, which do not occur as genuine compounds in the applied cocoa bean extract, were  
494 obtained after semisynthesis using spiral-coil LSRCCC and LSRCCC. Only the dimeric

495 procyanidins B1, B2, B5 and B7 are formed by semisynthesis because of the polymeric  
496 procyanidin composition of the upper unit of 98% (-)-epicatechin. Application of a polymer-  
497 enriched precipitate for semisynthesis leads to higher yields of dimeric procyanidins.

### 498 3.6. Structure elucidation of tetrameric and pentameric procyanidins by NMR, 499 phloroglucinolysis and CD

500 Tetrameric  $[(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-}$   
501  $\text{epicatechin}]$  and pentameric  $[(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-epicatechin-}$   
502  $4\beta\rightarrow 8\text{-}(-)\text{-epicatechin-4}\beta\rightarrow 8\text{-}(-)\text{-epicatechin}]$  procyanidins were isolated from fractions VII  
503 and V of the cocoa filtrate (cf. **Fig. S2**) as amorphous white powders by HSCCC after final  
504 purification by preparative HPLC. Their chemical structures are shown in **Fig. 1** with  
505 procyanidin units referred to as *A-E* units.

506 The fragmentation of the HPLC-MS/MS analysis is indicating (epi)catechin units in both  
507 compounds (cf. 2.16.). HR-ESI-MS analysis with quasi-molecular ions at  $m/z$  1155.2774  
508  $[M + H]^+$  (calcd  $m/z$  1155.2765) and  $m/z$  1465.3223  $[M + Na]^+$  (calcd  $m/z$  1465.3218)  
509 indicated molecular formulas of  $C_{60}H_{50}O_{24}$  and  $C_{75}H_{62}O_{30}$  for tetrameric and pentameric  
510 procyanidins, respectively.

511 NMR data were recorded in acetone- $d_6$  at 240 K to overcome the atropisomerism which  
512 caused signal broadening. Chemical shifts and coupling constants are given in Table 1. The  
513  $^1\text{H}$  NMR spectra of the tetrameric and pentameric procyanidins are shown in **Fig. S5**. These  
514  $^1\text{H}$  NMR spectra are similar to those of trimeric procyanidin (Esatbeyoglu et al., 2011),  
515 extended with signals of one or two more flavan-3-ol units. Tetrameric and pentameric  
516 procyanidins were present in one major conformation at low temperature.

517 In the following section, the exemplary structure elucidation of tetrameric procyanidin  
518 cinnamtannin A2 is shown. Only the characteristic points are given here (for further  
519 information see (Esatbeyoglu et al., 2011)).

520 Signals at  $\delta$  4.29 were identified as aliphatic hydroxyl groups. At  $\delta$  7.17-8.71 16 aromatic  
521 hydroxyl groups were observed. The hydroxyl groups at position 7 (A-ring) of the *B*, *C* and *D*  
522 units were determined through the correlation with H2 of the next upper unit. A thorough

523 assignment of the three hydroxyl groups at position 5 (A-ring) of the *B*, *C* and *D* units was not  
524 possible, except for OH5A  $\delta$  8.36. From the comparison with the tetrameric procyanidin, it  
525 was possible to assign tentatively the aromatic hydroxyl groups of the B-rings of the  
526 pentameric procyanidin.

527 The comparison of the integration height of the two A-ring protons from the upper unit "A" to  
528 the three A-ring protons of the other units "*B*, *C* and *D*" allowed determination of the number  
529 of flavan-3-ol units.

530 H6 protons (units *B*, *C* and *D*) showed singlets at  $\delta$  5.94. The position of the meta-coupled  
531 A-ring protons H6 ( $\delta$  6.01) and H8 ( $\delta$  5.99) of the upper unit (*A*) were assigned from NOE  
532 correlations between H6 and the two aromatic hydroxyl groups ( $\delta$  8.36 OH5A and  $\delta$  8.66  
533 OH7A) as well as between H8 and one aromatic hydroxyl group ( $\delta$  8.66).

534 A small value of 2 Hz or a broad singlet for  $J_{2,3}$  indicate (–)-epicatechin as flavan-3-ol unit  
535 (2,3-*cis* configuration).

536 COSY-spectra afforded the assignment of the C-ring protons. From the H4A and H4B  
537 protons of the terminal unit (*D*) it was possible to determine the H2 and H3 protons of the  
538 C-ring of the terminal unit (*D*) from COSY-spectra. NOE correlations allowed the assignment  
539 of the order of the flavan-3-ol units and the identification of the interflavanoid linkage. NOE  
540 correlations between H2'/H6' (B-ring, *D*) and H2/H3 of the terminal unit (C-ring, *D*) were  
541 observed, as well as the corresponding signals for the *A*, *B* and *C* units. An additional NOE  
542 correlation of H2' and H6' of the terminal unit (*D*) with H4 of the next upper unit (*C*) allowed  
543 the unambiguous assignment of the B- and C-ring protons of unit *C*. Such correlations are  
544 characteristic of a 4→8 interflavanoid linkage, as well (Esatbeyoglu et al., 2011; Esatbeyoglu  
545 et al., 2010). Due to the absence of these correlations, the upper unit (*A*) was determined  
546 readily. The determination of the *B*-unit resulted from correlations of H2' and H6' of the *B*-  
547 unit to H4 of the *A*-unit. The correlation between H2'/H6' of the *C*-unit and H4 of the *B*-unit  
548 confirmed this assumption. Finally, all units are 4→8 linked. The lack of NOE correlations  
549 between H2 and H4, indicated that the flavan-3-ol units are linked quasi-axial ( $\beta$ -orientation  
550 of the interflavanoid bond).

551 Confirmation of the structures of tetrameric and pentameric procyanidins was conducted by  
552 acid-catalyzed degradation (phloroglucinolysis) (Esatbeyoglu et al., 2011). Three  
553 (–)-epicatechin-(4 $\beta$ →2)-phloroglucinol equivalents for the upper units and one  
554 (–)-epicatechin for the terminal unit were observed after complete cleavage of the tetrameric  
555 procyanidin. Incomplete cleavage of the tetramer (cinnamtannin A2) yielded 72.8%  
556 (–)-epicatechin-(4 $\beta$ →2)-phloroglucinol and dimer B2-phloroglucinol, 8.5% dimer B2, 0.9%  
557 trimer C1 and 17.8% (–)-epicatechin. For the pentamer (cinnamtannin A3) 70.4%  
558 (–)-epicatechin-(4 $\beta$ →2)-phloroglucinol and dimer B2-phloroglucinol, 9.4% dimer B2, 3.1%  
559 trimer C1, 2.2% tetramer (cinnamtannin A2), 2.4% pentamer (unreacted) and 12.4%  
560 (–)-epicatechin were obtained after incomplete cleavage. All these data confirmed 4→8  
561 linked cleavage products and complete degradation of the tetramer and pentamer (almost)  
562 are characteristic of a 4→8 interflavanoid linkage.

563 To date, the structures of cinnamtannin A2 and cinnamtannin A3 were elaborated on the  
564 basis of COSY-, HSQC- and HMBC-spectra and/or acid-catalyzed degradation (i.e. thiolysis,  
565 phloroglucinolysis) (Abe, et al., 2008; Bicker, Petereit, & Hensel, 2009; Köhler et al., 2008b;  
566 Nakashima, Oda, Masuda, Tagashira, & Kanda, 2012; Porter et al., 1991; Saito et al., 2009;  
567 Shoji, Mutsuga, Nakamura, Kanda, Akiyama, & Goda, 2003; Stark et al., 2005). The  
568 interflavanoid linkage was determined from HMBC-spectra data (Abe, et al., 2008;  
569 Nakashima et al., 2012; Shoji et al., 2003; Stark et al., 2005).

570

571 In this study, we showed for the first time the isolation of oligomers from *Theobroma cacao*  
572 with mDP 2 to 5 by different techniques of CCC, partly on a large scale, which could be used  
573 to investigate their physiological function in future studies. Moreover, dimeric procyanidin B1  
574 was isolated in amounts of 700 mg. All naturally occurring oligomers in *Theobroma cacao*  
575 were composed of (–)-epicatechin units which were linked via a C4→C8 bond (B-type). The  
576 complete structures of tetrameric and pentameric procyanidins were elucidated using <sup>1</sup>H  
577 NMR spectroscopy (especially NOE-correlations) without the necessity of <sup>13</sup>C NMR spectra  
578 and acid-catalyzed derivatization.

579 **Abbreviations used**

580 C, (+)-catechin; EC, (-)-epicatechin; (Epi)C, (-)-epicatechin or (+)-catechin; (Epi)GC,  
581 (-)-epigallocatechin or (+)-gallocatechin; HSCCC, high-speed countercurrent  
582 chromatography; LSRCCC, low-speed rotary countercurrent chromatography; mDP, mean  
583 degree of polymerization;  $R_{ST}$ , stationary phase retention

584

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593 **Appendix A. Supplementary data (Figures S1 to S5, Table S1)**

594 **“The authors declare no conflict of interest“**

595



596 **References**

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734

735 **Figure Captions**

736 **Figure 1.** Chemical structures of dimeric procyanidins B1 (**A**), B2 (**B**), B5 (**C**) and B7 (**D**),  
737 trimeric procyanidin C1 (**E**), tetrameric procyanidin Cinnamtannin A2 (**F**) and pentameric  
738 procyanidin Cinnamtannin A3 (**G**).

739 **Figure 2.** Normal-phase-HPLC-chromatograms of the precipitate (**A**) and filtrate (**B**) from a  
740 70% acetone cocoa extract obtained by precipitation with ethanol:*n*-hexane (2:1) at  $\lambda$  280 nm  
741 (Esatbeyoglu, 2011).

742 **Figure 3.** HPLC-chromatograms of the cocoa filtrate with different ratios of ethanol:*n*-hexane  
743 (**A**= 1:4, **B**= 5:13, **C**= 2:1) at  $\lambda$  280 nm (Esatbeyoglu, 2011).

744 **Figure 4.** Chromatogram of the LSRCCC separation of the cocoa filtrate (5:13) ( $\lambda$  280 nm)  
745 (sample load, 8.9 g; solvent system, *tert*-butylmethylether/*n*-butanol/water (4.3:0.7:5, v/v/v);  
746 flow rate, 4 mL/min; revolution speed, 48 rpm; elution mode, U-H (upper phase as mobile  
747 phase, elution from head to tail)) and above the thin layer chromatogram of the selected test  
748 tubes (Esatbeyoglu, 2011).

749 **Figure 5.** Chromatogram of the spiral-coil LSRCCC separation from the reaction mixture of  
750 the 70% acetone cocoa bean extract with (+)-catechin at  $\lambda$  280 nm (sample load, 10.8 g;  
751 solvent system, ethyl acetate/*n*-butanol/water (14:1:15, v/v/v); flow rate, 5 mL/min; revolution  
752 speed, 132 rpm; elution mode, L-I-H (lower phase as mobile phase, elution from inside to  
753 outside and head to tail)) (Esatbeyoglu, 2011).

754 **Figure 6.** Chromatogram of the spiral-coil LSRCCC separation of the coil fraction from the  
755 reaction mixture of the 70% acetone cocoa bean extract with (+)-catechin (see **Figure 5**) at  
756  $\lambda$  280 nm (sample load, 8.9 g; solvent system, *n*-hexane/ethyl acetate/methanol/water  
757 (1:10:1:10, v/v/v/v); flow rate, 5 mL/min; revolution speed, 132 rpm; elution mode, L-I-H  
758 (lower phase as mobile phase, elution from inside to outside and head to tail)). The HSCCC  
759 separation of fraction II to isolate dimeric procyanidin B7 is shown on the right side (sample  
760 load, 420 mg; solvent system, *n*-hexane/ethyl acetate/methanol/water (1:10:1:10, v/v/v/v);  
761 flow rate, 2.7 mL/min; revolution speed, 1000 rpm (Esatbeyoglu, 2011).

762

**Figure 1**

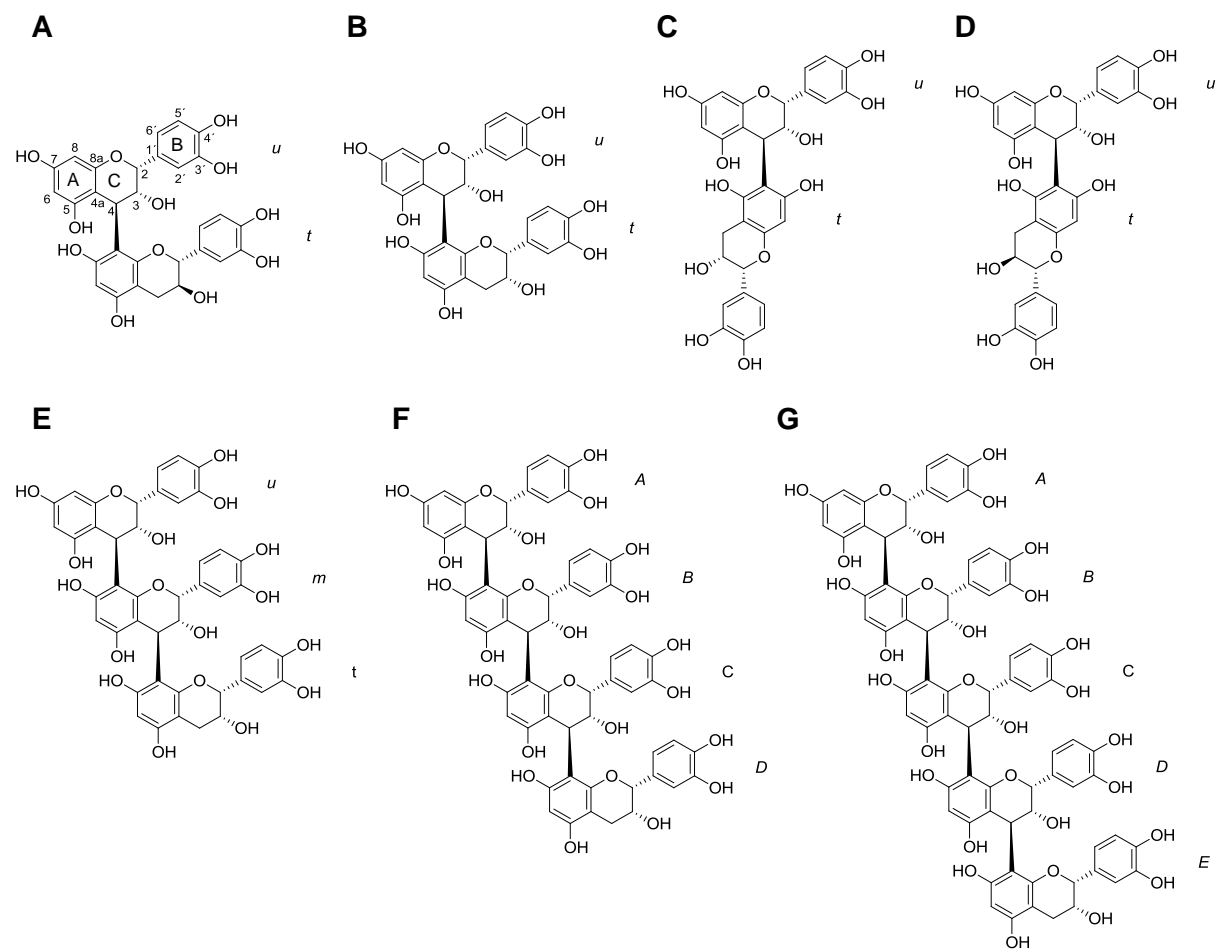


Figure 2

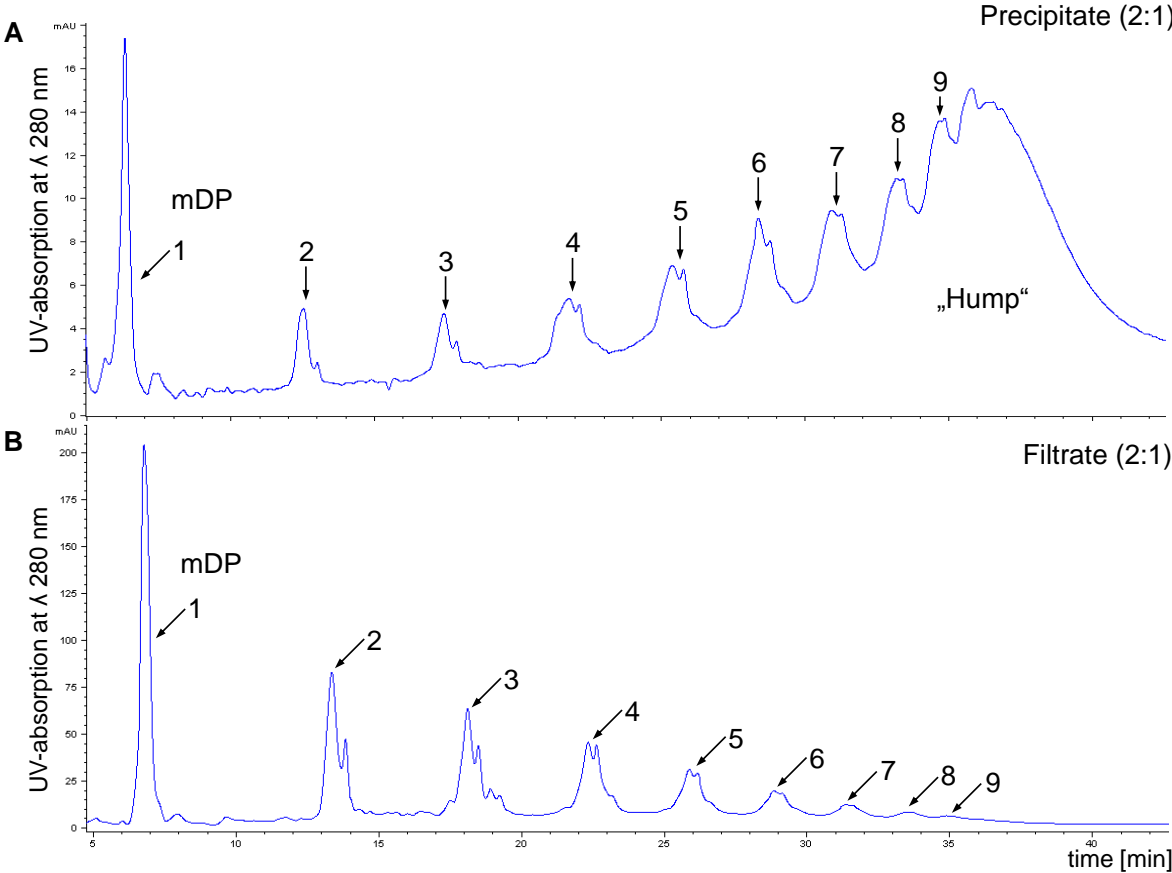




Figure 3

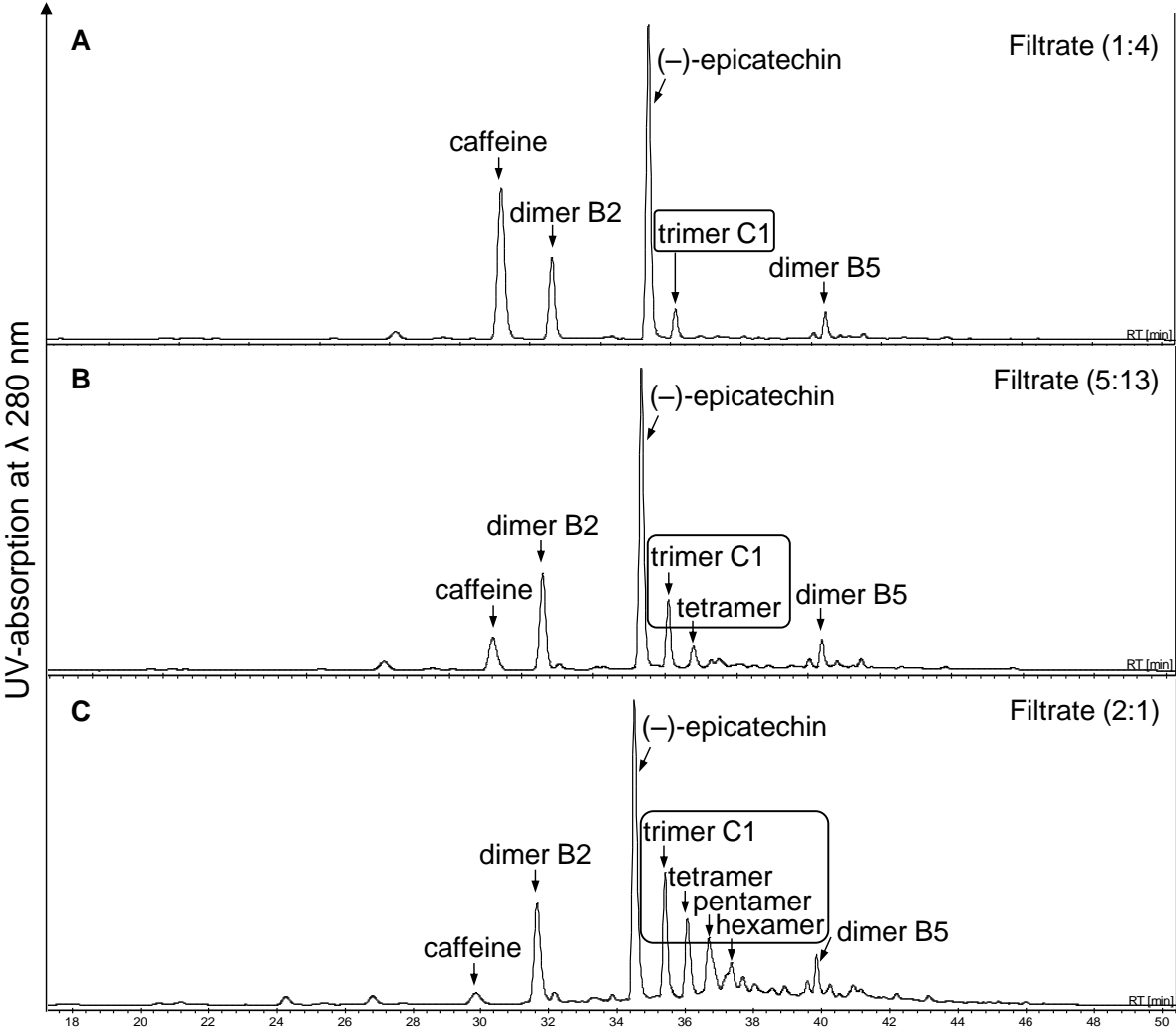


Figure 4

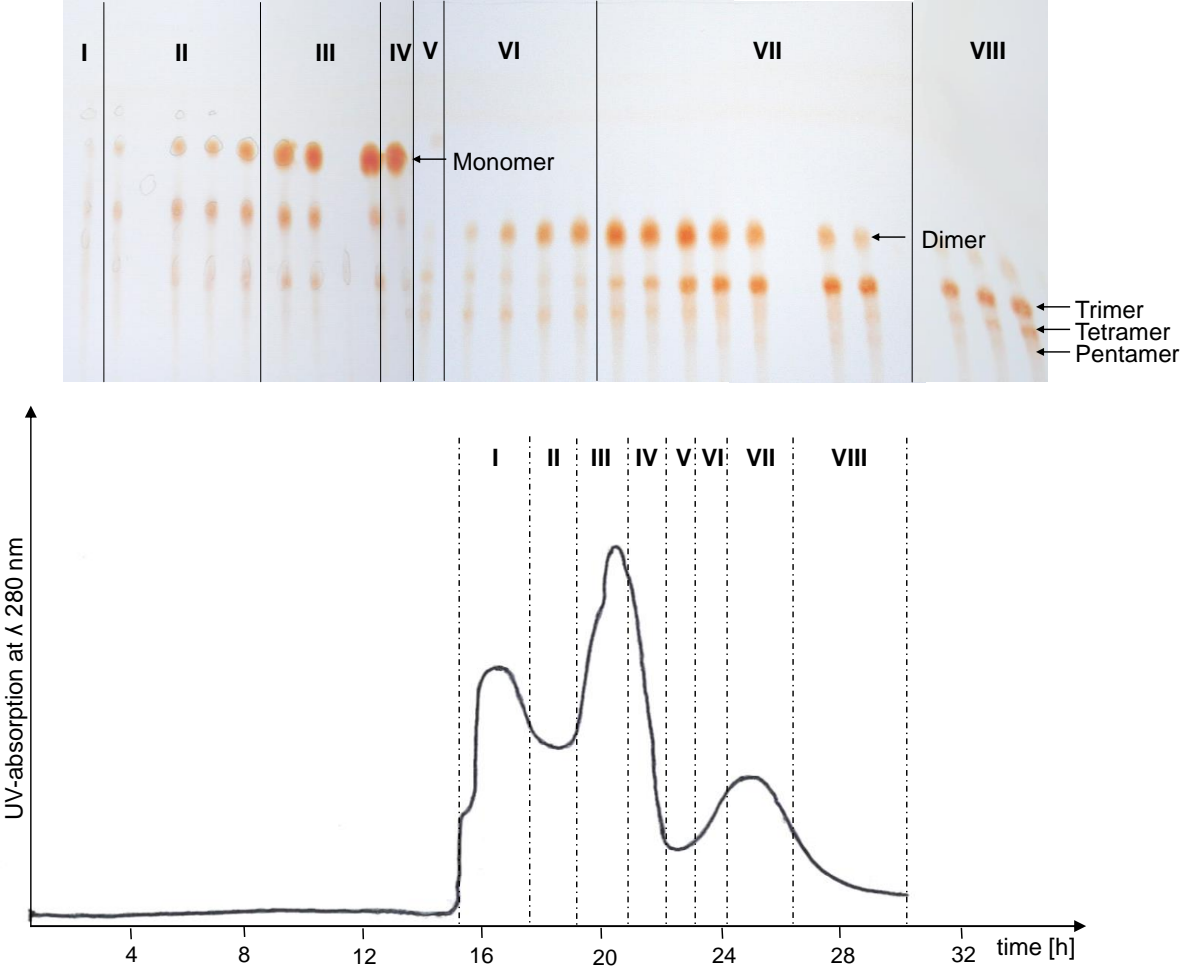


Figure 5

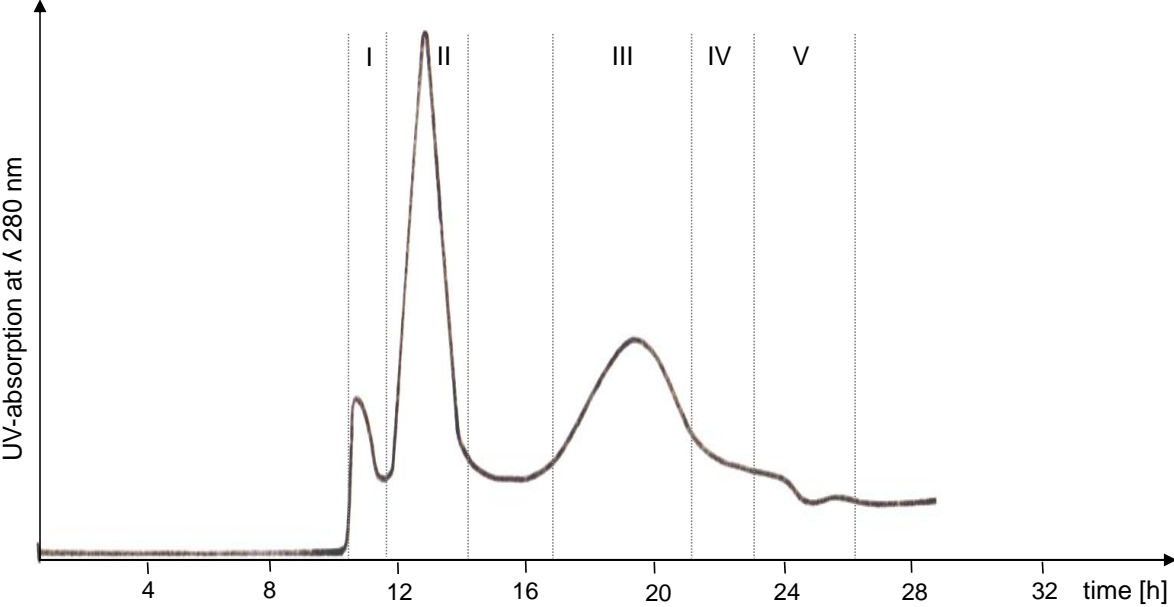
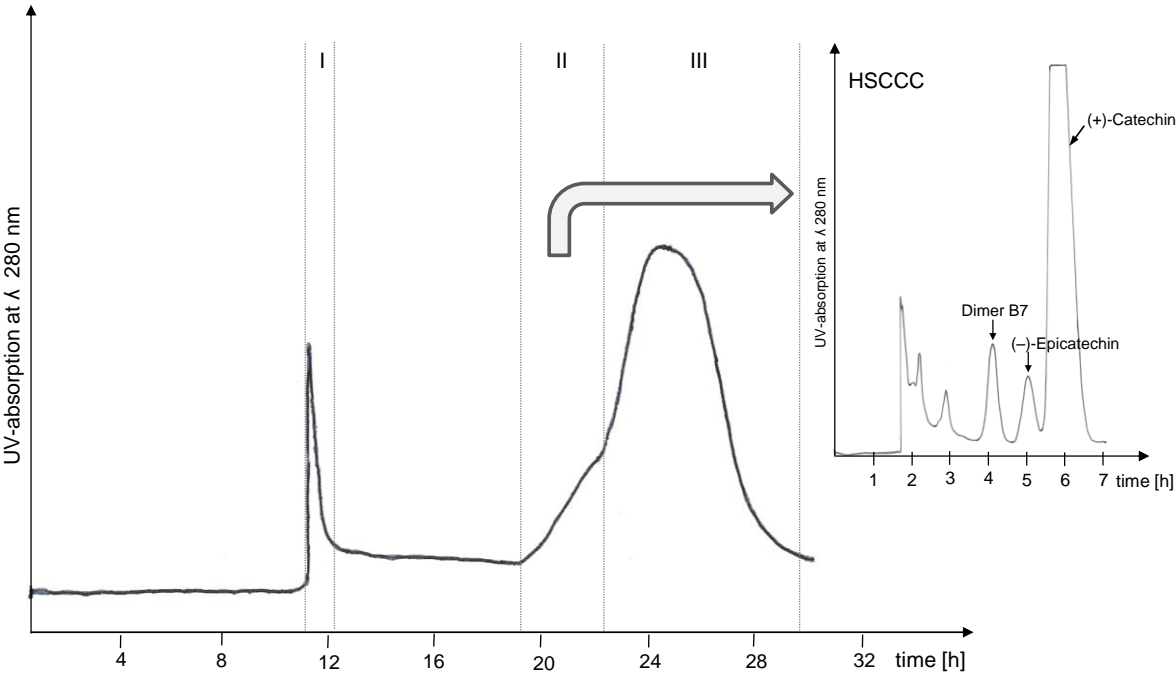


Figure 6



**Table 1. <sup>1</sup>H NMR Spectral Data of Tetrameric and Pentameric Procyanidin in Acetone-d<sub>6</sub> at 240 K (400 MHz).**

Ring	Position	Tetramer Cinnamtannin A2 δ multiplicity (J)	Pentamer Cinnamtannin A3 δ multiplicity(J)
Upper unit (A)			
C	H2	5.08 S <sub>br</sub>	5.08 S <sub>br</sub>
C	H3	4.02 m	4.03 m
C	OH3	4.29	4.28
C	H4	4.80 S <sub>br</sub>	4.81 S <sub>br</sub>
A	H6	6.01 d (1.6)	6.02 d (1.6)
A	H8	5.99 d (1.6)	5.99 d (1.6)
A	OH7	8.66	8.70
B	H2'	6.95 d (1.8)	6.95 d (2.0)
B	H5'	6.70 d (8.0)	6.70 d (7.9)
B	H6'	6.62 dd (1.7, 8.1)	6.62 dd (2.1, 7.9)
Upper unit (B)			
C	H2	5.24 S <sub>br</sub>	5.26 S <sub>br</sub>
C	H3	4.15 m	4.15 m
C	OH3	4.29	4.28
C	H4	4.87 S <sub>br</sub>	4.89 S <sub>br</sub>
A	H6	5.94 s	5.95 s
A	OH7	7.17	7.16 <sup>b)</sup>
B	H2'	7.08 d (n.d.)	7.07 d (1.8)
B	H5'	6.72 d (8.3)	6.65-6.73 m
B	H6'	6.66 dd (n.d.)	6.64-6.69 m
Upper unit (C)			
C	H2	5.26 S <sub>br</sub>	5.29 S <sub>br</sub>
C	H3	4.10 m	4.17 m
C	OH3	4.29	4.28
C	H4	4.83 d (2.0)	4.89 S <sub>br</sub>
A	H6	5.94 s	5.95 s
A	OH7	7.36	7.40
B	H2'	7.12 d (1.9)	7.13 d (n.d.)
B	H5'	6.66 d (8.3)	6.64-6.69 m
B	H6'	6.74 dd (2.0, 8.3)	6.65-6.73 m
Upper unit (D)			
C	H2		5.28 S <sub>br</sub>
C	H3		4.11 m
C	OH3		4.28
C	H4		4.83 S <sub>br</sub>
A	H6/H8		5.95 s
A	OH7		7.27
B	H2'		7.13 d (n.d.)
B	H5'		6.65-6.73 m
B	H6'		6.75 dd (1.9, 8.2)
Terminal unit (D)		Terminal unit (E)	
C	H2	5.08 S <sub>br</sub>	5.08 S <sub>br</sub>
C	H3	4.37 m	4.37 m
C	OH3	4.29	4.28
C	H4A	2.91 dd (4.5, 16.5)	2.92 dd (4.2, 16.2)
C	H4B	2.71 dd (<1, 16.4)	2.72 dd (<1, 16.2)
A	H6	5.94 s	5.95 s
A	OH7	7.25	7.17 <sup>b)</sup>
B	H2'	7.19 d (2.0)	7.19 d (2.0)
B	H5'	6.94 d (n.d.)	6.94 d (8.7)
B	H6'	6.93 dd (1.8, n.d.)	6.94 dd (2.1, 8.7)

br = broad; n.d. = not determined

Partial assignment of the aromatic hydroxyl groups for the tetramer: 8.12, 8.18, 8.21, 8.25<sup>a)</sup> (OH5D), 8.28, 8.36 (OH5A), 8.38<sup>a)</sup> (OH5C), 8.40, 8.63<sup>a)</sup> (OH5B), 8.66, 8.70, 8.71

Partial assignment of the aromatic hydroxyl groups for the pentamer: 8.12<sup>c)</sup> (OH4'B), 8.16<sup>c)</sup> (OH4'C), 8.20<sup>c)</sup> (OH4'D), 8.20 (OH4'A), 8.23<sup>d)</sup> (OH5B), 8.35 (OH4'E), 8.35 (OH3'A), 8.37 (OH5A), 8.39<sup>d)</sup> (OH5C), 8.41<sup>d)</sup> (OH5D), 8.61 (OH3'E), 8.64 (OH3'B), 8.66<sup>e)</sup> (OH3'C), 8.68<sup>e)</sup> (OH5E), 8.68<sup>e)</sup> (OH3'D)

<sup>a, b, c, d, e</sup> shifts are interchangeable