

Barriers to genome editing with CRISPR in bacteria

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1ABSTRACT

2Genome editing is essential for probing genotype-phenotype relationships and for enhancing
3chemical production and phenotypic robustness in industrial bacteria. Currently, the most
4popular tools for genome editing couple recombineering with DNA cleavage by the CRISPR
5nuclease Cas9 from *Streptococcus pyogenes*. Although successful in some model strains,
6CRISPR-based genome editing has been slow to extend to the multitude of industrially-relevant
7bacteria. In this review, we analyze existing barriers to implementing CRISPR-based editing
8across diverse bacterial species. We first compare the efficacy of current CRISPR-based editing
9strategies. Next, we discuss alternatives when the *S. pyogenes* Cas9 does not yield colonies.
10Finally, we describe different ways bacteria can evade editing and how elucidating these failure
11modes can improve CRISPR-based genome editing across strains. Together, this review
12highlights existing obstacles to CRISPR-based editing in bacteria and offers guidelines to help
13achieve and enhance editing in a wider range of bacterial species, including non-model strains.

14

15**Keywords:** bacteria, nuclease, genome editing, CRISPR, recombineering

16Introduction. Genome editing has become a core “unit operation” when working with bacteria,
17enabling scientists to interrogate the genetic basis for their physiological and metabolic traits or
18to develop next-generation microbial chemical factories or probiotics [40, 43, 50, 51, 69, 87]. For
19bacteria that are culturable and transformable, a suite of traditional genome-editing approaches
20have been developed [14, 48, 52, 90], although the current state-of-the-art approach is to
21couple recombineering of a DNA template with DNA targeting by programmable nucleases from
22CRISPR-Cas systems [29, 39]. Such CRISPR-Cas nucleases, most notably the Cas9 nuclease
23from *Streptococcus pyogenes* (SpCas9), are directed by guide RNAs (gRNAs) to cleave
24complementary DNA sequences flanked by a specific protospacer adjacent motif (PAM) [19, 34,
2541]. The gRNAs can be encoded in two general forms: within a CRISPR array comprising
26alternating conserved repeats and targeting spacers that are naturally associated with CRISPR-
27Cas systems, or as a CRISPR RNA (crRNA) representing the processed form of a transcribed
28CRISPR array. In the case of Cas9, gRNAs encoded in a CRISPR array require co-expression
29of a tracrRNA and the presence of RNase III found in most bacteria [15], although the
30processed crRNA:tracrRNA hybrid can be encoded as a fused single-guide RNA (sgRNA) [34].
31The recombineering template contains flanking homology arms and an internal sequence that
32disrupts the target site (e.g. mutations to the PAM), preventing targeting upon successful
33recombineering. Cleavage of unedited targets by CRISPR nucleases is often lethal in bacteria,
34serving as a strong counterselection. Cleavage may also drive editing through homologous
35recombination (HR) or, in some instances, non-homologous end joining (NHEJ) [72]. While the
36precise editing mechanism remains elusive and may vary, CRISPR nucleases have been used
37to achieve highly efficient genome editing in several bacteria [2, 26, 30, 57, 63]. The relatively
38simple design and ability to select for any type of edit without introducing a scar site makes
39genome editing with CRISPR advantageous over previous methods. However, CRISPR-based
40genome editing methods have been slow to extend beyond model strains of bacteria. This

41 disconnect stands in contrast to the rapid rise of CRISPR-based tools in eukaryotes [16, 31, 54]
42 and their direct relevance to industrial microbes [5, 17, 35].

43 In this review, we address existing challenges associated with applying CRISPR-based
44 genome editing in bacteria. First, we analyze obstacles associated with the different available
45 methods for CRISPR-based genome editing and emphasize that no predominant editing
46 method currently exists. Then, we discuss why SpCas9 fails to yield colonies for certain
47 bacteria, and we highlight several strategies to reduce cytotoxicity and achieve editing. Finally,
48 we describe different failure modes to CRISPR-based editing in bacteria and underscore the
49 importance of identifying these modes to improve editing methods. Overall, this review should
50 be a useful resource for improving CRISPR-based genome editing efficiencies and for
51 attempting editing in new strains.

52

53 **Each CRISPR-based editing method has distinct advantages and disadvantages, yet**
54 **direct comparisons are lacking.** CRISPR-based genome editing in bacteria was first
55 demonstrated in *E. coli* in 2013 [30]. Since then, the application of CRISPR-based genome
56 editing has been slowly expanding to other species (**Table 1**). Current editing strategies vary
57 based on the employed CRISPR nuclease, the inclusion of heterologous recombineering
58 machinery, the type of DNA recombineering template, and the number of plasmids utilized.
59 Each parameter was likely chosen based on strain-specific characteristics (e.g.
60 transformation/recombination efficiencies, availability of active recombinases, and
61 consequences of double-stranded DNA breaks) as well as features of the desired edit. Despite
62 this diversity, the reported methods can be classified into three distinct strategies based on the
63 type of recombineering template used. We describe each strategy below, along with the distinct
64 limitations that each possesses.

65 The first strategy uses linear DNA as the recombineering template as well as a phage-
66 derived, heterologous DNA recombinase to drive recombineering before counterselecting with a

67CRISPR nuclease (**Figure 1, Column 1**). Briefly, this strategy involves first transforming a
68plasmid containing the recombinase machinery, then inducing expression of the recombinase,
69and finally co-transforming the linear DNA template (often a short oligonucleotide but sometimes
70double-stranded DNA) and plasmid(s) encoding the CRISPR nuclease and targeting gRNA.
71One key requirement is possessing a heterologous recombinase that yields efficient
72recombination in the strain of interest. Fortunately, several recombinases are available and
73have been commonly used in different bacteria. For instance, in the original demonstration of
74genome editing with SpCas9 in *E. coli*, the authors used recombinases from the phage-derived
75 λ -Red system to incorporate edits with a linear double-stranded DNA template [30]. Since then,
76recombination with the λ -Red system has been used to edit other Proteobacteria using linear or
77double-stranded DNA templates [89, 93, 94, 97], where only the β protein within the λ -Red
78system is required for recombination [94]. RecT single-stranded DNA recombinases have also
79been used to incorporate point mutations with high efficiencies in several Gram-positive bacteria
80[33, 42, 63]. However, these recombinases usually must be derived from related phages,
81requiring an initial step to identify functional RecT recombinases before attempting CRISPR-
82based editing. Beyond a functional recombinase, the transformation efficiency of the strain must
83be sufficiently high to ensure that at least one cell receiving both the linear template and the
84CRISPR plasmid will undergo successful recombineering. Finally, while CRISPR-based editing
85with oligonucleotides has been successful for generating point mutations and small deletions,
86editing efficiencies with this approach have been shown to severely decrease for larger
87deletions [2] although such larger changes may be more accessible using long single-stranded
88DNA templates as demonstrated in mammalian cells [10].

89 The second strategy uses a plasmid DNA template for recombineering. The template is
90encoded either on the same plasmid as the CRISPR machinery or on a separate plasmid
91(**Figure 1, Column 2**). In either case, heterologous recombinases such as those in the
92complete λ -Red system have been used to achieve insertions, deletions, and point mutations

93[32, 80]. However, the cell's native recombination machinery can also be employed. For
94instance, genome editing in *E. coli* with SpCas9 and a plasmid-encoded recombineering
95template harboring ~1 kb homology arms efficiently generated a point mutation in the *lacZ* gene
96without a heterologous recombinase [12]. Instead, editing occurred via the RecA-dependent
97homologous recombination pathway. Interestingly, a separate study with *E. coli* reported that a
98heterologous recombinase was essential when using a plasmid-encoded recombineering
99template [4], although an entire gene was inserted in this case. Aside from *E. coli*, several other
100bacterial species have undergone successful CRISPR-based genome editing with a plasmid-
101encoded recombineering template but no heterologous recombinase, including members of the
102genera *Bacillus* [1], *Clostridium* [27], *Lactobacillus* [42, 84], *Pseudomonas* [92], *Streptomyces*
103[26], and *Staphylococcus* [6]. Relying on the endogenous machinery for homologous
104recombination simplifies the editing workflow, as it only requires transforming one or two shuttle
105vectors containing the editing template and CRISPR machinery. However, this machinery may
106not be available or sufficiently active in some bacteria, requiring the identification and utilization
107of heterologous recombinases. As homologous recombination of double-stranded DNA requires
108multiple proteins [11, 61, 95], identifying recombinases which work in every bacterium could
109prove challenging.

110 The third strategy for CRISPR-based editing does not use a recombineering template
111and instead relies on the NHEJ pathway to drive editing upon CRISPR-based cleavage (**Figure**
112**1, Column 3**). Editing through this strategy therefore avoids challenges associated with using
113CRISPR for counterselection and could be particularly advantageous when introducing
114deleterious mutations throughout the genome. In bacteria, the NHEJ pathway depends on two
115proteins: Ku and LigD. Ku binds the ends of the cleaved DNA and the protein LigD seals the
116DNA together, often resulting in non-specific mutations, insertions, or deletions (also called
117indels) [72]. To date, a few groups have achieved CRISPR-based NHEJ using bacteria where
118both *ku* and *ligD* are present and active, where one gene had to be heterologously expressed,

119or where both genes had to be heterologously expressed. For instance, Sun *et. al* achieved an
120indel frequency of up to 70% by transforming a strain of *Mycobacterium smegmatis* harboring
121functional copies of both *ku* and *ligD* with a plasmid encoding Cas12a from *Francisella*
122*tularensis* (FnCas12a) and a gRNA [79]. Separately, Tong *et. al* generated various deletions in
123a strain of *Streptomyces coelicolor* harboring a functional *ku* by supplementing the cells with
124*ligD* from the closely related *Streptomyces carneus* [83]. Finally, Li *et. al* generated small
125deletions and infrequent large deletions by transforming a different strain of *S. coelicolor* lacking
126NHEJ activity with a plasmid containing both *ligD* and *ku* as well as FnCas12a and a gRNA [45].
127This overall strategy involved transforming only a single plasmid containing CRISPR
128components and (if required) NHEJ components, even if only smaller, random deletions can be
129generated. We do note, however, that only a quarter of prokaryotes are estimated to encode Ku
130proteins [58]. Furthermore, overexpressing *ligD* and *ku* can be cytotoxic in bacteria [45] and
131could lead to off-target mutations due to repair of spontaneous double-stranded DNA breaks.
132Finally, expressing these genes may not yield successful indel formation, as Cui and Bikard
133reported that expressing *ku* and *ligD* from *M. tuberculosis* in the *E. coli* genome did not rescue
134cells from Cas9-induced cleavage [12]. In general, more studies attempting this strategy are
135necessary to realize its full potential for genome editing.

136 Reports of CRISPR-based genome editing typically include a single strategy to create a
137few edits in a single strain. In contrast, few studies used one strategy across multiple strains,
138and even fewer compared multiple strategies at one time (**Table 1**). As one of these few
139examples, Jiang *et. al* showed that an oligo-based strategy with a RecT recombinase and
140FnCas12a could efficiently introduce point mutations in several strains of *Corynebacterium*
141*glutanicum*, but the efficiency of ssDNA recombineering plummeted for larger deletions [33].
142Based on this limitation, the authors developed an all-in-one plasmid system containing
143FnCas12a, the gRNA, and a recombineering template that achieved up to a 7.5-kb deletion and
144a 1-kb insertion at low efficiencies (10% and 5%, respectively). Separately, Leenay *et. al*

145provided (to our knowledge) the only example to compare strategies across multiple strains,
146using three strains of *Lactobacillus plantarum* and two separate editing strategies: one using an
147oligonucleotide recombineering template and a RecT recombinase, and another using a
148plasmid-encoded recombineering template and the endogenous recombination machinery [42].
149The authors found that the oligo-based editing method was far more efficient when generating a
150point mutation in *rpoB*, while the plasmid-based editing method was far more efficient when
151inserting a premature stop codon in *ribB*. However, for both methods, the success of genome
152editing was strain-specific. For instance, oligo-mediated recombineering with RecT yielded no
153colonies, 9% edited colonies, or 100% edited colonies across the three tested strains, while
154plasmid-based editing yielded colonies only with the intended mutation, creating a premature
155stop codon, for one strain or colonies only with an unintended ~1.3kb deletion for another strain.
156Therefore, within the diversity of editing strategies that have been developed in bacteria, no
157prevailing method currently exists and the ideal method instead likely depends on the desired
158edit, the target location, and the strain.

159

160**Cytotoxicity and lack of colonies with SpCas9 has motivated alternatives to achieve**
161**efficient editing.** Since the original studies demonstrating programmable DNA cleavage and
162genome editing in *E. coli* with SpCas9 [30, 34], this nuclease has been used almost exclusively
163to perform editing in other bacteria. This trend can be attributed in part to SpCas9 representing
164one of the first well-characterized single-effector nucleases as well as its relatively simple PAM
165requirements and its robust expression in many different organisms. However, overexpressing
166SpCas9 in bacteria can be cytotoxic, posing a potential barrier to its widespread use for genome
167editing (**Figure 2A**). One study revealed that overexpressing a catalytically dead version of
168SpCas9 (dCas9) in *E. coli* induced abnormal morphology and decreased growth rates,
169suggesting that SpCas9-cytotoxicity is not solely due to DNA cleavage [8] and instead possibly
170relates to transient PAM recognition and subsequent DNA binding across the genome. Another

171study in *Corynebacterium glutamicum* reported that transforming a plasmid expressing SpCas9
172without a gRNA failed to produce any colonies even in the absence of recombination machinery,
173suggesting that SpCas9 can be cytotoxic on its own [33]. Finally, while multiple studies have
174reported instances in which SpCas9 can be tolerated in the bacterial cell, genome targeting
175greatly reduced the number of surviving cells even in the presence of a recombineering
176template [46, 75, 96]. For bacteria associated with poor transformation efficiencies or weakly
177active recombinases, this effect can result in no colonies following introduction of SpCas9 and a
178targeting gRNA. Future studies should aim to elucidate and circumvent the general
179mechanism(s) of SpCas9 cytotoxicity in bacteria to broadly enhance the use this nuclease for
180genome editing.

181 Utilizing inducible systems for SpCas9 expression represents one of the earliest
182attempted workarounds (**Figure 2B, upper left**). In the first example in *E. coli*, Reisch and
183Prather used inducible expression of a destabilized and sub-optimally expressed version of
184SpCas9 to ensure no targeting-dependent lethality in the absence of inducer [67]. Using
185*Bacillus subtilis*, Altenbuchner placed a mannose-inducible promoter upstream of the SpCas9
186gene to minimize nuclease targeting before the transformed cells were plated on mannose-
187supplemented agar [1]. In a separate study in *Clostridium acetobutylicum*, Wasels *et. al*
188determined that constitutively expressing SpCas9 failed to produce surviving colonies in the
189presence of an engineered single-guide RNA (sgRNA) and recombineering template, whereas
190placing SpCas9 expression under the control of an anhydrotetracycline-inducible promoter
191resulted in efficient editing [91]. Despite the success of these studies, leaky expression of toxic
192nucleases could still prevent editing. Therefore, a more elaborate approach used a light-
193inducible system for CRISPR nuclease expression [103, 65, 62], although these systems have
194yet to be demonstrated in bacteria. Finally, Mougiakos *et. al* achieved editing in the thermophile
195*Bacillus smithii* by demonstrating that SpCas9 is inactive above 42°C. By delivering an all-in-one
196plasmid containing SpCas9, an sgRNA, and homology arms, they were able to induce

197recombineering at higher temperatures before allowing CRISPR selection for edited cells at
19837°C [60]. This temperature-inducible approach to genome editing is relevant to bacteria that
199grow at the elevated temperature but can survive at the lower temperature, although the
200discovery of CRISPR nucleases with other temperature dependencies [55, 56, 82] could expand
201this approach to other bacteria.

202 Using other nucleases instead of wild-type SpCas9 has also been explored to reduce
203toxicity and obtain edited colonies (**Figure 2B, upper right**). Mutating one catalytic residue in
204SpCas9 produces a variant that only cuts one strand of DNA [34]. This “nicking” Cas9 (Cas9n)
205has been able to achieve genome editing in cases where SpCas9 failed to produce any
206colonies, likely due to reduced cytotoxicity. In one study, Standage-Beier *et. al* demonstrated
207that by transforming a plasmid containing Cas9n and an sgRNA targeting a region between two
208repeat sequences, 36-kb and 97-kb deletions from the genome were obtained [78]. Genome
209editing with Cas9n was further exploited in several bacteria [44, 46, 75, 96], where it has proven
210particularly useful for generating large genomic deletions [44, 78] and for achieving plasmid-
211based recombineering with shorter homology arms than the typical 1 kb used with Cas9 [46,
21296]. However, it has been demonstrated that the reduced lethality of Cas9n may lead to less
213efficient or a complete lack of editing, especially without sufficient expression of the Cas9n
214nuclease [57, 75]. More work is therefore needed to understand the mechanistic basis of
215genome editing with Cas9n and how editing can be further enhanced.

216 Another alternative to SpCas9 is using the Type V-A Cas12a CRISPR nuclease. These
217nucleases possess inherent differences compared to Cas9 such as recognizing a T-rich PAM or
218introducing a 5' 5-nt overhang upon DNA cleavage [100]. Cas12a nucleases also tend to be
219smaller than most Cas9's and are able to process a transcribed CRISPR array into individual
220crRNAs without any accessory factors. While these differences do not automatically enhance
221genome editing, Jiang *et. al* revealed an instance in *Corynebacterium glutamicum* where a
222plasmid expressing either SpCas9 or SpCas9n were both unable to transform cells, while a

223Cas12a nuclease derived from *Francisella novicida* (FnCas12a) could be successfully
224transformed and yielded efficient editing [33]. Since then, Cas12a has been used both with and
225without a heterologous recombinase to achieve high-efficiency deletions, insertions, and
226mutations in several other bacteria [24, 45, 97]. Taken together, Cas12a represents a promising
227means of achieving CRISPR-based editing in bacteria, although more studies are needed to
228more fully understand its advantages and limitations.

229 A recently-introduced alternative for genome editing involves modified CRISPR
230nucleases called base editors (**Figure 2B, bottom left**). Base editors typically comprise
231translational fusions of dCas9 or Cas9n and a cytidine deaminase domain, and convert
232cytidines to uracils on the non-target strand in a defined window adjacent to the PAM [38].
233Although not completely necessary, fusing a uracil DNA glycosylase inhibitor to the base editor
234has been shown to improve editing efficiencies by inhibiting uracil removal upon editing [3, 37].
235Because no double-stranded breaks are introduced, the cytotoxicity of DNA targeting should be
236greatly lessened [7]. To date, base editors have been principally employed in bacteria to
237generate point mutations or insert premature stop codons, with published demonstrations in *E.*
238*coli* [3], *P. aeruginosa* [7], *K. pneumoniae* [89], and *C. beijerinckii* [47]. The workflow is simple
239as it only requires transforming a single plasmid containing the modified CRISPR nuclease and
240a gRNA. Base editors typically utilize the nicking version of Cas9 to drive alterations of the
241uncleaved strand [37, 47]. However, one study reported poor transformation efficiency for the
242Cas9n base editor [3], and switching to dCas9 reduced cytotoxicity and was able to achieve
243multiplexed editing [3]. We also note that this base-editing plasmid can be extremely cytotoxic
244and mutagenic in our hands, especially during cloning (unpublished results). Thus, more work is
245needed to advance the use of these unique editors in bacteria.

246 A final strategy to overcome a lack of colonies when using SpCas9 is to utilize a host's
247endogenous CRISPR system (**Figure 2B, bottom right**). It has been estimated that up to half
248of bacteria contain endogenous CRISPR-Cas systems [21], and re-purposing these systems

249may enable efficient selection without the need to express a heterologous CRISPR nuclease
250[23]. Initially, endogenous Type I CRISPR systems were used for gene repression by
251inactivating effector nuclease Cas3 and delivering self-targeting guides [53]. More recently, they
252have been utilized to achieve genome editing in several Clostridia [66, 101]. One potential
253bottleneck is that endogenous crRNAs can completely outcompete heterologously expressed
254gRNAs, as was shown when attempting gene repression utilizing an endogenous Type I-B
255CRISPR system in the archaeon *Haloferax volcanii* [76]. To overcome this issue, the authors
256deleted the *cas6b* gene responsible for generating endogenous crRNAs, resulting in efficient
257gene repression and editing in this microbe [76, 77]. To fully utilize an endogenous system for
258genome editing, it must be sufficiently characterized, including identifying PAMs and ensuring
259that the *cas* genes are actively expressed. While characterizing endogenous CRISPR-Cas
260systems can be time consuming, utilizing them for subsequent genome editing could potentially
261reduce cytotoxicity and simplify the editing process by excluding a heterologous CRISPR
262nuclease. Overall, several alternatives to editing exist in instances where SpCas9 fails to
263generate colonies, yet each alternative requires further development to improve its widespread
264application.

265

266**Cells can escape CRISPR-based editing, and identifying escape mechanisms can**
267**facilitate enhanced editing.** After performing targeting and recombineering transformations,
268there are often surviving colonies that either contain the wild-type sequence or an unintended
269edit, making isolation of cells containing the desired edit difficult (**Figure 3A**). These colonies
270are often referred to as “escaper colonies.” As most bacteria lack an active NHEJ pathway, they
271must find another way of avoiding or surviving CRISPR cleavage. Identifying these failure
272modes could provide insights toward circumventing escape and enhancing editing. However,
273the mechanisms underlying escaper colonies have been rarely investigated. This section

274underscores the need to report failure modes and develop workarounds to reduce escapers and
275improve genome-editing efficiencies across bacteria.

276 Perhaps the most commonly observed reason for escaper colonies is via the bacterium
277deactivating the CRISPR machinery, by mutating either the nuclease domain or the spacer
278(**Figure 3B**). Multiple studies have reported instances where surviving colonies either contained
279mutations in or completely lost the gRNA targeting sequence on the transformed plasmid, the
280latter of which could occur through recombination between the outside repeats when using
281CRISPR arrays to express gRNAs [20, 99]. Furthermore, one study characterizing a type I-B
282CRISPR system in *Haloflex* isolated 30 escaper colonies after transforming a self-targeting
283guide and reported that 77% contained mutations or deletions within the *cas* gene cluster [18].

284 In other cases, the cell can modify its genome to disrupt or eliminate the target site,
285thereby preventing genome targeting by accumulating unintended mutations at the target site
286(**Figure 3C**). While inadvertent small mutations in the target sequence are possible (see section
287NHEJ above), genomic modifications resulting from CRISPR-based genome targeting in
288bacteria are predominantly large deletions. For instance, Vercoe *et. al* expressed a gRNA
289utilized by the native type I-F CRISPR system of *Pectobacterium atrosepticum* to target a
290pathogenicity island, resulting in excision of the entire ~98-kb HAI2 island [85]. Other studies
291have reported genomic excision events when targeting the genome with Cas9 that arise due to
292recombination between direct repeats or homologous insertion sequences [12, 71, 78]. While
293these excision events technically are genomic edits and could be useful in some applications
294[71], such excision events can also represent a significant barrier to achieving a prescribed edit.
295For instance, Leenay *et. al* reported the occurrence of a persistent 1.3-kb deletion, including the
296target sequence, in one strain of *L. plantarum* when attempting to insert a premature stop codon
297in the *ribB* gene using a plasmid-encoded recombineering template [42]. Therefore, unintended
298edits that remove the target site represent another mode of failure for CRISPR-based genome
299editing in bacteria.

300 Another reported mechanism of escape from CRISPR-mediated cleavage is by
301 continuous cell repair via homologous recombination with a sister chromosome (**Figure 3D**). Cui
302 and Bikard demonstrated that homologous recombination via RecA was able to rescue cells
303 from targeting with different spacers, and thus by deleting the *recA* gene or inhibiting RecA with
304 the GamS protein from Mu phage, the authors were able to improve counter-selection with Cas9
305 [12]. Furthermore, Moreb *et. al* demonstrated that transiently inhibiting RecA could improve
306 gRNA targeting and CRISPR selection on a genome-wide scale, and they used this approach to
307 significantly boost oligo-mediated genome editing efficiencies in *E. coli* [59]. This study also
308 demonstrated that inhibiting RecA reduces the SOS response of cells, particularly the activity of
309 the error-prone *umuDC* polymerase, which could be the source of mutations in the guide
310 sequence or those that deactivate Cas9 [59, 73].

311 Finally, Leenay *et. al* reported a unique failure mode when attempting editing of the *rpoB*
312 gene in *L. plantarum* using a plasmid-encoded recombineering template (**Figure 3E**). They
313 found that the desired point mutation within the recombineering template reverted to the wild-
314 type sequence inside the host before introducing the SpCas9 plasmid [42]. Interestingly, this
315 failure mode led to an increase in the number of unedited escaper colonies compared to that of
316 a control without a recombineering template. While this observation is likely the result of
317 homologous recombination between the multi-copy plasmid and the chromosome, a more
318 systematic approach (e.g curing the recombineering-template plasmid prior to SpCas9
319 transformation) is needed to elucidate the mechanism of this failure mode.

320 Optimizing the expression of the CRISPR nuclease and/or gRNA has proven able to
321 overcome modes of escape in several instances. For example, Sun *et. al* compared multiple
322 Cas9 and Cas12a variants in targeting *M. smegmatis* and revealed fewer escaper colonies for
323 FnCas12a than for two Cas9 variants, which the authors attribute to higher measured transcript
324 levels for FnCas12a [79]. Li *et. al* also optimized the strength of their constitutive promoter for
325 Cas12a expression and increased killing efficiency from 41.5% to nearly 100% [45]. Likewise,

326 using stronger promoters upstream of the gRNA have been demonstrated to improve
327 counterselection in multiple instances [75, 94]. Aside from optimizing promoter strength, placing
328 the CRISPR machinery on a high-copy number plasmid has also been shown to decrease the
329 number of escapers [22]. Finally, codon optimizing the CRISPR and recombineering machinery
330 for the strain of interest can increase editing efficiency [47]. However, more systematic analyses
331 are required to determine the optimal CRISPR nuclease expression level to maximize counter
332 selection while avoiding cytotoxicity. In total, bacteria possess multiple mechanisms of surviving
333 CRISPR-based targeting, underscoring the need to identify and circumvent escape modes to
334 increase genome-editing efficiency across strains.

335

336 **Future Perspectives.** Genome editing of industrial bacteria remains critical for strain
337 engineering, and several methods for CRISPR-based genome editing have been implemented
338 in a handful of species. However, many unknowns associated with CRISPR-based editing have
339 slowed achievement of CRISPR-based editing in more diverse, non-model strains. This review
340 has focused on several significant barriers to editing that must be elucidated to render a more
341 predictable editing process. Moving forward, several avenues of research should be pursued to
342 enhance CRISPR-based genome editing and help deliver this important tool to a wider range of
343 industrial bacteria.

344 First, the strategies described above possess distinct limitations and have exhibited
345 varying success based on the strain, intended edit, and target site. As a result, no single
346 approach currently can achieve efficient editing across all strains, and testing multiple editing
347 strategies in parallel represents the most dependable approach when attempting editing in a
348 new strain or for a new desired edit. In the future, in-depth studies are needed that directly
349 compare multiple strategies using similar types of edits across multiple strains. In turn, these
350 studies could elucidate advantages and limitations of each strategy and provide general rules
351 on when to adopt one strategy versus another. In time, these insights could provide a level of

352predictability that has so far eluded the implementation of many CRISPR-based tools in
353bacteria.

354 Second, future studies should focus on directly elucidating and countering how editing
355fails. Instances of failed editing are present in most demonstrations of CRISPR-based editing;
356however, these examples are often noted only in passing. We instead hold that these instances
357should be the starting point for in-depth studies to determine the underlying mechanisms.
358Critically, the resulting insights can be directly translated into countermeasures that boost
359editing efficiencies. Efforts that systematically identify and counteract these failure modes would
360be particularly valuable by providing general trends that can aid others seeking to rapidly boost
361editing efficiencies. Separately, DNA repair pathways are intimately connected with genome
362editing with CRISPR in bacteria and thus represent a separate avenue for further exploration
363[70]. For instance, inhibiting RecA-dependent homologous repair in *E. coli* was demonstrated to
364boost counterselection and editing [59], representing an example of how hijacking host's DNA
365repair mechanisms can actually improve editing. Therefore, future studies should aim to
366characterize DNA repair pathways and their interplay with CRISPR-based cleavage. To start,
367NHEJ pathways are beginning to be utilized to generate random edits, although native NHEJ
368pathways could also reduce efficiencies for precise edits. Characterizing more *ku* and *ligD*
369variants in bacteria will boost our understanding of these important DNA repair pathways and
370should drive enhanced workarounds to improve editing. Ultimately, this research should work
371towards enabling high-efficiency recombineering to drive genome editing.

372 A third potential avenue involves interrogating the expanding set of CRISPR nucleases
373beyond the canonical SpCas9. First, SpCas9 is just one of many Cas9 nucleases that can be
374exploited for editing. One study in particular directly compared Cas9 variants from different
375bacteria in *Mycobacterium tuberculosis*, finding that the highest gene repression was achieved
376not with SpCas9 but a Cas9 derived from *Streptococcus thermophilus* [68]. Second, many
377additional nuclease types and sub-types are being discovered that could offer more efficient or

378robust editing in different bacteria. For instance, a diverse set of type V CRISPR nucleases
379were recently discovered outside of Cas12a that offer varying domain architectures and catalytic
380activities [98]. Third, there are increasing efforts to engineer CRISPR nucleases to enhance
381their overall properties [25, 36]. While these efforts have principally centered on engineering
382variants with broadened PAM recognition or reduced off-targeting, CRISPR nucleases could be
383similarly engineered to specifically enhance editing in bacteria (e.g. variants that are less
384cytotoxic or drive editing through homologous recombination or other repair pathways without
385killing the cell). Finally, characterizing more endogenous CRISPR nucleases represents a
386simple and powerful alternative to current strategies of CRISPR-based editing that should be
387considered in strains harboring native CRISPR-Cas systems. While this strategy is unlikely to
388be universal given that not all bacteria possess endogenous CRISPR-Cas systems, the
389presence of an endogenous system should represent a starting point when developing
390CRISPR-based tools.

391 As the set of discovered CRISPR nucleases continues to expand, further research is
392necessary to improve the predictability of target selection for efficient genome editing in
393bacteria. Even for the well-studied SpCas9, it is often reported that different gRNAs produce
394different efficiencies of editing or gene regulation in bacteria, yet the mechanism for this
395observation is rarely explored. For instance, in one study, Bikard et. al performed a high-
396throughput screen in *E. coli* using dCas9 and reported unexpected strong fitness defects for
397certain gRNAs even when targeting non-essential genes [13]. Through machine learning, they
398realized that several distinct 5-nt seed sequences produced added dCas9-cytotoxicity that could
399be reduced by decreasing the expression of dCas9. In a more recent study, Zhang et. al
400improved upon previous genome-editing efficiencies with FnCas12a in *C. glutamicum* by
401optimizing the selected PAM sequence as well as the length of the spacer sequence [102].

402 Finally, we must acknowledge that several important technical capabilities must be in
403place before CRISPR can be implemented for genome editing. At a minimum, each bacterium

404 must be culturable, transformable, and possess a set of defined selectable plasmids and
 405 expression constructs, and unfortunately generalizable means to achieve each of these remain
 406 underdeveloped [86]. Further, the transformation efficiency in the strain-of-interest has to be
 407 sufficiently high to enable delivery of both CRISPR components and recombineering machinery.
 408 Particularly for poorly transformable strains, recombineering efficiency must be maximized while
 409 CRISPR nuclease cytotoxicity must be minimized to achieve editing. To this end, finding more
 410 highly efficient recombinases as well as tunable promoters in the strain-of-interest should
 411 improve editing attempts. Taken together, several important future avenues of research should
 412 help overcome several critical barriers to CRISPR-based genome editing in order to
 413 systematically deliver this important tool to a wider range of industrial bacteria.

414

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419

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662TABLES

663**Table 1 Instances of CRISPR-based genome editing in bacteria.** The methods developed
664are categorized based on the CRISPR nuclease, inclusion of exogenous recombination
665machinery, type of recombineering template, and number of plasmids. Studies are noted that
666utilized either multiple strains (S) or multiple methods (M) to achieve editing.

667FIGURE LEGENDS

668**Fig. 1 Different strategies for CRISPR-based genome editing in bacteria.** *Column 1:*
 669Recombineering using a linear DNA template followed by counterselection with CRISPR
 670nucleases. A plasmid encoding a heterologous recombinase (Rec) is introduced into the cell
 671and induced before co-transforming the linear DNA template and CRISPR-nuclease plasmid.
 672*Column 2:* Recombineering using a plasmid-encoded recombineering template (RT) with or
 673without a heterologous recombinase. The recombineering template can be placed on the
 674plasmid harboring the CRISPR machinery for an all-in-one plasmid system, or it can be placed
 675on a separate plasmid before transforming the CRISPR nuclease/gRNA plasmid. While one-
 676plasmid systems are more streamlined, the larger plasmid could prove harder to transform and
 677co-encoding the nuclease and gRNA could interfere with cloning if the gRNA can target the
 678genome of the cloning strain. If no exogenous recombinase is used, this method relies on the
 679cell's native recombineering machinery. *Column 3:* Editing via the non-homologous end-joining
 680(NHEJ) pathway. Depending on the strain, *ku* and/or *ligD* can be encoded on the plasmid
 681harboring the CRISPR machinery and transformed into the strain. All strategies require plasmid
 682curing after recombineering and nuclease targeting to isolate the mutant strain before pursuing
 683downstream applications.

684

685**Fig. 2 Circumventing lack of colonies when using SpCas9 in bacteria.** a) Expressing
 686SpCas9 is cytotoxic in some bacteria (left), while other bacteria do not yield any colonies when
 687attempting editing (right). b) Several alternative strategies can be explored to circumvent these
 688issues. *Upper left:* Utilizing inducible systems to express SpCas9 following transformation and
 689culturing. Via an inducible promoter, SpCas9 expression is strongly repressed without inducer
 690present and only induced after culturing the cells to ensure a large number of cells possess all
 691components necessary for editing. *Upper right:* Using less toxic nucleases to achieve editing.
 692Cas9n, which only cleaves one strand of DNA, and Cas12a can be less toxic than SpCas9.

693 *Lower left*: SpCas9-derived base editors eliminate the need to create a double-stranded break
694 to achieve editing. A translational fusion of dCas9 or Cas9n, a cytidine deaminase domain, and
695 a uracil DNA glycosylase inhibitor (UGI) is introduced on a plasmid into the cell. Upon nuclease
696 binding and R-loop formation, cytidines on the non-target strand within a defined window
697 adjacent to the PAM are rapidly converted to uracils. *Lower right*: Harnessing endogenous
698 CRISPR nucleases for genome editing. For strains harboring native CRISPR nucleases, gRNAs
699 can be introduced along with a recombineering template to achieve editing without expressing a
700 heterologous CRISPR nuclease. One drawback is that native cRNAs can compete with the
701 introduced genome-targeting gRNAs, although preventing crRNA biogenesis can eliminate this
702 barrier.

703

704 **Fig. 3 Reported failure modes of CRISPR-based genome editing in bacteria.** a) Escaper
705 colonies can form that contain either the wild-type sequence or an unintended edit, and make
706 screening for correctly edited cells more difficult. b) Deactivated CRISPR machinery. Bacteria
707 sometimes avoid CRISPR cleavage by mutating the gRNA on the transformed plasmid or
708 mutating the *cas* genes. c) Unintended genomic excision events and mutations result in loss of
709 target sequence. Bacteria can remove the protospacer sequence targeted by the CRISPR
710 nuclease via genomic excision events driven by homologous recombination with the genome or
711 via mutations to the protospacer. d) Cell repair via homologous recombination with the genome.
712 Upon CRISPR cleavage at the target site, the cell can use another copy of the chromosome to
713 repair itself. e) Reversion of the recombineering template. The recombineering template
714 containing the desired mutation can revert back to the wild-type sequence in the host cell,
715 preventing editing.