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## ***In Silico* Vaccine Strain Prediction for Human Influenza Viruses**

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1 **Abstract**

2 Vaccines preventing seasonal influenza infections save many lives every year; however, due  
3 to rapid viral evolution, they have to be updated frequently to remain effective. To identify  
4 appropriate vaccine strains, the World Health Organization (WHO) operates a global program  
5 that continuously generates and interprets surveillance data. Over the past decade,  
6 sophisticated computational techniques drawing from multiple theoretical disciplines have  
7 been developed that predict viral lineages rising to predominance, assess their suitability as  
8 vaccine strains, link genetic to antigenic alterations, as well as integrate and visualize genetic,  
9 epidemiological, structural and antigenic data. These could form the basis of an objective and  
10 reproducible vaccine strain selection procedure utilizing the complex, large scale data types  
11 from surveillance. Towards this end, computational techniques should already be incorporated  
12 into the vaccine selection process in an independent, parallel track and their performance  
13 continuously evaluated.

## 1 **Epidemiology and evolution of influenza viruses**

2 Based on their internal proteins, influenza viruses circulating among humans are categorized  
3 into three types: A, B, and C. Of these, A and B are primarily responsible for the yearly  
4 epidemics, whereas type C is less prevalent and causes only mild infections. The influenza A  
5 viruses, which are historically also responsible for pandemics, are further divided into  
6 subtypes, based on the combination of their hemagglutinin (H or HA) and neuraminidase (N  
7 or NA) surface glycoproteins. There are 18 different hemagglutinin (H1-H18) and 11  
8 neuraminidase (N1-N11) subtypes [1, 2]. The predominant subtypes circulating in humans are  
9 influenza A/H1N1 (pdm09), descending from the 2009 pandemic strain, and influenza  
10 A/H3N2, circulating since 1968. For H3N2, China, Southeast Asia and possibly India serve as  
11 a reservoir, from which viral lineages spread around the globe to cause seasonal epidemics,  
12 and air travel plays an important role in its spread [3-6]. Typically one antigenically distinct  
13 lineage (or variant) of influenza A subtypes predominates in seasonal epidemics, but currently  
14 the B/Yamagata and B/Victoria lineages are co-circulating and vary in local predominance.

15 The influenza A viral genome consists of 8 negative-sense, single-stranded RNA segments  
16 encoding more than 12 proteins, depending on the strain (Fig. 1, [1]). HA enables the virus to  
17 bind to its “receptor” (specific sugar structures) on the host cell surface, and initiates release  
18 of the virion content, once the particle has been endocytosed. NA facilitates the release of  
19 viral particles. The ribonucleoprotein (RNP) complex replicates and transcribes viral RNA  
20 segments. It includes the segments bound to nucleoprotein (NP), which is involved in nuclear  
21 import of the RNA, and a heterotrimeric polymerase complex of polymerase acidic protein  
22 (PA), the RNA-directed RNA polymerase catalytic subunit (PB1), and polymerase basic  
23 protein 2 (PB2). M2 is a transmembrane ion channel within the lipid envelope involved in  
24 virus uncoating during cell entry and virion assembly before release. The multifunctional M1  
25 matrix protein, which surrounds the virion core, interacts with RNP, regulating RNA nuclear  
26 export and viral envelope formation (i.e. budding) [7]. Other proteins are the non-structural  
27 protein NS1, which acts as interferon antagonist regulating host gene expression and the  
28 nuclear protein (NEP or NS2), which mediates contact between the M1/RNP complex and the  
29 cellular exportin. The splice variant PB1-F2 exhibits pro-apoptotic activity, and PA-X, an  
30 RNA-endonuclease, also modulates host response [8, 9]. However, the proteome of the  
31 influenza A virus is far more complex than initially envisioned. Recently, several accessory  
32 proteins were identified (*e.g.* PB1-N40, PA-N155, PA-N182, M42, NS3) that are translated  
33 from alternative open reading frames and play a role in the viral life cycle [10].

1 One of the primary defense mechanisms in combating influenza infections are antibodies  
2 targeting HA and NA, which are produced by B cells. While antibodies against HA prevent  
3 infection of host cells, those binding NA interfere with viral replication and spread [11].  
4 Antibodies can also contribute to the lysis of infected cells via complement activation,  
5 antibody dependent cell cytotoxicity or activation of natural killer cells [12-17]. The  
6 activation of these specific effector mechanisms can lead to improved viral clearance but also  
7 to immune pathology, such as more severe forms of disease as a result of immune complexes  
8 [18]. The internal proteins are the main target for cellular immune responses such as cytotoxic  
9 T cells, which lyse infected host cells.

10 RNA viruses have a high mutation rates [19]. The resulting amino acid changes in HA or NA  
11 can weaken viral particle binding by antibodies from prior infections or vaccination, a  
12 phenomenon called antigenic drift (Fig. 2) [1]. Since influenza viruses possess a segmented  
13 genome, reassortment can also occur, where viruses co-infecting the same cell inherit their  
14 segments from different parental strains. Reassortment of strains from the same or different  
15 human subtypes [20] can increase antigenic drift or alter overall viral fitness. Through  
16 antigenic variation created by antigenic drift [1], the viruses stay ahead of the host's  
17 production of specific antibodies. This leads to a succession of alternating states, in which the  
18 host's immune system recognizes the new viral particles and produces antibodies that are  
19 effective until a virus with altered surface proteins appears (Fig. 2). For H3N2 and H1N1  
20 viruses, antigenically similar strains, a so called antigenic variant, predominate in seasonal  
21 epidemics, and circulate for several years, before being replaced by a novel one [21]. If  
22 reassortment generates human transmissible viruses with an HA segment of non-human  
23 lineages, such as from birds or pigs, this results in antigenic shift; a large change of  
24 antigenicity, correlating with low immune defenses in the population and initiating a  
25 pandemic [1].

26 Due to antigenic drift, vaccines against human influenza viruses have to be frequently  
27 updated. In the following we outline the vaccine selection process of the WHO and the  
28 associated challenges. We then describe computational techniques for predicting influenza  
29 evolution that might allow to further improve the process and the timely identification of even  
30 better matching strains to circulating viruses.

### 31 **Vaccines for seasonal influenza viruses**

1 Influenza vaccines prevent infection by eliciting an antibody response against circulating  
2 viruses. Trivalent vaccines include a strain of influenza A/H1N1 and A/H3N2, as well as one  
3 strain of the B lineage that is currently predominant. A second B lineage is additionally  
4 represented in quadrivalent vaccines [22]. Two types of vaccines are available; one with  
5 inactivated viruses delivered via injection and a nasal spray of live attenuated viruses that has  
6 been less effective [23, 24]. Antigenic drift severely impacts vaccine efficacy: if circulating  
7 viruses are antigenically similar to the vaccine strains, the vaccine efficacy, measured by  
8 reduction of the infection risk, is 50-60% for the general population [25]. If the circulating  
9 viruses do not match the vaccine strains well, however, the efficacy is drastically reduced -  
10 such as to 19% in the 2014/2015 winter season [24]. Among the elderly, the efficacy in the  
11 best case only reaches around 19% [25]. Specific vaccines improve the efficacy for this high  
12 risk group, using adjuvants [26] or a higher antigen dosage [27].

13 To monitor the circulating viral populations for the emergence of new antigenic variants, the  
14 WHO has created the Global Influenza Surveillance and Response System (GISRS). This  
15 includes currently 143 National Influenza Centers (NICs) in 113 WHO member states and 6  
16 WHO Collaborating Centers (CCs). The NICs collect and assess antigenic, genetic and  
17 epidemiological data of circulating viruses around the year: samples are obtained from  
18 patients with influenza symptoms and sent to the CCs, where viruses are isolated and  
19 analyzed to determine their type and subtype (Fig. 3). The CCs select representative viruses  
20 for a circulating clade and antigenically different ones for detailed genetic and antigenic  
21 analysis. Their antigenic relationship to other viruses is characterized with hemagglutination  
22 inhibition (HI) and virus microneutralisation (MN) assays using panels of post-infection ferret  
23 antisera and post vaccination human sera. For almost all viruses, genome sequencing is also  
24 performed.

25 A GISRS committee selects the vaccine strains for the next years' influenza season  
26 biannually. In February, vaccine strains are recommended for the next season in the northern  
27 hemisphere starting in October, whereas in September, vaccine strains are recommended for  
28 the next southern hemisphere season starting around April. If a new antigenic variant (defined  
29 by a more than fourfold reduced titre in HI or MN assays) is identified that is considered  
30 likely to become predominant, a new vaccine strain recommended. Subsequently, the CCs  
31 produce viruses of selected strains in hens' eggs and 'reassorting laboratories' create  
32 reassortants of their HA and NA segments with other segments from the A/Puerto Rico/8/34  
33 strain. This improves growth in eggs, which are primarily used for vaccine production. The

1 reassortants are examined for antigenic and genetic changes and vaccine manufactures  
2 evaluate their growth properties. Based on these results, GISRS recommends high-growth  
3 vaccine strains that are antigenically similar to the selected viruses.

4 Creating an efficient influenza vaccine is challenging for several reasons: change of the  
5 receptor-binding properties of circulating H3N2 viruses reduced their binding avidity to avian  
6 red blood cells [28-30]. These were originally used to assess antigenicity in HI assays and  
7 were replaced with also problematic cells from guinea pigs or human [30, 31]. Measurements  
8 of antigenicity in HI assays are affected by changes in host cell receptor binding [32] that may  
9 also drive influenza evolution [33, 34]. The individual effects of antigenicity and receptor  
10 avidity on HI assays and their contributions as drivers of viral evolution are not fully  
11 understood. There are also differences between antigenicity measurements with human sera  
12 compared to more commonly used ferret sera [35]. Secondly, since evaluating the data and  
13 producing the vaccine requires up to 8 months, the vaccine strain recommendation is made  
14 almost two seasons before the start of the respective SH and NH seasons, from data available  
15 until mid-season (Fig. 3). As an antigenically novel strain subsequently rising to  
16 predominance may thus go undetected or antigenic drift can still occur, necessary updates are  
17 occasionally missed or an antigenically different strain is recommended. Both decreases  
18 vaccine effectiveness. False positive vaccine recommendations are rare and occurred only  
19 twice from 2003 to 2016/2017 for H3N2 viruses [36-38], namely when A/Wellington/1/2004  
20 was recommended in 2004S [39] and A/Switzerland/9715293/2013 in 2014S [40]. But while  
21 the emerging strain was mostly correctly identified, it was recommended oftentimes less than  
22 two seasons before reaching predominance, which resulted in a mismatch due to the time-  
23 consuming vaccine production. This occurred for example for A/Fujian/411/2002 that was  
24 recommended in 2003N for the 2004N vaccine [41], but predominated already in 2003S [42],  
25 and A/Wisconsin/67/2005, included in 2006N for season 2007N [43] and predominant in  
26 2006S [44]. Using this criterion, the vaccine composition exactly matched the predominant  
27 strain in only 13 of 26 seasons from 2003 to 2016/2017, with mismatches occurring mostly  
28 when a novel antigenic variant became predominant [38]. Third, recent H3N2 viruses grow  
29 poorly in eggs [45] and frequently acquire “egg adaptations” that alter antigenicity and lower  
30 vaccine efficacy, as for the 2012/2013 season [46]. Producing vaccines in mammalian cell  
31 culture is a still rarely used alternative with shorter production times and independence from  
32 an egg supply [47]. However, adaptive mutations also occur in cell culture [48], potentially  
33 leading to antigenic alterations. Overall, the lengthy vaccine production process and technical

1 issues with the utilized assays and production method contribute to reduced vaccine efficacies  
2 and make methodological innovations necessary.

### 3 **Computational prediction of viral evolution**

4 Sophisticated computational techniques have been developed within the last decade to predict  
5 aspects of the genetic and antigenic evolution of seasonal influenza A viruses. We first  
6 discuss approaches that infer amino acid changes, protein sites or entire regions associated  
7 with antigenic change and then methods forecasting the predominant strain for the next  
8 season, and predicting vaccine strain updates (Table 1). A newly emerging lineage will only  
9 make a vaccine strain update necessary, if strains from this lineage are antigenically different  
10 from the current vaccine strains and dominant antigenic type.

#### 11 *Inferring links between genotype and antigenicity*

12 For recommending vaccine strains based on surveillance data, knowing the most relevant  
13 amino acid substitutions, sites and regions of the HA for antigenic drift is essential. An  
14 extensive experimental study characterized the antigenic properties of single and double  
15 mutants from representative sequences of past antigenic variants of H3N2 [49]. Changes at  
16 seven sites close to the receptor binding site of HA were responsible for most change between  
17 consecutive variants in HI assays. Recent computational techniques may provide a rapid and  
18 cost-effective alternative to delineate such relationships. These assess the antigenic effects of  
19 amino acid changes from large numbers of sequences, including consideration of their  
20 evolutionary histories or of higher-order interactions, without requiring mutant strains. We  
21 classify the techniques as phylogenetic and population genetics-based or as statistical,  
22 including multivariate statistical learning methods (Table 1). The power of identified  
23 antigenicity-altering sites to predict future viral evolution is best evaluated on data from other  
24 time periods than the one used for inferring these relationships or optimizing model  
25 parameters, as successive selective sweeps of antigenically altered lineages (or variants) result  
26 in lower genetic and antigenic variation within a particular time period than across time  
27 periods, and different amino acid changes predominate (Fig. 4).

28 Methods that link antigenic and genetic variation rely primarily on statistical techniques or on  
29 information theory [50-56]. Suzuki [50] estimated antigenic distances between strains of  
30 H3N2 based on a model including physicochemical differences between amino acids, the  
31 distance between the site and receptor binding site, or to N-linked glycosylation sites, as well

1 as solvent accessibility. Though antigenic distances for the analyzed time period were  
2 predicted with lower error, the antigenic evolution of H3N2 was predicted with low accuracy.  
3 Cui et al. [51] inferred antigenic distances between H3N2 strains and antigenic variants using  
4 multivariate regression on multiple physicochemical properties of informative amino acid  
5 positions. Ren et al. [52] used multivariate regression and feature selection techniques for the  
6 HA of H1N1 viruses circulating until 2008 to identify combinations of protein sites that  
7 predict antigenic distances between strains. They thus identified the most relevant candidate  
8 sites for the antigenic evolution of the virus for the analyzed time period.

9 The key argument for methods from phylogenetics and population genetics is that genetic  
10 sequences of influenza viruses are closely related to each other, instead of being independent  
11 observations, as required for statistical analyses. Many differences that circulating viruses  
12 display relative to a previously circulating strain were acquired only once in their shared  
13 evolutionary histories. Thus, methods using features derived from sequence data directly  
14 count ancestral mutations multiple times, once for each descendant isolate, leading to  
15 overestimated significance and a strong effect of sampling. For instance, having data from one  
16 lineage overrepresented would likely lead to amino acid changes acquired once in its history  
17 to strongly affect the identified sites or changes. One can circumvent this problem by  
18 reconstructing a genealogy of the evolutionary relationships from these sequences, and  
19 inferring the history of evolutionary events, such as mutations leading to amino acid changes,  
20 for the branches of this tree, either with discrete or probabilistic approaches. The evolutionary  
21 events are independent from another and can be used for further analysis, including advanced  
22 statistics [57].

23 We developed a method for mapping antigenic distances onto a tree using least squares  
24 optimization, resulting in an “antigenic tree” [58]. For HI data mapped onto a HA genealogy  
25 of H3N2, this gave a comparatively good solution to representing antigenic distances in a  
26 two-dimensional map. The tree has antigenic weights for individual branches, identifying key  
27 branches and associated amino acid changes that altered the antigenicity in the evolution of  
28 successively circulating antigenic variants of H3N2. Neher et al. [59] described a related  
29 model for antigenic evolution on a tree, which also considers avidity and serum potency  
30 changes, and demonstrate its application to all circulating subtypes. In another study,  
31 antigenicity-altering sites determined from branches with high antigenic weight in the  
32 antigenic tree formed distinct patches on the HA structure [60]. Changes in two patches close

1 to the viral receptor binding site were primarily informative for detecting new antigenic  
2 variants.

3 Visualizing the antigenic relationships between viruses and antisera is commonly done with  
4 Antigenic Cartography [21], which uses non-metric multidimensional scaling (NMDS).  
5 NMDS places viruses and antisera on a low (usually 2) dimensional map, such that  
6 experimentally measured distances derived from HI assay data are best preserved. Future  
7 predominant lineages were predicted using a model derived from NDMS applied to protein  
8 sequences viral isolates for H3N2 and H1N1 viruses [61]. Bedford et al. [62] described a  
9 Bayesian version of antigenic cartography that also models avidity and potency changes and  
10 uses phylogenetic information to resolve uncertainty in placement of antigens and antisera.

### 11 *In silico prediction of evolution*

12 Computational methods for predicting the genetic and antigenic evolution of influenza viruses  
13 oftentimes identify a particular lineage with associated amino acid changes as becoming  
14 predominant in future seasons [38, 63-65]. Predicting vaccine strains can be evaluated with  
15 retrospective testing [38] (Fig. 4): a method is applied to data being collected until the  
16 meeting of the GISRS committee in season  $t$  and recommends to leave the vaccine unchanged  
17 or an update with a specific strain for season  $t + 2$ , where the vaccine would be available  
18 using current production techniques. This recommendation is compared to the truly  
19 predominant circulating lineage in  $t + 2$ , from which a matching vaccine strain would  
20 originate, based on its typical amino acid changes (found on their initiating branch in the HA  
21 genealogy) and whether it was antigenically novel. Predictive success is quantified either  
22 using a binary measure per season that compares the predicted lineage to the truly  
23 predominant one [38] or with a measure calculating performance per analyzed sequence and  
24 season by comparing predicted to observed lineage frequencies [64]. The latter depends on  
25 sampling and the relative proportions of different lineages in data and thus is less comparable  
26 across seasons.

27 One of the earliest studies predicted future predominant lineages of H3N2 based on the  
28 number of changes in a set of positive selected codons from a genealogy of HA [66]. With  
29 retrospective testing, Bush et al. analyzed whether the ‘predictive isolate’ was closest to the  
30 trunk node in a reference tree, from which the future dominant lineage descended. A caveat in  
31 this evaluation is the set of codons though, which was identified from data spanning the entire  
32 study period [63]; thus a proof of concept on data fully from future seasons is missing.

1 In Steinbruck and McHardy [67], Allele Dynamics Plots were described, which utilize  
2 phylogenetics and sampling times to rank alleles (representing sets of amino acid changes  
3 from tree branches) by fitness. Fitness is estimated from their frequency change over  
4 consecutive seasons, which for constantly-sized populations identifies those most likely to be  
5 under positive selection. We demonstrate its value in determination of viral lineages rising to  
6 predominance and vaccine strain selection for H3N2 and H1N1pdm09. On [nextflu.org](http://nextflu.org),  
7 frequency changes of such alleles, or lineages, can be studied for all circulating influenza  
8 types in combination with additional information, such as their geographic origins or changes  
9 in epitope sites [68]. Sweep Dynamics (SD) Plots [69] assess the statistical significance for  
10 allele dynamics, and better resolve the dynamics of individual changes. SD Plots identified  
11 confirmed sites of functional relevance for host adaptation of H1N1pdm09 after 2009 and -  
12 combined with information on antigenic sites – outperformed GISRS in predicting vaccine  
13 strains for H3N2.

14 Luksza and Lassig [64] estimated the future fitness of lineages by combining an  
15 epidemiological SIR (susceptible-infectious-recovered) model with analysis of HA sequences  
16 for the circulating viruses. Strain fitness is determined by similarity to past and presently  
17 circulating strains in epitope and non-epitope sites. This method accurately predicted the  
18 evolutionary dynamics of H3N2, indicating its suitability for detecting newly emerging  
19 lineages. Neher et al. [70] described a lineage fitness model based on the local tree topology.  
20 They assumed that an exceptionally fit internal node in a gene tree will be the root of a rapidly  
21 branching, and hence expanding, lineage. For a given phylogenetic tree, the branch with the  
22 highest fitness predicts the progenitor sequences, which has predictive value regarding H3N2  
23 evolution. Shaman and Karspeck [71] used techniques from numerical weather prediction to  
24 combine Google Flu Trends estimates of influenza-like illnesses with epidemiological  
25 models. Their approach predicts weekly influenza infection dynamics in real time from big  
26 data sets, which could inform vaccination and drug allocation strategies within a season.

27 Notably, allele and lineage characteristics could be influenced by viral passaging before  
28 sequencing [48, 72], geographically biased sampling or strongly reduced viral population  
29 sizes (bottlenecks) [73]. To reduce passaging effects, changes on terminal tree branches can  
30 be excluded [72], though this may not remove all adaptations [48]. Excluding all passaged  
31 isolates will remove most isolates from GISAID [48] and passaging information might be  
32 incomplete. Influenza A/H1N1 endemic until 2009 and the B/lineages circulate as distinct  
33 lineages over several seasons outside of a reservoir, which might give rise to bottlenecks and

1 require further consideration for predicting future predominant lineages [6]. For H3N2  
2 viruses, however, relevant bottlenecks are unknown. A viral reservoir is maintained based on  
3 low-level year-round persistence and overlapping epidemics, from which it spreads across the  
4 globe [3]. Furthermore, changes at sites under positive selection and antigenicity-altering  
5 changes in HA are enriched in the surviving lineage, indicating that indeed fitness primarily  
6 drives viral evolution [58, 74].

7 Several methods jointly consider genetic and antigenic information in predicting influenza  
8 evolution. We combined the allele dynamic ranking with per-site antigenicity estimates from  
9 an antigenic tree [38], which improved H3N2 vaccine recommendations compared to GISRS  
10 in retrospective testing. Du et al. [75] inferred antigenic clusters of strains from an antigenic  
11 similarity network. The network edges were determined with a Naïve Bayes classifier from  
12 structural and physicochemical sequence properties and H3N2 vaccine strains were  
13 recommended based on increasing cluster prevalence within a season. This model was also  
14 applied to H1N1 viruses from before 2009, H5N1 viruses and all influenza subtypes [76-78].  
15 Suzuki [79] predicted vaccine strains using a fitness model adapted from Luksza and Lassig  
16 [64] that considers cross-immunity to other strains and thermodynamic protein stability,  
17 optimized for predictive performance from antigenicity estimates.

18 The outlined computational methods combine techniques and concepts from multivariate  
19 statistics, population genetics, epidemiological modelling and phylogenetic theory. They  
20 have the potential to inform or even improve vaccine strain selection, due to their speed – for  
21 instance in determining antigenicity altering sites in comparison to extensive laboratory  
22 experiments of individual mutants - and ability to generate competitive predictive accuracies  
23 in vaccine strain prediction. They are well-equipped to handle large and high dimensional  
24 complex data types and make fully reproducible suggestions, given that codes and data are  
25 provided.

## 26 **Concluding Remarks**

27 Over the last decade, in silico methods for predicting the (antigenic) evolution of seasonal  
28 influenza A viruses have made great strides. This has in part been made possible by the recent  
29 commitment of the GISRS and experimental labs to a timely release of sequences [80]. The  
30 importance of this practice for the computational and modelling fields to further mature  
31 cannot be emphasized enough. We urge all participating laboratories, agencies and research

1 teams to support this effort and apply this also for relevant experimental data, such as HI or  
2 neutralization assay information.

3 In comparison to GISRS, computational techniques identified suitable (strains of) vaccine  
4 lineages with improved performance in retrospective testing; however, this has limitations.  
5 Only GISRS currently solves the vaccine strain problem truly for the future. Furthermore,  
6 details of the performance evaluations differ: some studies predict strains for the immediately  
7 following season, while in practice vaccine strains are recommended two seasons before. For  
8 a realistic comparison, also only data available to GISRS at the vaccine strain meeting should  
9 be considered. Since a few years GISRS uses the sequences submitted to the GISAID EpiFlu  
10 database (<http://platform.gisaid.org>) until 10 to 12 days before the meeting and the number of  
11 deposited sequences has grown substantially. For instance, 13 sequences were isolated and  
12 submitted within the 2008S season, while it were 632 sequences in the 2016N season [69, 81].  
13 Notably, though, another 2404 sequences isolated in the 2016N season were deposited after  
14 the meeting, and thus had no value for the prediction. Finally, GISRS sometimes could not  
15 select a well-matching strain, even though it attempted to, when the strain failed to grow in  
16 eggs [82]. However, the vaccine selection process itself is ideally suited for a realistic  
17 benchmarking of computational methods, which is usually not available in other disciplines:  
18 data generated by GISRS could be continuously publicized, and a public vaccine strain  
19 prediction track established in parallel to the GISRS procedure, with results and methods  
20 included in WHO reports. This could accelerate method development (see Outstanding  
21 Questions), and within a few years, ideally lead to improved and reproducible vaccine  
22 selection and realistic estimates of the inherent performance limits for the process

23 Ultimately, vaccines should stimulate broad long-term immunity across antigenically different  
24 strains, to render frequent vaccine updates unnecessary and maybe even protect against  
25 pandemic viruses [83]. The feasibility of this hypothesis was proven by the identification of  
26 broadly neutralizing antibodies against HA [84-86]. One method that predicts viral antigens  
27 for such a vaccine is COBRA [87]. It computationally identifies an epitope sequence  
28 representing a broad taxonomic range of isolates by multiple rounds of consensus generation  
29 from their sequences. Novel hemagglutinins generated that way for H5N1 and H1N1 elicited  
30 a broad antibody response in animal models [88, 89]. Nevertheless, universal long term  
31 protection will probably require both humoral and cell mediated immunity. This is supported  
32 by the correlation between pre-existing influenza specific T helper cells with protection  
33 against experimental influenza challenge in humans [90]. Other approaches target regions that

1 are highly conserved across different subtypes, such as the HA stem [91-93], the M2  
2 ectodomain [94] or conserved T cell epitopes on internal proteins [95]. Most of these  
3 approaches are still being developed, with M2 and T cell based vaccines in clinical trials [47,  
4 94, 95]. If successful, the number of vaccinations could be substantially reduced, with boosts  
5 of immunity required only every 5-15 years for protection against seasonal influenza viruses  
6 [96].

7

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9

1 **Figure Legends**

2 **Figure 1: Schematic view of an influenza A virion and its RNA segments (from [97]).**

3 The genome of influenza A viruses consists of eight segments (bottom left corner) encoding  
4 12 or more proteins, including splice variants. HA, NA, M1, M2, PA, PB1, PB2 and NP are  
5 shown in the figure.

6

7 **Figure 2: Evolutionary arms race between seasonal influenza viruses and their human**  
8 **host**

9 Influenza viruses are shown with their genomic segments inside the virion and the proteins  
10 hemagglutinin and neuraminidase on the surface. Different antigenic properties of the  
11 hemagglutinin are indicated by different colors (yellow, red, green). Antibodies produced by  
12 the host's immune system are shaped like Ts, with colors indicating which viral  
13 hemagglutinin the antigen-binding site is able to recognize. After the host acquires immunity  
14 against a specific influenza strain, either by previous infections or vaccination, neutralizing  
15 antibodies bind to the hemagglutinin on the virus surface, contributing to clearance of the  
16 infection. The viral hemagglutinin accumulates mutations that change its antigenic properties,  
17 allowing the virus to escape the host's immune response, until immunity is acquired again, as  
18 indicated by the dotted arrow.

19 **Figure 3: GISRS vaccine strain selection**

20 Timeline of GISRS surveillance, data analysis, vaccine strain selection and vaccine  
21 production for both the Northern (blue, inner circle) and Southern hemisphere (yellow, outer  
22 circle). Isolate collection is performed year round, with isolates from October to end of  
23 January considered for the vaccine in the Northern hemisphere and isolates from March to the  
24 end of August considered for the Southern hemisphere. Antigenic and genetic data is analyzed  
25 in January and August, to decide the vaccine composition at meetings in February and  
26 September. The production and analysis of candidate vaccine viruses starts in parallel to the  
27 isolate collection to ensure the availability of a candidate virus at the vaccine selection  
28 meeting. In the following eight months, the vaccine is produced and available at the beginning  
29 of the Northern or Southern hemisphere influenza season in the October or May, respectively.

1 **Figure 4: Genealogy of HA for H3N2 viruses from 1968 onwards, demonstrating the**  
2 **concept of retrospective testing.**

3 Leaf nodes are colored by year of isolation for viral isolates, indicating the temporal structure  
4 of the genealogy and the presence of one surviving lineage over time. After 2002, amino acid  
5 changes for the trunk and major branches are indicated. To evaluate the performance of  
6 vaccine strain predictions, data until the time of the GISRS meeting in season  $t$  (red box) is  
7 analyzed. Based on these data, a vaccine lineage or strain is predicted for season  $t + 2$ , when  
8 the vaccine would become available. This prediction is compared to the predominant lineage  
9 for this season (black box). The figure was adapted from [60]. Green indicates changes in  
10 antigenicity-altering “patches” of residues on the protein structure.

1 **Tables**

Data/Information used	Method category	Predicted outputs	References
S	P, ST	C	Bush et al. [63]
S	P	C, AA	Steinbruck and McHardy [67]
S	P, ST, R	C	Luksza and Lassig [64]
S, H	P, ST	C, AA	Steinbruck et al. [38]
S, H, PR, O (Physicochemical Properties)	P, R	C	Suzuki [79]
S	P	C	Neher et al. [70]
S,(H), PR	P, ST	C, AA	Klingen et al. [69]
E (Infection Rates)	R	O (Influenza Peaks)	Shaman and Karspeck [71]
S	O (Information Theory)	AA	Xia et al. [53]
S,H	P, ST	AA	Steinbruck and McHardy [58]
S, H, PR, O (Physicochemical Properties)	ST	AC	Du et al. [75], Liu et al. [78], Peng et al. [77], Peng et al. [76]
PR, O (Physicochemical Information)	ST	AA	Suzuki [50]
S, H	ST	AA	Cui et al. [51]
S, H	P, ST	O (Antigenic Map)	Bedford et al. [62]
S, H	ST	AA	Ren et al. [52]
S, H, PR	P, O (Graph Theory)	AA	Kratsch et al. [60]
S, H	P, ST	AA	Neher et al. [59]

2

3 **Table 1: Recent computational methods predicting antigenicity-altering sites, future**  
 4 **predominant lineages or vaccine strains for human influenza A viruses.**

5 S: Viral Sequences; H: HI Assay Data; (H): Derivative of HI assay data (e.g. antigenic  
 6 patches); PR: Protein Structure; E: Epidemiological Information; P: Phylogenetics and  
 7 Population Genetics; ST: Statistical Methods; R: Epidemiological Models; C:  
 8 Lineages/Clades; AA: Amino Acids; AC: Antigenic Cluster; O: Other.