



**This is a postprint of an article published in
Schulze, K., Staib, C., Schätzl, H.M., Ebensen, T., Erfle, V., Guzman, C.A.
A prime-boost vaccination protocol optimizes immune responses against the
nucleocapsid protein of the SARS coronavirus
(2008) Vaccine, 26 (51), pp. 6678-6684.**

.

1 **A prime-boost vaccination protocol optimizes immune responses**
2 **against the nucleocapsid protein of the SARS coronavirus**

3
4 **Kai Schulze^a, Caroline Staib^{b,c}, Hermann M. Schätzl^b, Thomas Ebensen^a,**
5 **Volker Erfle^{b,c}, Carlos A. Guzman^{a,*}**
6

7
8 ^a *Department of Vaccinology and Applied Microbiology, Helmholtz Centre for Infection*
9 *Research, Braunschweig, Germany*

10 ^b *Institute for Virology, Technical University Munich, Germany*

11 ^c *Clinical Cooperation Group “Antigen-Specific Immunotherapy”, Helmholtz Zentrum*
12 *München, German Center for Environmental Health*

13
14
15
16
17
18
19
20
21
22 _____

23 *Corresponding author. Mailing address: Department of Vaccinology and Applied
24 Microbiology, Helmholtz Centre for Infection Research, Inhoffenstraße 7, D-38124
25 Braunschweig, Germany. Phone: (49-531)61814600. Fax: (49-531)61814699. E-mail:
26 carlos.guzman@helmoltz-hzi.de

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20

Summary

Severe acute respiratory syndrome (SARS) is a serious infectious disease caused by the SARS coronavirus. We assessed the potential of prime-boost vaccination protocols based on the nucleocapsid (NC) protein co-administered with a derivative of the mucosal adjuvant MALP-2 or expressed by modified Vaccinia virus Ankara (MVA-NC) to stimulate humoral and cellular immune responses at systemic and mucosal levels. The obtained results demonstrated that strong immune responses can be elicited both at systemic and mucosal levels following a heterologous prime-boost vaccination protocol consisting in priming with NC protein add-mixed with MALP-2 by intranasal route and boosting with MVA-NC by intramuscular route.

Keywords: SARS, modified Vaccinia virus Ankara, adjuvant, mucosal immunization, prime boost.

1 **Introduction**

2

3 The severe acute respiratory syndrome (SARS), an emerging infectious disease of
4 humans, is caused by the SARS coronavirus (SARS-CoV), which is characterized by a
5 high degree of transmissibility and mortality [1-3]. The modes of SARS-CoV
6 transmission include shedding of the virus from the respiratory tract via droplets, close
7 contact and fomites [4]. The incubation period of SARS ranges from 2 to 16 days, with a
8 mean incubation time of 6.4 days [5]. Infected people develop influenza-like symptoms
9 with the lung as target organ (e.g., high-grade fever, chills, myalgia, headache, and
10 dyspnea), resulting in an up to 40% mortality rate, especially in older patients [6;7]. As
11 evidenced by sporadic cases reported in the late 2003 and in 2004
12 (http://www.wpro.who.int/sars/docs/pressreleases/pr_31122003.asp and
13 [http://www.who.int/csr/don/2004_05_18a /en/index.html](http://www.who.int/csr/don/2004_05_18a/en/index.html)), SARS-CoV still remains a
14 constant threat for epidemic outbreaks. Therefore, there is an urgent need for the
15 development of an effective vaccine able to contain future SARS outbreaks.

16 So far, the SARS virus seems remarkably invariant. The genome sequences of 14
17 isolates from SARS patients in Singapore, Toronto, China and Hong Kong have not
18 revealed any changes. This basically may give the chance to develop a vaccine for
19 worldwide use. In order to generate a vaccine providing protective immunity against the
20 SARS-CoV with pandemic potential, it would be critical to promote the elicitation of a
21 long lasting immunity in a high percentage of vaccinated individuals.

22 Several vaccination approaches have been tested in experimental animal models.
23 However, there are no vaccines available against this agent yet for human use.
24 Currently, three main strategies have been pursued in order to develop anti-SARS
25 vaccines. Namely, inactivated virus-based, spike protein (S)-based and nucleocapsid
26 (NC)-based vaccines. Inactivated vaccines and immunization with S-protein-based

1 vaccines seem to stimulate high titers of neutralizing antibodies, as well as cellular
2 immunity. However, they were able to provide only a limited degree of protection
3 against infection [8-10].

4 Interestingly, experiences from vaccines against infectious bronchitis virus of
5 chickens (IBV), which are the most successful and most widely used vaccines against
6 coronaviruses, indicated that the protection against infection provided by inactivated or
7 live attenuated vaccines via neutralizing antibodies is short lived (decline apparent after
8 9 weeks) and single application of the vaccines resulted in protection of less than 50%
9 of the chicken [11]. Similarly, immunization with the IBV large S protein induced virus
10 neutralizing antibodies, but the percentage of protected chicken was between 50-90%.
11 On the other hand, initial immunization studies with the IBV NC protein applied as
12 protein or DNA vaccine also induced protective immunity, obviously provided by CD8 T-
13 cells and possibly by local antibodies [11;12]. Similar observations have been reported
14 also from other coronavirus infections in animals [13;14]. Even if the basis for this
15 protection against coronavirus infection is not well understood, it could be a basis for an
16 alternative vaccine development against the SARS-CoV by using the NC protein.

17 To promote protection against both infection and disease of the lung tissue infecting
18 SARS-CoV, it would be essential to stimulate also the elicitation of robust mucosal
19 immune responses at the entry site. Therefore, in the present work we evaluated
20 different NC-based vaccination protocols for their ability to stimulate humoral and
21 cellular immune responses at systemic and mucosal levels. To this end, mice were
22 immunized with homologous or heterologous prime-boost vaccination regimens based
23 on recombinant NC protein co-administered with the mucosal adjuvant MALP-2 or
24 expressed by the highly attenuated modified Vaccinia Ankara (MVA) virus. The obtained
25 results demonstrated that strong immune responses can be elicited both at systemic
26 and mucosal levels following a heterologous prime-boost vaccination protocol consisting

1 in priming with NC protein add-mixed with a MALP-2 derivative by intranasal route and
2 boosting with MVA-NC by intramuscular route. These data suggest that this prime-boost
3 approach might be useful to confer protection against SARS.

4 **Materials and methods**

7 **Production of MVA-NC and His-tagged-NC fusion protein**

8
9 To generate the MVA-NC construct the sequence of the SARS-NC gene has been
10 cloned into the *Sma*I site of MVA transfer plasmid pIII-E3L-mPH5 [15]. After
11 transfection/infection of BHK cells with pIII-E3L-mPH5-SARS-NC and MVA Δ E3L,
12 clonally pure MVA-SARS-NC has been selected by consecutive rounds of plaque
13 purification in CEF. Subsequently high titer vaccine stocks have been prepared from
14 infected CEF cultures by purification through sucrose cushions [16]. His-tagged SARS-
15 CoV NC protein was expressed in *Escherichia coli* BL21 (Invitrogen) after induction with
16 0.02% L-arabinose. The bacterial cells were lysed (6 M GdnHCl, 20 mM Na₃PO₄, 500
17 mM NaCl, pH 7.8) and lysates were loaded on a Ni-nitrilotriacetic acid affinity column
18 (Invitrogen). After several washing steps, His-tagged proteins were recovered with
19 elution buffer containing 8 M urea, 20 mM Na₃PO₄, 500 mM imidazole (pH 6.3). The
20 eluted protein was refolded by dialysis against sodium acetate (pH 5.2) and quantified
21 by Bradford assay (Coomassie protein assay reagent; Pierce; Bonn, Germany). The
22 LPS content of the protein preparations was below 500 pg/ μ g, as determined by the
23 HEK-BlueTM LPS Detection kit (InvivoGen; San Diego, USA).

25 **Immunization and sample collection**

1 BALB/c mice ($n = 5$; Harlan Winkelmann GmbH, Borchon, Germany) were immunized
2 by intranasal or intramuscular route on day 0 and 14 (see Table 1). Animals received
3 10^8 PFU of MVA-NC or MVA alone and 10 μg of the NC protein, respectively. When
4 immunizing with the NC protein by the mucosal route, vaccine formulations contained
5 0.5 μg of a pegylated synthetic derivative of the TLR2/6 agonist MALP-2 (macrophage-
6 activating lipopeptide of 2 kDa from *Mycoplasma fermentans*) as mucosal adjuvant
7 [17;18]. This molecule is composed by a double fatty acid with a substituted cystein
8 which is bounded via a carboxyl group to a monomethoxy polyethylene glycol rest. The
9 resulting conjugate is soluble in water, bio-compatible and non immunogenic. Parenteral
10 vaccination with the NC protein was performed using alum as adjuvant. Serum samples
11 were collected on days -1, 13 and 25, whereas broncho-alveolar lavages and spleen
12 cells were sampled on day 25.

13

14 **Evaluation of NC-specific antibody responses**

15

16 Sera were tested for NC-specific IgG by ELISA. In brief, 96-well Nunc-Immuno
17 MaxiSorp assay plates (Nunc Roskilde, Denmark) were coated with 50 μl /well of NC (2
18 $\mu\text{g}/\text{ml}$) in coating buffer (bicarbonate, pH 9.6). After overnight incubation at 4°C, plates
19 were blocked with 1% bovine serum albumin (BSA) in phosphate buffered saline (PBS,
20 pH 7.4) for 1 h at 37°C. Serial 2-fold dilutions of pooled sera in PBS/1% BSA were
21 added (100 μl /well), and plates were incubated for 2 h at 37°C. After four washes,
22 secondary biotinylated antibodies were added followed by 1 h incubation at 37°C. After
23 six washes, 50 μl /well (1:1000) of peroxidase conjugated streptavidin (Pharmingen) was
24 added, and plates were further incubated for 45 min at room temperature. After final six
25 washes, the substrate ABTS [2,2'-azino-bis(3-ethylbenzthiazoline-6-sulfonic acid)] in
26 0.1 M citrate-phosphate buffer containing 0.1% H_2O_2 was added, and plates were

1 incubated for 30 min at room temperature. The end-point titers were expressed as the
2 last dilution giving an A_{405} of 0.1 U above the A_{405} of negative controls. The IgG isotypes
3 present in serum samples were determined by ELISA as previously described [19],
4 using as secondary antibodies biotin-conjugated rat anti-mouse IgG1 or IgG2a
5 (Southern Biotechnology Associates, Birmingham, UK).

7 **Determination of total and NC-specific IgA**

8
9 The amounts of total and NC-specific IgA present in broncho-alveolar and vaginal
10 lavages of individual mice were determined by ELISA, as previously described [20]. To
11 establish the IgA standard curve, plates coated with goat anti-mouse IgA (Sigma
12 Chemie) as capture antibody were incubated with serial dilutions of purified mouse IgA
13 (Dianova, Hamburg, Germany). As secondary antibody, biotinylated goat anti-mouse
14 IgA (Sigma Chemie) was used, plates were developed as described above. To
15 compensate for variations in the efficiency of recovery of secretory antibodies between
16 animals, the results were normalized and expressed as percentage of NC-specific IgA
17 with respect to the total amount of IgA present in the sample.

19 **Proliferation assays**

20
21 To investigate T-cell proliferation, spleen cells were isolated at day 25 after
22 immunization, pooled within each group and adjusted to 5×10^6 cells/ml in complete
23 medium (RPMI supplemented with 10% fetal calf serum). Cells were then seeded at
24 $100 \mu\text{l/well}$ in flat-bottomed 96-well micro titer plates (Nunc), which were incubated for 4
25 days in the presence of 10, 20 and 40 $\mu\text{g/ml}$ of NC protein, respectively. During the final
26 18 h of culture, 1 μCi of [^3H]-thymidine (Amersham International, Freiburg, Germany)
27 was added to each well. Afterwards, cells were harvested on paper filters (Filtermat A;

1 Wallac, Freiburg, Germany) by using a cell harvester (Inotech, Wohlen, Switzerland)
2 and the amount of [³H]-thymidine incorporated into the DNA of proliferating cells was
3 determined by a scintillation counter (Wallac 1450, Micro-Trilux).

4 5 **ELISPOT**

6
7 To determine the amount of IFN γ , IL-2 and IL-4 secreting cells, the murine IFN γ , IL-2
8 and IL-4 ELISpot kits (BD Pharmingen) were used according to the manufacturer's
9 instructions. In brief, flat bottomed 96-well plates with a 0.45 μ m hydrophobic High
10 Protein Binding Immobilon-P-Membrane were coated with the corresponding capture
11 antibody (anti-IFN γ , anti- IL-2 or anti-IL-4) and stored over night at 4°C. To remove
12 unbound capture antibodies plates were washed once and unspecific binding sites were
13 saturated by incubating with blocking solution for 2 h at room temperature (RT).
14 Afterwards, splenocytes (1×10^6 /well) were incubated at 37°C in an atmosphere
15 containing 5% CO₂ for 24 h (IFN- γ) or 48 h (IL-2 and IL-4) in the absence or presence of
16 the NC protein (20 μ g/ml). As negative control, cells were cultured in RPMI complete
17 medium without stimulants. For the stimulation of 0.5×10^6 cells/well, ConA (positive
18 control) was diluted in RPMI (final concentration 5 μ g/ml). After incubation, plates were
19 washed twice with deionised water including a soaking for 5 min followed by three
20 washes using wash buffer (0.05% Tween 20/PBS). To detect the captured cytokines,
21 the corresponding biotinylated detection antibody was added and incubated for 2 h at
22 RT. After additional three washing steps, horseradish peroxidase (HRP) was added and
23 plates were incubated for 1 h at RT. After final four washing steps spots were developed
24 for 5 to 60 min using substrate solution (333.3 μ l of AEC stock solution +10 ml 0.1 mM
25 acetate solution + 5 μ l H₂O₂). The reaction was stopped by washing the plates with
26 deionised water. After drying the plates for 2 h at RT in the dark, spot forming units

1 (SFU) were counted using the automated ELISPOT ImmunoSpot S4 Analyzer (CTL-
2 Europe GmbH, Aalen, Germany) and analyzed using the C.T.L. ImmunoSpot image
3 analyzer software v3.2. Results are expressed as SFU for 1×10^6 spleen cells.. The
4 spots produced by the non re-stimulated cells served as background and were
5 subtracted from the spots produced by the re-stimulated cells. Only ratios stimulated
6 versus non-stimulated spots above >2 were further analyzed.

7

8 **Statistical analysis**

9

10 The significance of the differences observed in the immunogenicity studies was
11 analyzed using the Student's unpaired *t* test or the non-parametric Mann-Whitney rank
12 sum test (SigmaStat for Windows V3.10, Systat Software, Inc., San Jose, USA), *P* ≤
13 0.05 was considered as significant.

14

15

16 **Results**

17

18 **Generation and characterization of the vaccine strain MVA-SARS-NC and the** 19 **SARS-NC protein**

20

21 MVA-SARS-NC was generated by insertion of the SARS-NC expression cassette into
22 the transfer plasmid pIII-E3L-mPH5 and direct homologous recombination to deletion
23 site III within the genome of MVA Δ E3L. (Fig.1A). PCR analysis of viral genomes
24 confirmed genetic purity and stability of the newly generated vaccine strain MVA-SARS-
25 NC (Fig. 1B). As expected, the E3L locus resulted in a PCR product of 1,400 bp (lane 2)
26 in comparison to the 1,800 bp of the MVA wild type strain (lane 1). PCR of the insertion
27 site (deletion III) revealed a fragment of 2,700 bp, which corresponds to the insertion of

1 SARS-NC and E3L (lane 4), whereas MVA wild type yields a product of 700 bp, as
2 expected for the empty insertion site (lane 5). Furthermore, correct expression of the
3 SARS-NC protein was assessed after infection of chicken embryo fibroblast cells with
4 MVA-SARS-NC by Western blot analysis (Fig.1C). Only in lysates of cells infected with
5 the recombinant MVA a specific signal of 47 kDa (lane 3) corresponding to NC was
6 detected, whereas MVA or mock infection did not lead to any signal.

7 Recombinant NC was cloned and expressed in *E. coli* as an N-terminally poly-
8 histidine tagged protein (see material and methods) [21]. Purification using IMAC affinity
9 columns and refolding yielded high amounts of soluble and very pure protein (>95%)
10 with no detectable degradation products as judged by SDS-PAGE and Coomassie-blue
11 staining (data not shown). Proper reactivity as antigen in immunoblot was assessed
12 using various polyclonal anti-NC antisera, including also sera from human SARS
13 patients (data not shown). Immunogenicity of recombinant NC was tested by
14 immunization of rabbits which resulted in antisera detecting NC very specifically [22].

15

16 **Immunization with the NC protein stimulates strong NC-specific antibody** 17 **responses**

18

19 The stimulation of protective antibody responses is essential in order to efficiently
20 prevent viral infection. Thus, we analyzed the immunogenic potential of different vaccine
21 formulations in different immunization protocols. All vaccine formulations (Table 1) were
22 well tolerated by the animals, which do not show alterations in the weight, food intake or
23 general behavior. Furthermore, we have not observed any obvious pathologic
24 modifications of organs, such as lung, liver or spleen of the vaccinated animals (data
25 not shown). High titers of NC-specific antibodies were stimulated after intramuscular
26 immunization with the NC protein co-administered with alum on day 0 and 14. Similar

1 IgG responses were observed following a protocol in which mice were primed with NC
2 plus alum on day 0, followed by an heterologous boost with MVA-NC by intramuscular
3 route on day 14 (Fig.2A). In contrast, animals vaccinated by the intranasal route showed
4 poor IgG responses and a significant increment in NC-specific antibody titers was only
5 observed in the group in which mice were primed with NC co-administered with MALP-2
6 by intranasal route, followed by an heterologous intramuscular boost of MVA-NC
7 (Fig.2A).

8 Interestingly, while intramuscular immunization of mice with NC co-administered with
9 alum elicited a Th2 immune response, as indicated by the dominant IgG1 isotype, a
10 mixed Th1-Th2 response was stimulated after boosting with MVA-NC (Fig.2B).
11 Furthermore, when immunizing animals by the intranasal route with NC co-administered
12 with MALP-2 followed by an intramuscular injection of MVA-NC, a Th1-dominant
13 response was stimulated, as indicated by the increase in the IgG2a isotype (Fig.2B).

14 We further evaluated the elicitation of mucosal responses in vaccinated animals. A
15 significant ($P \leq 0.04$) increase in the levels of NC-specific secretory IgA (sIgA) was only
16 detected in broncho-alveolar lavages of mice vaccinated twice with NC co-administered
17 with MALP-2 or primed with NC+MALP-2 and boosted with MVA-NC (Fig.2C). No sIgA
18 have been detected in mice immunized by the parenteral route (data not shown).

19
20 **Immunization with the NC protein stimulates strong NC-specific cellular**
21 **responses**

22
23 Following immunization by the parenteral route, the strongest cellular responses were
24 obtained when priming with NC admixed with alum was followed by a booster injection
25 of MVA-NC (Fig. 3, $P \leq 0.001$). On the other hand, when comparing groups of mice
26 immunized by the intranasal route, the strongest proliferative responses were observed

1 in animals receiving two times NC protein co-administered with MALP-2 (Fig. 3).
2 However, intranasal vaccination with only a single dose of NC protein co-administered
3 with MALP-2 also stimulated strong cellular responses, when boosted with MVA-NC by
4 intramuscular route (Fig. 3). The observed results were statistically significant in respect
5 to values of mice receiving NC protein alone ($P \leq 0.001$). No significant differences were
6 observed comparing the group receiving NC alone and the control groups, receiving
7 PBS and MVA (Fig. 3).

8 Then, we investigated the cytokines produced by splenocytes from vaccinated
9 animals. The number of IFN γ -producing cells was only significantly increased in animals
10 receiving the prime-boost protocols (Fig. 4A). The highest numbers were detected in
11 mice primed with recombinant NC protein with alum and boosted with MVA-NC by
12 intramuscular route, followed by those primed with NC protein and MALP-2 by
13 intranasal route and boosted with MVA-NC. Interestingly, no differences were observed
14 between animals receiving empty MVA and those receiving two doses of MVA-NC by
15 intramuscular route. On the other hand, intranasal immunization with NC co-
16 administered with MALP-2 followed by either a homologous or heterologous (i.e., MVA-
17 NC) boost resulted in a significant increment in the number of IL-2 secreting cells (i.e.,
18 homologous boost: $P = 0.002$, heterologous boost: $P \leq 0.05$; Fig. 4B). To a lesser
19 extent, IL-2 secreting cells were also increased in mice receiving the systemic prime-
20 boost protocol. Finally, homologous parenteral immunization with NC admixed with
21 alum was the most efficient regime to enhance IL-4 production ($P = 0.006$) when
22 compared with control groups, followed by the heterologous and homologous prime-
23 boost mucosal vaccination protocols (Fig. 4C).

24

1 **Discussion**

2

3 The majority of the experimental vaccines recently developed against the SARS-CoV
4 aimed at initiating a sterilizing immunity via virus neutralizing antibodies. This included
5 the use of inactivated SARS virus and the S protein, as well as different delivery
6 systems thereof, such as DNA and viral vectors (Adenovirus, Rabies, Parainfluenzae,
7 MVA-Vaccinia). These animal trials demonstrated the potential of the S protein for
8 promoting the induction of neutralizing antibodies and, in some cases, also protection
9 against viral challenge [23;24]. Several immunization studies have also been performed
10 with the NC protein of SARS CoV applied as protein, DNA or in viral vectors (e.g.,
11 Adenovirus, MVA). The good immunogenicity of the NC antigen could be shown by the
12 induction of antibody and/or T-cell responses [25-32]. In this work, we performed a side-
13 by-side comparison of different strategies to optimize the immune responses against the
14 NC-protein of the SARS-CoV. The live attenuated MVA vector was used for protein
15 delivery to achieve good and long lasting systemic and local T-cell responses [33]. For
16 the induction of humoral immune responses, recombinant NC protein was co-
17 administered with a systemic or a mucosal adjuvant by different routes (intramuscular
18 and intranasal). Special attempts were also carried out to fine-tune and broaden the
19 elicited responses by combining the different candidates in prime-boost vaccination
20 protocols. The inclusion of MVA-NC in the heterologous prime-boost protocols was
21 based in the well-known capacity of recombinant MVA to boost cellular responses in a
22 previously primed host. Prime-boost regimens using different viral vectors expressing
23 the same recombinant antigen proved very efficient in enhancing the target antigen
24 specific immune responses in comparison to homologous vector immunizations, most
25 likely because these approaches circumvent the problem of anti-vector immunity [34-
26 36]. In this context, priming with NC protein co-administered with a mucosal adjuvant,

1 followed by a boost with MVA-NC appeared as the more promising approach to promote
2 both humoral and cell mediated responses against NC. This would be expected to lead
3 to a better control of viral infection at both mucosal and systemic level.

4 Intramuscular immunization of mice with the NC protein admixed with alum
5 stimulated strong humoral immune responses with no significant differences between
6 the conventional (twice NC protein + alum) and the prime-boost (NC protein + alum
7 prime, MVA-NC boost) protocols (Table 1). However, in mice receiving the prime-boost
8 protocol, the T helper response pattern was switched from a dominant Th2 to a mixed
9 Th1-Th2 respect to animals vaccinated with NC + alum alone. In contrast to that
10 observed at humoral level, significantly stronger proliferative responses in comparison
11 to the control mice receiving MVA alone ($P \leq 0.001$) were only observed in animals
12 receiving the prime-boost protocol. The same was true in terms of the observed
13 increment in the number of IFN- γ producing cells. Hence, the MVA-based boost was
14 critical to modulate the elicited immune response towards a mixed Th1/Th2 response
15 pattern, as well as to optimize the cellular responses. Nevertheless, vaccination by the
16 parenteral route was not able to induce relevant amounts of antibodies in the respiratory
17 tract.

18 On the other hand, when immunizing by the intranasal route, although the overall
19 responses were slightly weaker, serum IgG responses were observed in animals
20 receiving either the homologous (NC protein + MALP-2 based) or the heterologous (NC
21 protein + MALP-2 intranasal priming and MVA-NC intramuscular based) vaccination
22 protocols. Both protocols were also proven effective in terms of stimulating local
23 production of NC-specific sIgA in the broncho-alveolar lavages of vaccinated mice ($P \leq$
24 0.04). Interestingly, these vaccination protocols were even more effective than the
25 parenteral prime-boost protocols in terms of stimulating proliferative responses ($P \leq$
26 0.001), with the strongest response in mice vaccinated twice with NC + MALP-2.

1 However, when analyzing the cytokine production of splenocytes from immunized mice,
2 a significant increment in the number of IFN- γ producing cells was only observed in
3 mice receiving the heterologous prime-boost protocols respect to the control groups (P
4 ≤ 0.001). Furthermore, the highest increment in the number of IL-2-producing cells in
5 response to antigen stimulation was detected in mice receiving the mucosal
6 formulations, followed by those receiving the parenteral heterologous prime-boost
7 protocol ($P \leq 0.002$). As expected, IL-4 production was maximal in mice immunized with
8 NC protein and alum by intramuscular route ($P \leq 0.01$). Thus, our data demonstrated
9 that the heterologous prime boost protocol based on intranasal priming with NC+MALP-
10 2 and intramuscular boosting with MVA-NC is able to induce good humoral and cellular
11 immune responses at systemic and mucosal level. The strength of the observed
12 immune responses was similar or even better than that reported in former studies (e.g.,
13 using DNA- or Adenovirus-based approaches alone) [27;30]. Furthermore, these
14 experiments indicate that one MVA-NC boost is enough to stimulate a single NC protein
15 prime, and is clearly superior to a homologous MVA-NC prime/boost [32].

16 There is a general consensus that vaccination by the mucosal route offers several
17 advantages over parenteral immunization since mucosal vaccination promotes immune
18 responses at both systemic and mucosal levels, whereas parenteral immunization only
19 stimulates systemic responses. Recently, it has been shown that in fatal SARS cases
20 predominantly alveolar pneumocytes are infected, as demonstrated by the detection of
21 the SARS-CoV nucleoprotein [37]. Thus, the stimulation of an efficient local immune
22 response at the portal of entry is highly desirable for a pathogen like the SARS-CoV. In
23 fact, this strategy can lead not only to protection against disease but also against
24 infection (i.e., colonization), thereby contributing to reduce the risk of horizontal
25 transmission. Although the stimulation of S protein-specific antibody responses seems
26 to be essential in order to protect against SARS infection [24;38;39], there is the

1 possibility of antibody-dependent enhancement and exacerbation of the disease, which
2 in turn underlines the need for alternative vaccines [40]. The use of NC protein as an
3 alternative vaccine approach may also become attractive by the fact that nucleoproteins
4 from different animal coronaviruses share antigenic cross-reactivity, which may be of
5 interest for a vaccine against a “human” virus with an animal origin [41].

6 The present study shows that an approach characterize by mucosal priming with
7 protein and systemic boosting with MVA-NC is superior to either the homologous
8 mucosal or the parenteral regimens, in that it was the only one inducing serum
9 antibodies, lung sIgA and cellular responses. Usually, the production of IFN- γ , a Th1
10 cytokine associated with cell-mediated immunity and resistance to intracellular
11 pathogens, is dramatically increased during SARS-Cov infection, whereas IL-4, the
12 dominant Th2 cytokine, which promotes humoral immunity that protects against extra
13 cellular microbial infections, is decreased after onset of SARS-Cov infection. There is
14 also an up-regulation of pro-inflammatory cytokines, such as tumor necrosis factor
15 alpha, IL-1 β and IL-6, which might enhance disease outcome [42;43]. Thus, the
16 stimulation of a Th1 dominant response with increased number of IL-2 and IFN- γ
17 producing cells by the mucosal/systemic protein/MVA-based prime-boost protocol
18 appeals as an additional advantage of this promising vaccination approach.

19

20 **Acknowledgements**

21

22 This work was in part supported by grants from “Bayerische Forschungsstiftung” (grant
23 PIZ 26/03) to Hermann Schätzl and Volker Erfle and from “Friedrich-Schiedel-Stiftung”
24 of the Technical University Munich (Fond 5110095 TG 04) to Volker Erfle.

25

26

1 **FIGURE LEGENDS**

2
3 **Figure 1** Construction of MVA-SARS-NC. (A) Schematic representation of the MVA-
4 Δ E3L genome and transfer plasmid for insertion into deletion III. (B) PCR-analysis of
5 MVA-SARS-NC at the E3L locus and insertion site (Del III). Viral DNA has been
6 extracted and subjected to MVA specific PCR using primer pairs for the E3L locus and
7 site of deletion III, respectively. Arrowhead indicates SARS-NC specific signal. (C)
8 Expression of SARS-NC in chicken embryo fibroblasts after infection with recombinant
9 MVA. Sub-confluent monolayer were infected with 10 IU MVA or MVA-SARS-NC and
10 harvested after 24 h in Laemmli-buffer. Lysates of infected cells were subjected to 10%
11 polyacrylamide sodium dodecyl sulfate gel electrophoresis and proteins transferred to
12 nitrocellulose membranes. Detection was carried out using rabbit anti-SN antibodies at
13 a 1:2000 dilution. *E. coli* expressed SARS-NC protein (NC) served as positive control,
14 MVA infected or mock infected chicken embryo fibroblasts (wt, C) served as negative
15 controls. Arrowhead indicates SARS-NC specific signal. kD: molecular mass standards.

16
17 **Figure 2** Humoral immune responses in mice vaccinated with the NC protein of SARS.
18 A) Analysis of NC-specific IgG titers in sera of vaccinated mice. The end-point titres were
19 expressed as the mean of the reciprocal \log_{10} of the last dilution (end point dilution) of sera
20 giving an A405 of 0.1 U above the values of negative controls within each immunization group.
21 B) Detection of the NC-specific IgG isotype present in the sera from vaccinated mice 25
22 days after the first immunization. C) Antigen-specific IgA antibodies in broncho-alveolar
23 lavages of immunized mice. Results are expressed as the percentage of antigen-
24 specific IgA antibodies with respect to total IgA. SEM is indicated by vertical lines. The
25 obtained results are statistically significant (Student's *t*-test) when compared with the
26 values for the control groups (NC and MVA alone) at $P \leq 0.03$ (*) and $P \leq 0.04$ (**),
27 respectively.

1
2 **Figure 3** Cellular immune responses stimulated in vaccinated animals. Results are
3 expressed as the difference between values (average of triplicates) from stimulated and
4 non-stimulated samples (cpm). SEM is indicated by vertical lines. The obtained results
5 are statistically significant (Student's *t*-test) when compared with the values for the
6 control groups (i.e. PBS and empty MVA) at $P \leq 0.001$ (*).

7
8 **Figure 4** Characterization of cytokine producing cells stimulated by the NC protein.
9 Spleen-derived lymphocytes were cultured for 16 h with 20 ug/ml of the protein. (A)
10 $\text{INF-}\gamma$ (B) IL-2 and (C) IL-4 production was determined by ELISPOT. The SEM is
11 indicated by vertical lines. Results are expressed as spots forming units per 10^6 cells
12 above background. The observed differences were statistically significant in comparison
13 with the control groups PBS and MVA alone (*) at $P \leq 0.001$ ($\text{INF-}\gamma$), $P \leq 0.002$ (IL-2)
14 and $P \leq 0.02$ (IL-4).

1 Reference List

- 2
- 3 [1] Chan-Yeung M, Yu WC. Outbreak of severe acute respiratory syndrome in Hong
4 Kong Special Administrative Region: case report. *BMJ* 2003 Apr
5 19;326(7394):850-2.
- 6 [2] Lew TW, Kwek TK, Tai D, Earnest A, Loo S, Singh K, et al. Acute respiratory
7 distress syndrome in critically ill patients with severe acute respiratory syndrome.
8 *JAMA* 2003 Jul 16;290(3):374-80.
- 9 [3] Riley S, Fraser C, Donnelly CA, Ghani AC, Abu-Raddad LJ, Hedley AJ, et al.
10 Transmission dynamics of the etiological agent of SARS in Hong Kong: impact of
11 public health interventions. *Science* 2003 Jun 20;300(5627):1961-6.
- 12 [4] Yu IT, Li Y, Wong TW, Tam W, Chan AT, Lee JH, et al. Evidence of airborne
13 transmission of the severe acute respiratory syndrome virus. *N Engl J Med* 2004
14 Apr 22;350(17):1731-9.
- 15 [5] Chan-Yeung M, Xu RH. SARS: epidemiology. *Respirology* 2003 Nov;8 Suppl:S9-
16 14.
- 17 [6] Booth CM, Matukas LM, Tomlinson GA, Rachlis AR, Rose DB, Dwosh HA, et al.
18 Clinical features and short-term outcomes of 144 patients with SARS in the
19 greater Toronto area. *JAMA* 2003 Jun 4;289(21):2801-9.
- 20 [7] Hui DS, Wong PC, Wang C. SARS: clinical features and diagnosis. *Respirology*
21 2003 Nov;8 Suppl:S20-S24.
- 22 [8] Yang ZY, Kong WP, Huang Y, Roberts A, Murphy BR, Subbarao K, et al. A DNA
23 vaccine induces SARS coronavirus neutralization and protective immunity in
24 mice. *Nature* 2004 Apr 1;428(6982):561-4.

- 1 [9] He Y, Zhou Y, Siddiqui P, Jiang S. Inactivated SARS-CoV vaccine elicits high
2 titers of spike protein-specific antibodies that block receptor binding and virus
3 entry. *Biochemical and Biophysical Research Communications* 2004 Dec
4 10;325(2):445-52.
- 5 [10] Xiong S, Wang YF, Zhang MY, Liu XJ, Zhang CH, Liu SS, et al. Immunogenicity
6 of SARS inactivated vaccine in BALB/c mice. *Immunol Lett* 2004 Sep;95(2):139-
7 43.
- 8 [11] Cavanagh D. Severe acute respiratory syndrome vaccine development:
9 experiences of vaccination against avian infectious bronchitis coronavirus. *Avian*
10 *Pathol* 2003 Dec;32(6):567-82.
- 11 [12] Seo SH, Wang L, Smith R, Collisson EW. The carboxyl-terminal 120-residue
12 polypeptide of infectious bronchitis virus nucleocapsid induces cytotoxic T
13 lymphocytes and protects chickens from acute infection. *J Virol* 1997
14 Oct;71(10):7889-94.
- 15 [13] Wesseling JG, Godeke GJ, Schijns VE, Prevec L, Graham FL, Horzinek MC, et
16 al. Mouse hepatitis virus spike and nucleocapsid proteins expressed by
17 adenovirus vectors protect mice against a lethal infection. *J Gen Virol* 1993
18 Oct;74 (Pt 10):2061-9.
- 19 [14] Wasmoen TL, Kadakia NP, Unfer RC, Fickbohm BL, Cook CP, Chu HJ, et al.
20 Protection of cats from infectious peritonitis by vaccination with a recombinant
21 raccoon poxvirus expressing the nucleocapsid gene of feline infectious peritonitis
22 virus. *Adv Exp Med Biol* 1995;380:221-8.
- 23 [15] Hornemann S, Harlin O, Staib C, Kisling S, Erfle V, Kaspers B, et al. Replication
24 of modified vaccinia virus Ankara in primary chicken embryo fibroblasts requires

- 1 expression of the interferon resistance gene E3L. *J Virol* 2003 Aug;77(15):8394-
2 407.
- 3 [16] Staib C, Sutter G. Live viral vectors: vaccinia virus. *Methods Mol Med*
4 2003;87:51-68.
- 5 [17] Rharbaoui F, Drabner B, Borsutzky S, Winckler U, Morr M, Ensoli B, et al. The
6 Mycoplasma-derived lipopeptide MALP-2 is a potent mucosal adjuvant. *Eur J*
7 *Immunol* 2002 Oct;32(10):2857-65.
- 8 [18] Borsutzky S, Ebensen T, Link C, Becker PD, Fiorelli V, Cafaro A, et al. Efficient
9 systemic and mucosal responses against the HIV-1 Tat protein by prime/boost
10 vaccination using the lipopeptide MALP-2 as adjuvant. *Vaccine* 2006 Mar
11 15;24(12):2049-56.
- 12 [19] Medina E, Talay SR, Chhatwal GS, Guzman CA. Fibronectin-binding protein I of
13 *Streptococcus pyogenes* is a promising adjuvant for antigens delivered by
14 mucosal route. *Eur J Immunol* 1998;28(3):1069-77.
- 15 [20] Schulze K, Olive C, Ebensen T, Guzman CA. Intranasal vaccination with SfbI or
16 M protein-derived peptides conjugated to diphtheria toxoid confers protective
17 immunity against a lethal challenge with *Streptococcus pyogenes*. *Vaccine* 2006
18 Aug 28;24(35-36):6088-95.
- 19 [21] Kaiser-Schulz G, Heit A, Quintanilla-Martinez L, Hammerschmidt F, Hess S,
20 Jennen L, et al. Polylactide-coglycolide microspheres co-encapsulating
21 recombinant tandem prion protein with CpG-oligonucleotide break self-tolerance
22 to prion protein in wild-type mice and induce CD4 and CD8 T cell responses. *J*
23 *Immunol* 2007 Sep 1;179(5):2797-807.

- 1 [22] Diemer C, Schneider M, Seebach J, Quaas J, Frosner G, Schatzl HM, et al. Cell
2 type-specific cleavage of nucleocapsid protein by effector caspases during SARS
3 coronavirus infection. *J Mol Biol* 2008 Feb 8;376(1):23-34.
- 4 [23] Chen Z, Zhang L, Qin C, Ba L, Yi CE, Zhang F, et al. Recombinant Modified
5 Vaccinia Virus Ankara Expressing the Spike Glycoprotein of Severe Acute
6 Respiratory Syndrome Coronavirus Induces Protective Neutralizing Antibodies
7 Primarily Targeting the Receptor Binding Region. *J Virol* 2005 Mar 1;79(5):2678-
8 88.
- 9 [24] Callendret B, Lorin V, Charneau P, Marianneau P, Contamin H, Betton JM, et al.
10 Heterologous viral RNA export elements improve expression of severe acute
11 respiratory syndrome (SARS) coronavirus spike protein and protective efficacy of
12 DNA vaccines against SARS. *Virology* 2007 Jul 5;363(2):288-302.
- 13 [25] Pei H, Liu J, Cheng Y, Sun C, Wang C, Lu Y, et al. Expression of SARS-
14 coronavirus nucleocapsid protein in *Escherichia coli* and *Lactococcus lactis* for
15 serodiagnosis and mucosal vaccination. *Appl Microbiol Biotechnol* 2005
16 Aug;68(2):220-7.
- 17 [26] Okada M, Takemoto Y, Okuno Y, Hashimoto S, Yoshida S, Fukunaga Y, et al.
18 The development of vaccines against SARS corona virus in mice and SCID-
19 PBL/hu mice. *Vaccine* 2005 Mar 18;23(17-18):2269-72.
- 20 [27] Zhao P, Cao J, Zhao LJ, Qin ZL, Ke JS, Pan W, et al. Immune responses against
21 SARS-coronavirus nucleocapsid protein induced by DNA vaccine. *Virology* 2005
22 Jan 5;331(1):128-35.

- 1 [28] Zhu MS, Pan Y, Chen HQ, Shen Y, Wang XC, Sun YJ, et al. Induction of SARS-
2 nucleoprotein-specific immune response by use of DNA vaccine. *Immunol Lett*
3 2004 Apr 15;92(3):237-43.
- 4 [29] Kim TW, Lee JH, Hung CF, Peng S, Roden R, Wang MC, et al. Generation and
5 characterization of DNA vaccines targeting the nucleocapsid protein of severe
6 acute respiratory syndrome coronavirus. *J Virol* 2004 May;78(9):4638-45.
- 7 [30] Zakhartchouk AN, Viswanathan S, Mahony JB, Gauldie J, Babiuk LA. Severe
8 acute respiratory syndrome coronavirus nucleocapsid protein expressed by an
9 adenovirus vector is phosphorylated and immunogenic in mice. *J Gen Virol* 2005
10 Jan;86(Pt 1):211-5.
- 11 [31] Weingartl H, Czub M, Czub S, Neufeld J, Marszal P, Gren J, et al. Immunization
12 with modified vaccinia virus Ankara-based recombinant vaccine against severe
13 acute respiratory syndrome is associated with enhanced hepatitis in ferrets. *J*
14 *Virol* 2004 Nov;78(22):12672-6.
- 15 [32] Czub M, Weingartl H, Czub S, He R, Cao J. Evaluation of modified vaccinia virus
16 Ankara based recombinant SARS vaccine in ferrets. *Vaccine* 2005 Mar 18;23(17-
17 18):2273-9.
- 18 [33] Brave A, Gudmundsdotter L, Gasteiger G, Hallermalm K, Kastenmuller W,
19 Rollman E, et al. Immunization of mice with the nef gene from Human
20 Immunodeficiency Virus type 1: Study of immunological memory and long-term
21 toxicology. *Infect Agent Cancer* 2007;2:14.
- 22 [34] Ramsburg E, Rose NF, Marx PA, Mefford M, Nixon DF, Moretto WJ, et al. Highly
23 effective control of an AIDS virus challenge in macaques by using vesicular

- 1 stomatitis virus and modified vaccinia virus Ankara vaccine vectors in a single-
2 boost protocol. *J Virol* 2004 Apr;78(8):3930-40.
- 3 [35] Hodge JW, Poole DJ, Aarts WM, Gomez YA, Gritz L, Schlom J. Modified vaccinia
4 virus ankara recombinants are as potent as vaccinia recombinants in diversified
5 prime and boost vaccine regimens to elicit therapeutic antitumor responses.
6 *Cancer Res* 2003 Nov 15;63(22):7942-9.
- 7 [36] Hanke T, Barnfield C, Wee EG, Agren L, Samuel RV, Larke N, et al. Construction
8 and immunogenicity in a prime-boost regimen of a Semliki Forest virus-vectored
9 experimental HIV clade A vaccine. *J Gen Virol* 2003 Feb;84(Pt 2):361-8.
- 10 [37] Nicholls JM, Butany J, Poon LL, Chan KH, Beh SL, Poutanen S, et al. Time
11 course and cellular localization of SARS-CoV nucleoprotein and RNA in lungs
12 from fatal cases of SARS. *PLoS Med* 2006 Feb;3(2):e27.
- 13 [38] See RH, Zakhartchouk AN, Petric M, Lawrence DJ, Mok CPY, Hogan RJ, et al.
14 Comparative evaluation of two severe acute respiratory syndrome (SARS)
15 vaccine candidates in mice challenged with SARS coronavirus. *J Gen Virol* 2006
16 Mar 1;87(3):641-50.
- 17 [39] Bisht H, Roberts A, Vogel L, Bukreyev A, Collins PL, Murphy BR, et al. Severe
18 acute respiratory syndrome coronavirus spike protein expressed by attenuated
19 vaccinia virus protectively immunizes mice. *Proc Natl Acad Sci U S A* 2004 Apr
20 27;101(17):6641-6.
- 21 [40] Pletz MW, Dickgreber N, Hagen L, Golpon H, Zabel P, Bauer TT, et al.
22 [Immunisation strategies for the management of severe acute respiratory
23 syndrome (SARS)]. *Pneumologie* 2007 Oct;61(10):663-7.

1 [41] Vlasova AN, Zhang X, Hasoksuz M, Nagesha HS, Haynes LM, Fang Y, et al.
2 Two-way antigenic cross-reactivity between severe acute respiratory syndrome
3 coronavirus (SARS-CoV) and group 1 animal CoVs is mediated through an
4 antigenic site in the N-terminal region of the SARS-CoV nucleoprotein. J Virol
5 2007 Dec;81(24):13365-77.

6 [42] Xie J, Han Y, Li TS, Qiu ZF, Ma XJ, Fan HW, et al. [Dynamic changes of plasma
7 cytokine levels in patients with severe acute respiratory syndrome]. Zhonghua
8 Nei Ke Za Zhi 2003 Sep;42(9):643-5.

9 [43] Beijing Group of National Research Project for SARS. Dynamic changes in blood
10 cytokine levels as clinical indicators in severe acute respiratory syndrome. Chin
11 Med J (Engl) 2003 Sep;116(9):1283-7.

12

13